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EXHIBIT IV

TRANSCRIPT OF RECORD

Supreme Court of the United States

OCTOBER TERM, 1942³

No. 497³

**A. M. ANDERSON, RECEIVER OF NATIONAL BANK
OF KENTUCKY, OF LOUISVILLE, PETITIONER,**

vs.

**KATHERINE KIRKPATRICK ABBOTT, ADMINIS-
TRATRIX WITH THE WILL ANNEXED OF THE
ESTATE OF DAVID J. ABBOTT, DECEASED,
ET AL.**

**ON WRIT OF CERTIORARI TO THE UNITED STATES CIRCUIT COURT
OF APPEALS FOR THE SIXTH CIRCUIT**

PETITION FOR CERTIORARI FILED OCTOBER 28, 1942.

CERTIORARI GRANTED DECEMBER 7, 1942.

IN THE
Supreme Court of the United States

October Term, 1942

No. 497

A. M. ANDERSON, Receiver of the National Bank of
Kentucky,

v.

Petitioner,

KATHERINE KIRKPATRICK ABBOTT, Administra-
trix of the Estate of David J. Abbott, deceased, et al.,
Respondents.

Transcript of Record

On Petition for Writ of Certiorari to the United States
Circuit Court of Appeals for the Sixth Circuit

EXHIBITS

VOLUME No. _____

ROBERT S. MARX,
FRANK E. WOOD,
NICHOLS, WOOD, MARX & GINTER,
Cincinnati, Ohio,

ALFRED C. KRIEGER,
Louisville, Ky.,

Attorneys for Petitioner.

LAFON ALLEN,
W. W. CRAWFORD,
ALLEN P. DODD,
Louisville, Ky.,

Attorneys for Respondents.

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The Banco Kentucky Co.

Incorporated July 14, 1928

Cash & Journal

EXHIBIT 65-A

		Credit	Debit
Feb 25	Payment of subscriptions (See Book Committee Record)	781 100 00	
26		152 500 00	
27		293 825 00	
28		208 625 00	
30		1080 525 00	
Oct 1		3773 800 00	
2		1096 075 00	
3		1007 600 00	
4		491 700 00	
5		176 825 00	
7		196 175 00	
8		182 900 00	
9		160 750 00	
10		124 525 00	
11		280 775 00	
14		718 75 00	
15		207 750 00	
17		152 500 00	
19		38 000 00	
21		500 00	
22		5475 00	
23		3200 00	
26		2500 00	
Nov 7		5000 00	
	394,786 above 10 th for adv Credit Capital Stock	894986 00	
	394,786 adv @ 15 th for adv Credit Surplus	5921 750 00	
	Debit Cash		986965 00

EXHIBIT 65-A

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1949 Nov	Revenue	Cashed	Total
	Interest C.A. 66240 - \$1007.19 ⁰⁰ dated 10/10, Cashed Oct 14, 1949	167.84	
	Interest C.A. 66249 - \$2772.50 ⁰⁰ dated Oct 1, Cashed Oct 14, 1949	5175.48	
	Interest C.A. 66250 - \$2742.50 ⁰⁰ dated Oct 1, Cashed Oct 14, 1949	1748.86	
	Interest C.A. 66255 - \$1,024.05 ⁰⁰ dated Sept 28, Cashed Oct 14, 1949	544.45	
	Interest C.A. 66255 - \$1047.75 ⁰⁰ dated Oct 1, Cashed Oct 14, 1949	495.44	
	Interest C.A. 66254 - \$1,072.50 ⁰⁰ dated Oct 1, Cashed Oct 14, 1949	2975.48	
	Interest C.A. 66250 - \$1,111.00 ⁰⁰ dated Sept 28, Cashed Nov 2, 1949	1780.00	
	Interest C.A. 66255 - \$1,152.50 ⁰⁰ dated Sept 27, Cashed Nov 2, 1949	1042.08	
	Interest C.A. 66255 - \$1,176.25 ⁰⁰ dated Oct 1, Cashed Nov 2, 1949	1001.69	
	Interest C.A. 66250 - \$1,002.70 ⁰⁰ dated Oct 1, Cashed Oct 14, 1949	5780.94	
	Interest C.A. 66210 - \$1,092.25 ⁰⁰ dated Sept 27, Cashed Nov 2, 1949	2052.65	
	Interest C.A. 66217 - \$1,202.65 ⁰⁰ dated Sept 28, Cashed Nov 2, 1949	1527.18	
	Interest C.A. 66249 - \$1,219.00 ⁰⁰ dated Oct 1, Cashed Nov 2, 1949	46.75	
	Interest C.A. 66250 - \$241.70 ⁰⁰ dated Oct 1, Cashed Nov 12, 1949	1571.61	
	Interest C.A. 66255 - \$246.26 ⁰⁰ dated Oct 7, Cashed Nov 12, 1949	1177.61	
	Interest C.A. 66250 - \$122.00 ⁰⁰ dated Oct 7, Cashed Nov 12, 1949	1067.51	
	Interest C.A. 66251 - \$141.07 ⁰⁰ dated Oct 7, Cashed Nov 12, 1949	91.27	
	Interest C.A. 66250 - \$124.35 ⁰⁰ dated Oct 10, Cashed Nov 12, 1949	685.50	
	Interest C.A. 66256 - \$251.04 ⁰⁰ dated Oct 11, Cashed Nov 12, 1949	1492.91	
	Interest C.A. 66250 - \$71.97 ⁰⁰ dated Oct 14, Cashed Nov 12, 1949	247.88	
	Interest C.A. 66250 - \$207.70 ⁰⁰ dated Oct 15, Cashed Nov 12, 1949	96.95	
	Interest C.A. 66253 - \$15.25 ⁰⁰ dated Oct 17, Cashed Nov 12, 1949	66.08	
	Interest C.A. 66255 - \$22.02 ⁰⁰ dated Oct 17, Cashed Nov 12, 1949	132.08	
	Interest C.A. 66250 - \$250.00 ⁰⁰ dated Oct 21, Cashed Nov 12, 1949	183	
	Interest C.A. 66250 - \$254.75 ⁰⁰ dated Oct 21, Cashed Nov 12, 1949	191.6	
	Interest C.A. 66257 - \$1,000.00 ⁰⁰ dated Oct 22, Cashed Nov 12, 1949	10.66	
	Interest C.A. 66250 - \$250.00 ⁰⁰ dated Oct 22, Cashed Nov 12, 1949	70.83	
	Interest C.A. 66258 - \$250.00 ⁰⁰ dated Oct 22, Cashed Nov 12, 1949	1451.31	
	Interest C.A. 66259 - \$246.70 ⁰⁰ dated Oct 24, Cashed Nov 12, 1949	809.09	
	Interest C.A. 66257 - \$272.50 ⁰⁰ dated Oct 21, Cashed Nov 12, 1949	1746.60	
	Interest C.A. 66259 - \$261.00 ⁰⁰ dated Nov 2, Cashed Nov 12, 1949	135.16	
	Interest C.A. 66251 - \$252.50 ⁰⁰ dated Nov 2, Cashed Nov 12, 1949	52.50	
	Interest C.A. 66256 - \$300.00 ⁰⁰ dated Nov 2, Cashed Nov 12, 1949	41.6	
	Interest C.A. 66253 - \$286.21 ⁰⁰ dated Nov 2, Cashed Nov 12, 1949	58.45	
	Interest Paid by The Louisville Trust Co. on subscriptions from Oct 1, 1948 to Oct 10, 1949 inclusive	27,174.59	
	Interest Paid by National Bank of Kentucky on subscriptions from Oct 1, 1948 to Oct 10, 1949 inclusive by Cashier's Check #67484 - \$671.98	1032.39	
	Interest - subscription payments National Bank of Kentucky - not main and not Chestnut the list on file	1039.72	
	Interest - on subscription to The Kentucky	266.37	
		27.25	
✓	Investments -		
	Contribution \$66,519 National Bank of Kentucky, Cashed	69650.00	
✓	Cash		11,912.00
	1577	118,823.85	

EXHIBIT 65-A

		Cash	Debit
1929			
Dec 16	Dividend - 440 shares Brighton Bank & Trust Co. 6 1/2% Extra dividend, payable Dec. 15th & balance forward	25 91 00	
11	Dividend - 50 shares Brighton Bank & Trust Co. 6 1/2% Extra dividend (M. F. Keelin)	22 50	
31	Dividend - 535,704 Participation shares National Bank of Kentucky - The Laminella Trust Company at \$40 per share due Jan. 1, 1930	21 02 97 60	
	Revenue: Interest on b. D 5386 & 5399 The Laminella Trust Company to Jan. 1, 1930	75 12 17	
	Revenue: Interest on b. D 66466 dated Nov. 1, 1929 b. D. 66515 - 66516 - 66517 dated Nov. 12, 1929	22 166 66	
Jan 1	Accrued Dividends Receivable:- Previously dividend Brighton Bank and Trust Co. Extra dividend Carl-Market Bank & Trust Co. Regular dividend Carl-Market Bank & Trust Co.	10 251 00 20 648 70 13 765 80	
1 2	Accrued Dividend Receivable:- Extra dividend Carl-Market Bank & Trust Co. (M. F. Keelin) Regular dividend Carl Market Bank & Trust Co. (M. F. Keelin) Brighton dividend Brighton Bank & Trust Co. (M. F. Keelin)	22 50 15 00 15 00	
15	Dividend - Central Savings Bank & Trust Co on 548 shares due January 15, 1930	32 88 00	
29	Revenue:- Interest on certificates of Deposit \$25 for \$25,000 and \$44 for \$200,000 - The Laminella Trust Co. dated Jan. 29, 1929 \$256 dated Oct 5, 1929 \$299 dated Oct 19, 1929	11 666 67 14 00 00	
Mar 15	Revenue:- Interest of certificates of Deposit National Bank of Kentucky 466515 - 466516 - 466517 - 466518	17 766 65	
24	Dividend:- 44070 shares Brighton Bank and Trust Co. Extra dividend payable 240000 March 24, 1930	13 221 00	
	Highfield Co.	23 750 00	
		1578	461 862 25

EXHIBIT 65-A

1930			Credit	Debit
Mar 24	Dividend	Hyatt Brighton Bank and Trust Co. Cincinnati, (stock in name John & Mary)	15.00	
✓	Dividend	3% Extra Brighton Bank and Trust Co. Cincinnati (stock in name Joseph & Albert)	15.00	
✓ 26	Dividend	3% Extra Brighton Bank and Trust Co. Cincinnati (stock in name H. L. Kallman)	15.00	
✓ 29	Dividend	7 shares Brighton Bank and Trust Co. Cincinnati (stock Mary & Mary)	8.75	
April 1	Dividend	The Bank Market Bank & Trust Co. 3% Regular, payable 4/1/30 on 4686 shares to holders of record	140.59 80	
✓		3% Extra, payable April 1, 1930 on 4686 shares to holders of record	140.59 80	
✓	Dividend	The Brighton Bank & Trust Co. 3% regular, payable April 1, 1930 on 440.90 shares to holders of record	132.21 00	
✓	Dividend	The Peoples Liberty Bank & Trust Co., Crimmon, Ky. Regular, semi annual 3% on 1677 shares due 4/1/30	508.00	
✓		Extra - 1% on 1677 shares due April 1, 1930	16.77 80	
✓	Dividend	Participation Shares National Bank of Kentucky. The Louisville Trust Co. 537799 shares at 40¢ per share due 4/1/30	215.11 60	
✓	Dividend	The Brighton Bank and Trust Co. Regular 3% on 70 shares due April 1, 1930 (above 70 shares from name Mary & Mary)	2.10 90	
✓	Dividend	The Bank Market Bank & Trust Co. Cincinnati 3% Regular on 50 shares name Kallman & Kallman	15.00	
✓		3% Extra on 50 shares name Kallman & Kallman	15.00	
✓		3% Regular on 50 shares name J. J. Kallman	15.00	
✓		3% Extra on 50 shares name J. J. Kallman	15.00	
✓		3% Regular on 50 shares name Maurice L. Kallman	15.00	
✓		3% Extra on 50 shares name Maurice L. Kallman	15.00	
✓	Dividend	The Brighton Bank & Trust Co. Cincinnati 3% Regular on 50 shares name Joseph & Albert	15.00	
✓		3% Regular on 50 shares name Annie L. Kallman	15.00	
1579			2428.44	

EXHIBIT 65-A

1920	Apr 7		The Bank of	
		Dividend: The Suffolk Bank & Trust Co 3% regular on 50 shares name James O. Brown	15.00	
		Dividend: The Carl-Market Bank & Trust Co 3% regular on 50 shares name James O. Brown	15.00	
		3% Extra on 50 shares name James O. Brown	15.00	
		Dividend: First National Bank, Richmond, Ky 52.55 shares at 20.0 per share due April 1, 1920	1059.00	
		50 shares at 20.0 per share name James O. Brown	1000	
		Investment: The Capital-Lowery Bank & Trust Co. Covington, Ky	75.000000	
	13	Revenue: Interest from The Carl-Market Bank & Trust Co. Accrued on deposit balance Bank (see letter Carl-Market 2-17-20)	883.22	
		Dividend: The Carl-Market Bank & Trust Co 50 shares name Collis Laffont - 3% regular	15.00	
		50 shares name Collis Laffont - 3% Extra	15.00	
	19	Wakefield Co.	891.250	
		Dividend: The Carl-Market Bank & Trust Co 50 shares name P. Linder/Mitchell - 3% reg	15.00	
		50 shares name P. Linder/Mitchell - 3% Ex	15.00	
		Dividend: The Carl-Market Bank & Trust Co 50 shares name John H. Lewis - 3% reg	15.00	
		50 shares name John H. Lewis - 3% Ex	15.00	
		Dividend: Central Savings Bank & Trust Co. of Covington, Ky 50.5 shares due April 1, 1920	322.500	
		5 shares name James O. Brown	30.00	
		Dividend: Central Savings Bank and Trust Co 5 shares name Maurice L. Kalin	30.00	
		Dividend: Union Central Life Insurance Co 625 shares name John L. Shuff 6%	375.00	
		1380	1524.5666	

913.25

1922	May	Wakefield Co	100000
		15 Wakefield Co	52500
		16 Wakefield Co	787500
		19 Wakefield Co	227500
		114 Wakefield Co	787500
		20 Wakefield Co	525000
		133 Wakefield Co	700000
		124 Wakefield Co	595000
		131 Wakefield Co	192500
		June 4 Wakefield Co	175000
		16 Wakefield Co	5,700000
		110 Wakefield Co	1,315000

1581

1920				
June 1	Wakefield Co		7175.00	
11	Wakefield Co		18375.00	
11	Wakefield Co		18900.00	
11	Wakefield Co		7525.00	
11	Wakefield Co		4875.00	
11	Wakefield Co		2150.00	
19	Wakefield Co		1425.00	
20	Wakefield Co		2225.00	
20	Wakefield Co		2850.00	
24	Wakefield Co		11400.00	
26	Wakefield Co		10450.00	
28	Wakefield Co		29450.00	
		1582		

EXHIBIT 65-A

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1920 July 1	Dividend on 539,000 Indes. Participation Shares National Bank of Kentucky - The Louisville Trust Co	2,569,600
X	Dividend The Carl. Market Bank & Trust Co.	
X	50 shares John H. Lewis (regular)	1500.
X	50 shares John H. Lewis (extra)	1500.
1	Dividend The Brighton Bank & Trust Co. Cincinnati	
X	3% Regular on 44,100 shares	1324200.
X	3% Extra on 44,100 shares	1324200.
X	Dividend The Brighton Bank & Trust Co. Cincinnati	
X	50 shares Maurice L. Galvin (Regular)	1500
X	50 shares Maurice L. Galvin (Extra)	1500
X	50 shares James B. Brown (Regular)	1500
X	50 shares James B. Brown (Extra)	1500
X	50 shares Joseph A. Helmers (Regular)	1500
X	50 shares Joseph A. Helmers (Extra)	1500
1	Dividend The Peoples Liberty Bank & Trust Co. Cincinnati	
	1739 shares - 3% Regular Quarterly	347800.
X	Dividend The Peoples Liberty Bank & Trust Co. Cincinnati	
	3% Quarterly - 5 shares James B. Brown	1000.
X	Dividend The Carl. Market Bank & Trust Co. Cincinnati	
X	3% Regular 46,866 shares	1405980.
X	3% Extra 46,866 shares	1405980.
1	Wakefield Acc - Jan	902500.
X	Dividend - Security Bank, Louisville	
	2607 shares - 3% Regular	782100.
X	Dividend - Ashland National Bank, Ashland	
	7120 shares	2563200.
	Dividend - The Carl. Market Bank & Trust Co. Cincinnati	
1	50 shares - 3% Reg. Maurice L. Galvin	1500.
X	50 shares - 3% Extra Maurice L. Galvin	1500.
X	50 shares - 3% Reg. Gustave W. Mosler	1500
X	50 shares - 3% Extra Gustave W. Mosler	1500
X	50 shares - 3% Regular J. J. Heindricher	1500
X	50 shares - 3% Extra J. J. Heindricher	1500.

EXHIBIT 65-A

July 3	Dividend			
X		The First National Bank, Cincinnati, Ky		
X		6875 shares (Regular) 6%	\$125.00	
X		6875 shares (Extra) 6%	\$125.00	
	Dividend	The Pearl-Market Bank & Trust Co., Cincinnati, O		
X		50 shares (Reg) James B. Brown	15.00	
X		50 shares (Extra) James B. Brown	15.00	
X		50 shares (Regular) Calk Laffoon	15.00	
X		50 shares (Extra) Calk Laffoon	15.00	
Aug 15	Wakefield Co.		\$750.00	
X	Dividend	Central Savings Bank & Trust Co., Cincinnati, Ky 538 shares - 6%	\$328.00	
X	Dividend	Central Savings Bank & Tr. Co. Cincinnati Ky 5 shares Maurice L. Kalow	30.00	
X	Dividend	The Pearl-Market Bank & Tr. Co. Cincinnati, O 50 shares (Reg) P. Lincoln Mitchell	15.00	
X		50 shares (Extra) P. Lincoln Mitchell	15.00	
X	Dividend	The First National Bank, Cincinnati, Ky 50 shares James B. Brown (Reg)	30.00	
X		50 shares James B. Brown (Extra)	30.00	
X	Dividend	Central Savings Bank & Tr. Co. Cincinnati, Ky 50 shares James B. Brown	30.00	
Aug 26	Wakefield Co.		\$750.00	
Aug 25	Wakefield Co.		\$075.00	
X	Wakefield Co.	appreciation on stock purchased	\$075.00	
Oct 1	Dividend	The Pearl-Market Bank & Trust Co 50 shares Gustave M. Mosler (Regular)	15.00	
		50 shares Gustave M. Mosler (Extra)	15.00	
X	Dividend	The Pearl Market Bank & Trust Co 4750+ shares (Regular 6%)	\$1251.25	
		4750+ shares (Extra 6%)	\$1251.25	

Oct 1	Dividend: The Peoples Liberty Bank & Trust Co. 1778 shares (Regular) 2 1/2%	3556.00 ✓
✓	Dividend: National Bank of Kentucky - Louisville Trust Co. 54038 + Trustee Participation share 2 1/2% share	216,153.60 ✓
✓	Dividend: Security Bank, Louisville Ky 2694 shares (Regular) at 3 1/4%	1096.00 ✓
✓	Dividend: The Brighton Bank & Trust Co. 44140 shares (Regular) at 3 1/4% 44140 shares (Extra) at 3 1/4%	18242.00 ✓ 18242.00 ✓
✓	Dividend: The Brighton Bank & Trust Co. 50 shares (Joseph A. Helmers) Regular 50 shares (Joseph A. Helmers) Extra	15.00 ✓ 15.00 ✓
✓	Dividend: The First National Bank, Paducah Ky 6875 shares (Regular 1 1/2%) 50 shares (James B. Brown)	2125.00 ✓ 30.00 ✓
✓	Dividend: The Brighton Bank & Trust Co. 50 shares (Maurice L. Galvin) Regular 50 shares (Maurice L. Galvin) Extra	15.00 ✓ 15.00 ✓
✓	Dividend: The Pearl Market Bank & Trust Co. 50 shares (Maurice L. Galvin) Regular 50 shares (Maurice L. Galvin) Extra 50 shares (James B. Brown) Regular 50 shares (James B. Brown) Extra	15.00 ✓ 15.00 ✓ 15.00 ✓ 15.00 ✓
✓	Dividend: The Brighton Bank & Trust Co. 50 shares (James B. Brown) Regular 50 shares (James B. Brown) Extra	15.00 ✓ 15.00 ✓
✓	Dividend: The Peoples Liberty Bank & Trust Co. 5 shares (James B. Brown) 2 1/2% Regular	10.00 ✓
✓	Dividend: The Pearl Market Bank & Trust Co. 50 shares (Coch Laffon) regular 50 shares (Coch Laffon) Extra 50 shares (T. Lincoln Mitchell) regular 50 shares (T. Lincoln Mitchell) Extra	15.00 ✓ 15.00 ✓ 15.00 ✓ 15.00 ✓
✓	Dividend: The Pearl Market Bank & Trust Co. 50 shares (R. G. Haidachek) Regular 50 shares (R. G. Haidachek) Extra	15.00 ✓ 15.00 ✓
1585		

EXHIBIT 65-A

1900		
Oct 16	Dividend The Pearl Market Bank & Trust Co. 50 shares John A. Lewis & Reginald 50 shares John A. Lewis & Reginald	1500 1500
Nov 15	Dividend Central Savings Bank & Trust Co. 538 shares at 64.	32,288
Nov 18	Dividend Central Savings Bank & Trust Co. 5 shares James B. Brown	2000
✓	Dividend Central Savings Bank & Trust Co. 5 shares Maurice L. Keaton	2000
1	Dividend Union Central Life Insurance Co. 625 shares in name John L. Shuff	37500

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1	Bluel T Co (for Chicago listing fee)	1	10 000 000
2	Humphrey Robt Co (for Hamilton Bank Market & Trust Co. special accounts, drive and traveling expense)	2	408 950
3	A J Carroll (for United States Corporation, 15 Broadway New York - for engraving Co, registration fee and other similar costs)	3	1238 00
4	American Bank Note Company, Chicago, Ill. (for engraving company stock certificates and furnishing corporate seal)	4	165 00
5	Bowers Printing House (for printing envelopes)	5	26 50
6	The Franklin Printing Co Inc (for printing Co. book)	6	16 50
7	The Harris Trust and Savings Bank Chicago (for transfer tax on 6600 shares)	7	1820
8	Kentucky Lithographing Company, Inc (for engraving work on stock/cps)	8	18 50
9	Modern Printing Company (for mimeograph letters to shareholders)	9	2627
10	The Standard Printing Company (for same printing matter)	10	74230
11	National Bank of Kentucky (for 25 shares Capital Bank & Trust Co. common stock at \$7.50 per share)	11	2887500
12	Spokes - not issued	12	0
13	National Bank of Kentucky (for 19 shares Capital Bank & Trust Co. common stock at \$7.50 per share)	13	1377500
14	National Bank of Kentucky (for 25 shares Capital Bank & Trust Co. common stock at \$7.50 per share)	14	1812500
15	National Bank of Kentucky (for listing fee Louisville Stock Exchange)	15	100 00
16	National Bank of Kentucky (for expenses of J. W. Schinde to Chicago Oct 1911)	16	3375
17	National Bank of Kentucky (for expenses of J. W. Schinde to Chicago Oct 1911 - Oct 1912)	17	15780
18	National Bank of Kentucky (for expenses of J. W. Schinde to New York Nov 3-5)	18	21712
19	National Bank of Kentucky (for cost of 30 shares Capital Bank & Trust Co. common stock at \$7.50 per share)	19	2137500
20	The Louisville Courier-Journal (for telegraph charges)	20	326 00
21	Courier-Journal for printing Co (for ledger sheets)	21	2 00
22	Wadsworth Co (for business cards)	22	2000 000
23	Andrew M. Mosler (for business cards and other expenses)	23	118500
24	Chapman & Cutler (for listing 10000 shares on balance sheet)	24	51560
25	Capital Bank & Trust Co. common stock (for 19 shares Capital Bank & Trust Co. common stock at \$7.50 per share)	25	5950 00
26	Louisville Trust Co (for 1/4 of per share federal tax 10000 shares)	26	523871
27	National Bank of Kentucky (for 1/4 of per share federal tax 10000 shares)	27	433333

EXHIBIT 65-A

1927		Debit	Credit	Balance
Dec 17	National Bank of Kentucky - for final payment Brighton Bank & Trust Co., Cincinnati, capital stock	78		34825.00
1	National Bank of Kentucky - for purchase 50 shares Brighton Bank and Trust Co. stock at \$5.00 per share	29		425.00
1 9	National Bank of Kentucky - for purchase 506 shares capital stock Central Savings Bank and Trust Co. Cincinnati Ky at \$6.00 per share	30		3156.00
1 10	The Pearl Market Bank & Trust Co. Investment accounts - final payment of stock purchased	31		17300.00
	Check Spelled	32		
	Check Sp.	33		
1 11	The Twentieth Century Press for printing circulars and brochures Cheap Exchange	34		796.50
1 17	National Bank of Kentucky - for payment for purchase 625 shares Union Central Life Insurance Company stock at \$10.00 per share	35		7500.00
1 19	Humphries Robinson & Co. - for examination of Central Savings Bank & Trust Co. Cincinnati Ky 5424.77 and traveling expenses 14.77	36		5945.54
1	Lochler Stamp & Stencil Co. - for Corporation Seal	37		5.75
1	Geo. B. Fetter Co. - Bookkeeping supplies	38		30.75
1	Geo. B. Fetter Co. - Stationery supplies	39		15.00
1	American Bank Note Company, Chicago Ill. - for engraving 1000 Certificates of Stock - Cheap Exchange	40		70.00
1	Kentucky Lithographing Co. - Printing 5000 checks	41		42.50
1	Kentucky Lithographing Co. - Printing 5000 stockheads	42		48.00
1	National Bank of Kentucky - for purchase 20 shares Central Savings Bank and Trust Company stock at \$6.00 per share	43		1220.00
1	National Bank of Kentucky - for traveling expenses from 2 days L. L. Lawrence and C. J. Guel & Cincinnati Ohio, for November 22-1927	44		51.25
	1588			

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1929	Dec	30	Manning L. Halow, Attorney 144 shares Peoples Liberty Bank & Trust Company Cincinnati, Ky.	145	7629 109 40
					357 000 00
		31	Chemical Bank & Trust Co. New York, for interest on 1000000 demand note to January 1, 1930 at 6%	148	516667
1930	Jan	1	Secretary of State (Selling Delaware Annual Reports)	47	2 00
		1	The Louisville Trust Co. for dividend on 1532669 shares The Commonwealth Bank due Jan. 1, 1930	46	30653380
		6	A. J. Carroll, J. Kennedy Helms and Robert F. Vaughan for legal services to Jan. 1, 1930	149	1750000
		14	United States Corporation	150	638000
		24	Kentucky Lithographing Co. for printing 6000 dividend checks	151	65000
		1	Standard Printing Co. for printing checks and circular letters	152	7350
		31	Spooled - Not issued	153	
			Spooled - Not issued	154	
		1	National Bank of Kentucky (for credit to Chemical Bank & Trust Co. New York in payment demand loan)		100000000
		1	National Bank of Kentucky (for credit Chemical Bank & Trust Co. New York interest on demand loan)		453333
1930	Feb	5	National Bank of Kentucky - for payment of draft covering 71 shares capital stock Peoples Liberty Bank & Trust Company at Cincinnati, Ky.	157	1430400
		6	National Bank of Kentucky - for draft covering purchase 100 shares capital stock Peoples Liberty Bank & Trust Co. Cincinnati, Ky. at \$20.12 per share	158	2010000
1930	Feb	14	American Bank Note Co. Chicago Ill. Engraving 50000 (Smithsonian)	159	60000
		1	Continental Illinois Bank & Trust Co. Chicago, Ill. - for services as Registrar from Sept. 1st to Dec. 31st, 1929	160	52975
1589					42608967

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1920			
Feb	1	Geo. S. Feller Co. for paper interest	161
			6.00
	✓	John O. Martin Co. for supplies	162
			33.75
	✓	Brewer & Hunting House for supplies	163
			170.00
Mar	15	National Bank of Kentucky - for draft against purchase 30 shares Peoples Liberty Bank and Trust Company stock at \$20.00 per share and 17 shares Peoples Liberty Bank and Trust Company stock at \$20.10 per share	164
			94.1700
	✓	National Bank of Kentucky - for draft against purchase 15 shares Peoples Liberty Bank and Trust Company stock at \$20.10 per share	165
			30.1500
	✓	National Bank of Kentucky - for draft against purchase 10 shares Peoples Liberty Bank and Trust Company stock at \$20.10 per share	166
			20.0000
	✓	Collector of Internal Revenue, Louisville, Ky. - income tax on business and tentative return	167
			1000000
	✓	Wakefield Co. - for	168
			12500000
	✓	National Bank of Kentucky - purchase against draft 6 shares Peoples Liberty Bank and Trust Co., Cornington, Ky. at \$20.10 per share	169
			1206.00
	✓	National Bank of Kentucky - purchase 7 shares Brighton Bank & Trust Co. capital stock Cincinnati, Ohio at \$90.00 per share	170
			630000
	✓	The Peoples Liberty Bank and Trust Co., Cornington, Ky.	171
			75000000
April	1	The Louisville Trust Co. transfer agent, for dividend on 1,570,915 shares of the American Kentucky one Apr. 1, 1920	172
			314.19700
	✓	To be paid to purchase 13 shares Peoples Liberty Bank and Trust Co. stock at \$75.00 per share, plus 1.00 per share brokerage and 8 shares Peoples Liberty Bank and Trust Co. stock at \$20.00 per share plus 1.00 per share brokerage	173
			3896.00

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Apr 19	Pakefield Co. - For purchase 5000 shares the Banco Kentucky Company stock @ \$25 per share	74	5577.3627	7500.0000
17	Harris Trust & Savings Bank, Chicago Ill. - For services as Transfer Agent for six months period ending March 30, 1930	75	1006.66	
1	Continental Illinois Bank & Trust Co. Chicago Ill. - For services as Registrar from Dec 27, 1929 to March 27, 1930	76	1575.00	
1	Kentucky Lithographing Co. - For printing dividend checks	77	6000.00	
1	American Bank Note Company - For engraving 2000 temporary stock certificates	78	1000.00	
1	American Bank Note Company - For impressing seal on 2000 temporary stock certificates	79	1000.00	
19	Harris Trust & Savings Bank, Chicago Ill. - For insurance and postage on 5600 shares the Banco Kentucky Company returned	80	800.00	
1	Chicago Stock Exchange, Chicago, Ill. - For '81 check printed	81	5000.00	
31	National Bank of Kentucky - For expenses on Dec 6, 1930 of a - income to Cincinnati, Ohio	82	4850.00	
1	National Bank of Kentucky - For expenses on Mar 21, 1930 of W. J. J. in Schmidt to Chicago	83	4380.00	
24	Security Bank Louisville Ky Millburn B. Kelley - 22 shares @ \$100.00	84	2200.00	
26	National Bank of Kentucky - General expenses - Stenographer, express, boxes at stockholders meeting	85	2657.00	
28	Security Bank Louisville Ky			
	Frank L. Spurgin 18 shares @ \$100.00	87	1800.00	
	Henry Bohmer 15 shares @ \$100.00	88	1500.00	
	J. McCarroll and Son 25 shares @ \$100.00	89	2500.00	
	Walter M. Lerch 25 shares @ \$100.00	90	2500.00	
	W. C. Hall 1 share @ \$100.00	91	100.00	
	Richard W. Hardesty 5 shares @ \$100.00	92	500.00	
	John M. Sexton 15 shares @ \$100.00	93	1500.00	
	Elizabeth A. Badger 1 share @ \$100.00	94	100.00	

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Apr. 18. Security Bank, Louisville, Ky.			
Mrs. Clara Oliver	5 shares @ 100 ⁰⁰	195	500000
Mary Nagley	20 shares @ 100 ⁰⁰	196	300000
William Hagmeier	15 shares @ 100 ⁰⁰	197	150000
W. C. Roder	75 shares @ 100 ⁰⁰	198	750000
Anna M. Russell	10 shares @ 100 ⁰⁰	199	100000
John Taylor	27 shares @ 100 ⁰⁰	100	270000
First Bank	21 shares @ 100 ⁰⁰	101	210000
A. M. Marrett	3 shares @ 100 ⁰⁰	102	30000
Edward Kernert	6 shares @ 100 ⁰⁰	103	60000
Nettie Dahlem	11 shares @ 100 ⁰⁰	104	110000
Robert Schumann	15 shares @ 100 ⁰⁰	105	150000
John Taylor	8 shares @ 100 ⁰⁰	106	80000
Amy Holthepp Johnson	150 shares @ 100 ⁰⁰	107	1500000
Chas. H. Bohmer	5 shares @ 100 ⁰⁰	108	50000
Robert P. Kraft	10 shares @ 100 ⁰⁰	109	100000
Mrs. Louise M. Kuty	22 shares @ 100 ⁰⁰	110	220000
Charles Kuty	3 shares @ 100 ⁰⁰	111	30000
Miss Lillian Bremer	3 shares @ 100 ⁰⁰	112	30000
Miss Agnes Bremer	3 shares @ 100 ⁰⁰	113	30000
Miss Mayme Bremer	3 shares @ 100 ⁰⁰	114	30000
Miss Matilda Bremer	3 shares @ 100 ⁰⁰	115	30000
Miss Lula M. Bremer	3 shares @ 100 ⁰⁰	116	30000
Miss Anne Keller	1 share @ 100 ⁰⁰	117	10000
Kemp Kuty	25 shares @ 100 ⁰⁰	118	250000
Mrs. Ida E. Robinson	1 share @ 100 ⁰⁰	119	10000
Otto J. Rader	25 shares @ 100 ⁰⁰	120	250000
Mrs. Caroline Kaderbach	25 shares @ 100 ⁰⁰	121	250000
H. Nellie Kraft	18 shares @ 100 ⁰⁰	122	180000
Arthur L. Cavers	10 shares @ 100 ⁰⁰	123	100000
Benjamin Rosenbaum	33 shares @ 100 ⁰⁰	124	330000
Edward Wille	5 shares @ 100 ⁰⁰	125	50000
Miss Elizabeth Wille	4 shares @ 100 ⁰⁰	126	40000
Julian O. Day Thistle	45 shares @ 100 ⁰⁰	127	450000
Mrs. Mary Louise Klingman	1 share @ 100 ⁰⁰	128	10000
Mrs. Fannie R. Brown	25 shares @ 100 ⁰⁰	129	250000
James C. Bright	15 shares @ 100 ⁰⁰	130	150000
Samuel Rosenbaum	17 shares @ 100 ⁰⁰	131	170000
Edward Rapp	14 shares @ 100 ⁰⁰	132	140000
Herman Blatz	8 shares @ 100 ⁰⁰	133	80000
Mrs. Elise M. Rader	20 shares @ 100 ⁰⁰	134	200000
Edmund J. Schubert	6 shares @ 100 ⁰⁰	135	60000
James R. Burkholder	10 shares @ 100 ⁰⁰	136	100000
Louis H. Jones Co. Trust	36 shares @ 100 ⁰⁰	137	360000
Louis H. Jones Co. Trust	36 shares @ 100 ⁰⁰	138	360000
Louis H. Jones Co. Trust	12 shares @ 100 ⁰⁰	139	120000

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Apr 26	Security Bank, Louisville, Ky			5754 1377
	Mrs. Alice A. Rich	8 shares @ 100 ⁰⁰	8140	800000
	Mrs. J. A. Doll	8 shares @ 100 ⁰⁰	8141	800000
	Miss Adeline C. Baker	10 shares @ 100 ⁰⁰	10142	1000000
	Harry J. Thompson	1 share @ 100 ⁰⁰	1143	100000
	Charles R. Long, Jr	75 shares @ 100 ⁰⁰	75144	7500000
	Joseph Shields	25 shares @ 100 ⁰⁰	25145	2500000
	Philip Rosenberg	7 shares @ 100 ⁰⁰	7146	700000
	Mrs. Joseph Magatzger	15 shares @ 100 ⁰⁰	15147	1500000
	Frank M. Perkins, Jr	25 shares @ 100 ⁰⁰	25148	2500000
	Math A. Crawford	25 shares @ 100 ⁰⁰	25149	2500000
	Miss Henderson Shields	8 shares @ 100 ⁰⁰	8150	800000
	Mrs. Cornelia Kerin	10 shares @ 100 ⁰⁰	10151	1000000
	Mrs. Louise Knoch	9 shares @ 100 ⁰⁰	9152	900000
	Mrs. Marie E. Read	3 shares @ 100 ⁰⁰	3153	300000
	Mrs. Sophie J. Coste	11 shares @ 100 ⁰⁰	11154	1100000
	Grace Rosenbaum	42 shares @ 100 ⁰⁰	42155	4200000
	James R. Burkholder	10 shares @ 100 ⁰⁰	10156	1000000
	Mrs. Belle M. Keil	2 shares @ 100 ⁰⁰	2157	200000
	Mrs. Rosa Kasper	25 shares @ 100 ⁰⁰	25158	2500000
	Louis O. Burg	10 shares @ 100 ⁰⁰	10159	1000000
	Miss Alma Burg	10 shares @ 100 ⁰⁰	10160	1000000
	Mrs. Alvina Burg Stecher	11 shares @ 100 ⁰⁰	11161	1100000
	Mrs. Alice J. Leck	9 shares @ 100 ⁰⁰	9162	900000
	Harry Rosenbaum	17 shares @ 100 ⁰⁰	17163	1700000
	Leo L. Smith	1 share @ 100 ⁰⁰	1164	100000
	Empire Building	6 shares @ 100 ⁰⁰	6165	600000
	Joseph Schmitt	5 shares @ 100 ⁰⁰	5166	500000
	Henry C. Pfingst	4 shares @ 100 ⁰⁰	4167	400000
	Mrs. Amelia Kellin	4 shares @ 100 ⁰⁰	4168	400000
	Mrs. Cara B. Scheffer	15 shares @ 100 ⁰⁰	15169	1500000
	William A. Reiser	9 shares @ 100 ⁰⁰	9170	900000
	William A. Reiser, Executor	18 shares @ 100 ⁰⁰	18171	1800000
	Mrs. Annie M. Rosenbaum	15 shares @ 100 ⁰⁰	15172	1500000
	<i>This stock listed on Apr. 15</i>		173	
	<i>See also stock listed above</i>		175	
May 1	Security Bank, Louisville, Ky			
	Ed. Albright, Jr	7 shares @ 100 ⁰⁰	7176	700000
	Leo J. Rosenbaum	17 shares @ 100 ⁰⁰	17177	1700000
	The First National Bank, Louisville, Ky	15 shares @ 100 ⁰⁰	15178	1500000
	Mrs. Lorena Schlichter	10 shares @ 100 ⁰⁰	10179	1000000
	L. F. Detchen	20 shares @ 100 ⁰⁰	20180	2000000
	Louise W. Detchen	2 shares @ 100 ⁰⁰	2181	200000
	John J. Oertel, Estate	15 shares @ 100 ⁰⁰	15182	1500000
	Mrs. Annie L. Whigton	5 shares @ 100 ⁰⁰	5183	500000
	Max Nicht	5 shares @ 100 ⁰⁰	5184	500000
	Katherine Long Hall	15 shares @ 100 ⁰⁰	15185	1500000

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1900					5109337.71
May	Security Bank, Louisville Ky				
	Mrs. Clara V. Smith	6 shares @ 100 ⁰⁰	1186		600.00
	Miss Louise M. Shurt	2 shares @ 100 ⁰⁰	1187		200.00
	Almstead Bros	30 shares @ 100 ⁰⁰	1188		3000.00
	Mrs. Mary Cunningham	4 shares @ 100 ⁰⁰	1189		400.00
	Alice Schaudel	7 shares @ 100 ⁰⁰	1190		700.00
	Mrs. Louise M. Carter	10 shares @ 100 ⁰⁰	1191		1000.00
	Adam Huby - Cost of Hotel Design for Director's Board 119-				10.00
	The Chicago Stock Exchange - for stenographic fees 193 incurred at meeting Stock List Committee 4/10/100				20.75
	American Bank Note Co. (Western Division) 194 for engraving 200 Louisville Registry certificates and impressing seal				150.00
	12 Wakefield Co. - for		1195		30,000.00
	15 Wakefield Co. - for		1196		77,500.00
	16 Wakefield Co. - for		1197		112,500.00
	18 The Twentieth Century Press - for listing 1198 circulars additional 1/2 hour Chicago Stock Exchange				42.71
	1 United States Corporation, New York - procuring 1199 three engraved copies Certificate of Incorporation check paid				10.00
	19 Wakefield Co.		1201		82,500.00
	Security Bank, Louisville Ky				
	John Mason Morris	37 shares @ 100 ⁰⁰	1202		3,700.00
	Mrs. Amelia M. Rehkopf	6 shares @ 100 ⁰⁰	1203		600.00
	William Mehler	15 shares @ 100 ⁰⁰	1204		1,500.00
	Joel L. Sasser	15 shares @ 100 ⁰⁰	1205		1,500.00
			1594		6,300.00

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1920					607572.70.
May	✓	Security Bank, Louisville, Ky.			
	✓	Joseph Long 45 shares @ 100 ⁰⁰	1206		450000
	✓	Miss Eleanor Schaefer 5 shares @ 100 ⁰⁰	1207		50000
	✓	Mrs. Bessie Lee Huggins 10 shares @ 100 ⁰⁰	1208		100000
	✓	Edmund J. Newman 10 shares @ 100 ⁰⁰	1209		100000
	✓	National Bank of Kentucky - For purchase, against	1210		220000
		draft, 11 shares Peoples Liberty Bank and			
		Trust Co. Commf. Ky capital stock @ 200 ⁰⁰ per share			
	✓	Wakefield Co.	1211		11250000
	✓	Armistead M. Churchill - Ashland, Ky. - For	1212		12500
		purchase one half share the Security Co. stock @ 25 ⁰⁰ per share			
		acquired about exchange Ashland Natl Bank stock			
	✓	Lydia O. Martin - Ashland, Ky. - For purchase one	1213		12500
		half share the Security Co. stock @ 25 ⁰⁰ per share			
		acquired about exchange Ashland Natl Bank stock			
	✓	Mary A. Shirley - Ashland, Ky. - For purchase one	1214		12500
		half share the Security Co. stock @ 25 ⁰⁰ per share			
		acquired about exchange Ashland Natl Bank stock			
		Check spoiled - No receipt	215		
		Check spoiled - No receipt	216		
		Security Bank, Louisville, Ky.			
	✓	Mrs. Katherine C. Bohrmann 5 shares @ 100 ⁰⁰	1217		50000
	✓	Mrs. Emma Schmitt 1 share @ 100 ⁰⁰	1218		10000
	✓	William Kraybill 2 shares @ 100 ⁰⁰	1219		20000
	✓	Mrs. Minnie Kraybill 4 shares @ 100 ⁰⁰	1220		40000
	✓	Mrs. Mary Rose 6 shares @ 100 ⁰⁰	1221		60000
	✓	Miss Louise Kermest 4 shares @ 100 ⁰⁰	1222		40000
	✓	Miss Eliza Lampe 3 shares @ 100 ⁰⁰	1223		30000
	✓	J. J. B. Richard 4 shares @ 100 ⁰⁰	1224		40000
	✓	National Bank of Kentucky - For purchase, against	1225		640000
		draft, 32 shares Peoples Liberty Bank & Trust Co.			
		Commf. Ky. capital stock at 200 ⁰⁰ per share			

EXHIBIT 65-A

1920	May 20	Wahfield Co	Jan	1926	75000000
	May 20	Wahfield Co	Jan	1927	10000000
	1/26	Wahfield Co	Jan	1928	8500000
	Security Bank, Louisville Ky				
	Mrs. Josephine Cox	3 shares @ 100.00	1929	30000	
	Miss Jenny L. Robbins	128 shares @ 100.00	1920	1280000	
	Abel A. Dwyer	1 share @ 100.00	1921	10000	
	101 Wahfield Co		1922	2750000	
	Security Bank, Louisville Ky				
	Fidelity Columbia Trust Co. Executors & H. Daniel	5 shares @ 100.00	1933	500000	
	Virginia Barrett Bridges	6 shares @ 100.00	1934	600000	
	Fidelity Columbia Trust Co. Trustee	1 share @ 100.00	1935	100000	
	Virginia & Palmer Bell				
	Fidelity Columbia Trust Co. Agent	3 shares @ 100.00	1936	300000	
	Walter H. Belknap				
	Fidelity Columbia Trust Co.	13 shares @ 100.00	1937	1300000	
	Trustee Mrs. Eliza Fulton				
	Fidelity Columbia Trust Co.	3 shares @ 100.00	1938	300000	
	Trustee Edward H. Hesse				
	Trustee Anna Carr Hesse				
	Fidelity Columbia Trust Co.	5 shares @ 100.00	1939	500000	
	Trustee Kentucky Trust Co.	1 share @ 100.00	1940	100000	
	Trustee Mary H. Hesse				
	Fidelity Columbia Trust Co.	1 share @ 100.00	1941	100000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	1 share @ 100.00	1942	100000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	2 shares @ 100.00	1943	200000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	1 share @ 100.00	1944	100000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	5 shares @ 100.00	1945	500000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	2 shares @ 100.00	1946	200000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	1 share @ 100.00	1947	100000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	6 shares @ 100.00	1949	600000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	5 shares @ 100.00	1950	500000	
	Trustee J. H. Hesse				
	Fidelity Columbia Trust Co.	14 shares @ 100.00	1951	1400000	
	Trustee Mrs. H. H. Hesse				
	1596				

EXHIBIT 65-A

1930 June 7	Wakefield Co	1251	25 000 000
5	Security Bank, Louisville Ky Mrs Matilda L. Hlegel Liberty Bank & Trust Co. Administration Estate Alice Schmitt Deceased	253 1254	900 000 500 000
16	Wakefield Co. inc	1258	100 000 000
16	Humphrey & Son Inc - forwarding Oakland National Bank Examination 6-5-32 - have him send 198 ²⁶ form. half his charges to be borne by the Bancorby Co and one-half by Oakland National Bank		40 650 000
110	Wakefield Co	1256	320 000 000 61 705 1474
114	Wakefield Co	1259	102 500 000
116	Collector of Internal Revenue	1253	188 000 000
117	Wakefield Co	1254	32 500 000
1	National Bank of Kentucky (for Purposes)	1260	262 500 000
1	National Bank of Kentucky (for Purposes)	1261	270 000 000

EXHIBIT 65-A

1920 June 7	National Bank of Kentucky (face purchase)	1062	10750000.
18	Wakefield Co.	61	500000.
✓	Kulmbach, Lehighphumder - for printing bi statement books	1064	9850.
✓	Marret & Miller for Floral Design method & Kelley	1065	1000.
✓	Tax Department, State of Delaware - for annual franchise tax for year 1919 - due April 1, 1920	1066	23917.
✓	Chapman & Cutler, Chicago, Illinois - for services in connection with listing of an additional 2,000,000 shares on the Chicago Stock Exchange	1067	25000.
✓	Chapman & Cutler, Chicago, Illinois - for services in connection with preparation of resolutions and rendering opinion to Chicago Transfer Agent and Chicago Registrar to include total 2,000,000 shares	1068	25000.
✓	Royal Typewriter Company. In rent typewriters used at Lauenstein Trust Company on Jan Feb March April and May	1069	4000.
19	Wakefield Co.	1070	750000.
20	Wakefield Co.	1071	11750000.
23	Wakefield Co.	1072	1500000.
	Check Spaced Not record	1073	

EXHIBIT 65-A

June 1st Wakefield Co	27-	6000000
✓ National Bank of Kentucky - purchase against draft 100 shares The Pearl-Market Bank & Trust Co	1275	5025000
✓ National Bank of Kentucky - purchase against draft 3 shares The Peoples Liberty Bank & Trust Co	1276	600000
✓ National Bank of Kentucky - purchase 200 shares against draft The Pearl-Market Bank & Trust Co	1277	1065000
✓ National Bank of Kentucky - purchase against draft 100 shares The Pearl-Market Bank & Trust Co	1278	5675000
No. Wakefield Co - for	1279	5500000
✓ Security Bank Lewinsville Trust Co, Trustee John F. Kellner 10 shares @ 100	1280	1500000
✓ Wakefield Co	1281	15500000
July 1st 1. Overland on 1575 + 10 shares The Bank of Kentucky Co	1282	31509660
✓ Wakefield Co	1283	4750000
✓ The Pearl-Market Bank & Trust Co - for purchase 100 shares @ \$52.50 per share	1284	4525000

EXHIBIT 65-A

1920 July 15	Wakefield Co	1490	2500000
117	National Bank of Kentucky purchase shares 1919 3 shares Peoples Liberty Bank & Trust Company capital stock @ 200 per share		600000
125	Wakefield Co	1300	2500000
126	The Louisville Trust Co., day after Agent, for Original Federal Tax and shares	1301	653414
129	Security Bank only March 1st & administration with the wife of the estate of Robert M. Kilgallon - 60 shares @ 100 each		6000000
Aug 16	Chemical Bank & Trust Co., New York, N.Y. for interest on \$1,000,000 from June 20, 1920 to July 1, 1920	1302	430556
1	Continental Illinois Bank & Trust Co., Chicago Ill. for services as registrar from March 27th, 1920 to June 27, 1920	1304	20393
	Check spoiled - not issued	205	
1	National Bank of Kentucky (Wakefield Co) for	1306	4250000
Sept 15	American Bank Note Co., Chicago Ill. - for engraving permanent certificates, Chicago and Louisville registry	1307	560000
115	Collector of Internal Revenue - for installment income tax	1308	144020
116	National Bank of Kentucky - traveling expenses of C. F. Green and H. J. Furber to Chicago in April	1309	15590
1901			

EXHIBIT 65-A

Sept 11	National Bank of Kentucky - for interest due Chemical Bank and Trust Co. New York on Sept. 1, 1900	310	430556
1	National Bank of Kentucky (vs. Wakefield Co.) for	311	1250800
1	National Bank of Kentucky - for purchase against draft following stocks - 7 shares Peoples' Trust Bank & Trust Co. of \$500 @ \$200 per share 3 shares Peoples' Trust Bank & Trust Co. of \$500 @ \$200 per share - 600 3 shares Peoples' Trust Bank & Trust Co. of \$500 @ \$200 per share - 600 3 shares Peoples' Trust Bank & Trust Co. of \$500 @ \$200 per share - 600 1 share Carl Market Bank & Trust Co. of \$500 @ \$200 per share - 200	312	557050
7	National Bank of Kentucky, handling expenses for Jan. and Jan. 2, 1900 to Chemical on Sept. 1, 1900	313	14756
1 22	United States Corporation Co. New York - for two packages Minute Book paper @ 25¢ per package & postage	314	5329
1	Continental Illinois Bank & Trust Co. Chicago - for registration/reporting sheets	315	750
1 23	The Louisville Trust Company - for package of 100 stamps, August from July 1900 to July 1900 inclusive	316	76576
1	Kentucky Lithographing Company, for printing 6500 United Church October 1900	317	6500
Oct 1	Check \$1000.00 not issued	318	
1	The Louisville Trust Company, Transfer Agent for dividend quarter ending Sept. 30, 1900 on 1,000,000 shares	319	317685600
1 3	Chemical Bank & Trust Co. New York N.Y. - for interest on 1,000,000 from August 31st to Sept. 30th	320	46667
1 6	Security Bank Louisville Ky for share Mrs. Clothilde March 1900 @ 100	321	90000
1 21	Security Bank Louisville Ky for share Mrs. The Society @ 100	322	120000
1 22	National Bank of Kentucky for 10,000 new Treasury Notes & 10,000 old Treasury Notes	323	60000000

EXHIBIT 65-A

1920				
Oct	131	National Bank of Kentucky - for purchase against draft the following (check rec \$3200.00)	1314	
		15 shares The Peoples Liberty Bank & Trust Co. @ 200 per share (\$3000)		300000
		9 shares The Peoples Liberty Bank & Trust Co. @ 200 per share (\$1800)		180000
		40 shares The Peoples Liberty Bank & Trust Co. @ 200 per share (\$8000)		800000
		100 shares The Peoples Liberty Bank & Trust Co. @ 200 per share (\$20000)		200000
		2 shares The Peoples Liberty Bank & Trust Co. @ 200 per share (\$400)		40000
Nov	13	Chemical Bank & Trust Co., New York N.Y. for interest from Dec 1919 to Oct 31 1920 on \$1,600,000.00	325	505556
	5	National Bank of Kentucky - for traveling expense of 63 miles 20 th and telephone calls 47 th	326	6820
	10	National Bank of Kentucky - for purchase against draft 10 shares The Peoples Liberty Bank & Trust Co., Covington, Ky at 200 per share (Certificate 527)	327	200000
	10	National Bank of Kentucky - for traveling expenses Robert L. Langham & H. J. Gumbel made to Nashville November 6 th and 7 th	328	14000

EXHIBIT 65-A

1929		Credit	Debit
Oct 1	Cash	300,000 -	
1	Investment - First payment Pearl Market Bank & Trust Co. Cincinnati, Ohio, transferred via National Bank of Kentucky, Federal Reserve Bank to Pearl Market Bank in Ky. Co. for account The Panhandle Kentucky Company - 4628 shares		300,000 -
1	Cash	550,000 -	
1	Investment - Same as above - Second payment		550,000 -
1 18	Cash	1,250,000 -	
1	Investment - Same as above - Third payment Refund \$3650 ⁰⁰ due		1,250,000 -
1 28	Cash	1,500,000 -	
1	Investment - First payment The Brighton Bank and Trust Co. Cincinnati, Ohio transferred via National Bank of Kentucky, Federal Reserve Bank to The Brighton Bank and Trust Company for account The Panhandle Kentucky Company - 5377 shares		1,500,000 -
1 29	Cash	750,000 -	
1	Investment - Same as above - 6 th payment		750,000 -
1 31	Cash	400,000 -	
1	Investment - Same as above - Third payment		400,000 -
Nov 1	Cash	200,000 -	
1	Investment - Same as above - Fourth payment		200,000 -
1 6	Cash	100,000 -	
1	Investment - Same as above - Fifth payment		100,000 -
1 8	Cash	100,000 -	
1	Investment - Same as above - Sixth payment		100,000 -
	1604	15,500,000 -	15,500,000 -

EXHIBIT 65-A

Oct. 11	Capital: 54,936 shares @ 10"	549,360 -	Dr
1	Surplus: 54,936 shares @ 15"	824,040 -	
1	Investment: (Bank-Market Bank & Trust Co. Cincinnati) the above stock issued as part payment for shares of the Bank-Market Bank & Trust Co.	1,373,400 -	
Nov. 18	Capital: 31,759 shares @ 10"	317,590 -	
1	Surplus: 31,759 shares @ 15"	476,385 -	
1	Investment: (Brighton Bank & Trust Co. Cincinnati) the above stock received as part payment for shares of the Brighton Bank and Trust Co. Cincinnati, Ohio	781,475.00	
Dec. 31	Cash	250,000 -	
1	Investment - Certificate #316 The Louisville Trust Co. 6%	250,000 -	
1	Cash	300,000 -	
1	Investment - Certificate #399 The Louisville Trust Co. 6%	300,000 -	
Dec. 31	Investment	5,000,000.00	
	Certificate of Deposit \$665,777 National Bank of Kentucky		
1	Cash	4,000,000.00	
1	Investment - Certificate of Deposit \$667,777 National Bank of Kentucky	1,000,000.00	

EXHIBIT 65-A

		Credit	Debit
1929 Nov 14	Credit Bills Payable - Chemical Bank & Trust Company New York, drawn by -	1000000000	
	Cof A 1001 - 10,000 shs Capital Bank & Trust Co		
	Cof A 1119 - 50,000 shs Trust Corporation Capital National Bank of Kentucky - The Kentucky Trust Co		
	Cof 0242 - 5,000 shs The Bank-Market Bank and Trust Co, Cincinnati		
	Cof 0243 - 5,104 shs The Bank-Market Bank and Trust Co, Cincinnati		
	Note dated Nov 4, 1929 - On Demand		
✓	Investment - Certificate of Deposit - National Bank of Kentucky - A 66514 - 6%		1000000000
1 14	Cash	+ 169650-	
✓	Investment - Certificate of Deposit - National Bank of Kentucky - A 66514 - 6%		2500000000
✓	(same) - A 66515 - 6%		5000000000
✓	(same) - A 66516 - 6%		5000000000
✓	(same) - A 66517 - 6%		5000000000
✓	(same) - A 66518 - 6%		1000000000
✓	(same) - A 66519 - 6%		69650-
1 13	Investment - Certificate of Deposit A 66514 - 6%	2500000000	
✓	Revenue - Interest on Certificate of Deposit A 66514	+ 1666	
✓	Investment - Certificate of Deposit A 66514 - 6%		5000000000
✓	Cash		2000000000 + 1666
Dec 1 10	Capital Stock - 200 shares of the American Kentucky Company	20000000	
✓	Surplus	20000000	
✓	Investment - Final payment Capital Bank & Trust Co by issuance of 200 shares of the American Kentucky Co. to American National Bank		50000000

EXHIBIT 65-A

Oct 1 '76 Capital Stock -

1033,788 shares at 10⁰⁰ per share

103378800

Surplus - 1,033,788 shares at 15⁰⁰ per share

15506832000

Investment: Insured Corporation Share - National

Bank of Kentucky - The Louisville Trust Co

Certificate 4898 - 31,090 shares

4899 - 50,000

4900 - 50,000

4901 - 50,000

4902 - 50,000

4903 - 50,000

4904 - 50,000

4905 - 50,000

4906 - 50,000

4907 - 50,000

4908 - 50,000

Total 516,894 shares @ 50⁰⁰

25844700

Nov 8 Capital Stock:

3600 shares @ 10⁰⁰ per share

360000

Surplus:

3600 shares @ 15⁰⁰ per share

540000

Investment: Insured Corporation Share - National

Bank of Kentucky - The Louisville Trust Co

Certificate 84909 - 826 shares

Certificate 4911 - 974 shares

Total 1800 shares @ 50⁰⁰

90000000

116 Capital Stock:

12440 shares @ 10⁰⁰ per share

1244000

Surplus

12440 shares @ 15⁰⁰ per share

1866000

Investment:

Insured Corporation Share - National

Bank of Kentucky - The Louisville

Trust Company

Cof 4912 - 6220 shares @ 50⁰⁰ per share

3110000

EXHIBIT 65-A

1929		Credit	Debit
Nov 1	Investment:		
	Certificate of Deposit #66518	100000 -	
✓	Revenue:		
	Interest on Certificate of Deposit #66518	6666	
✓	Cash		100066
✓	Investment: Certificate of Deposit #6653 - National Bank of	50000 -	
✓	Revenue: Interest on Certificate of Deposit #	6666	
✓	Investment: Certificate of Deposit #6659 - National Bank of Kentucky		40000
	A66589 - A66590 - A66591 - A66592		
✓	Cash		256666
✓	Investment:		
	General Payment: Brighton Bank & Trust Co. Cincinnati transferred via National Bank of Kentucky Federal Reserve Bank to the Brighton Bank & Trust Co. for account of the Standard Kentucky Company		750000
Dec 9	Capital Stock		
	580 shares National Bank of Kentucky The Louisville Trust Co. bought at 15 th purchase	5800 -	
✓	Surplus		
	580 shares National Bank of Kentucky The Louisville Trust Co. bought at 15 th purchase	8700 -	
✓	Investment:		
	Invested Capital/Original National Bank of Kentucky - The Louisville Trust Co. Certificate #910 - 290 shares at 50 th purchase		14500 -
✓	Investment		
	Certificates of Deposit as follows		
	A66589 dated 11-21-29	100000 -	
	A66590 dated 11-21-29	100000 -	
	A66591 dated 11-21-29	100000 -	
	A66592 dated 11-21-29	100000 -	
✓	Revenue		
	Interest on Cert of Deposit #66589-90-91-92	133333	
✓	Cash		40133333

EXHIBIT 65-A

		Credit	Debit
Jan 17 Capital Stock:	860 shares National Bank of Kentucky - The Louisville Trust Company unpled at 10 ⁰⁰ per share	8600 -	
Surplus:	860 shares National Bank of Kentucky - The Louisville Trust Company unpled at 15 ⁰⁰ per share	12900 -	
Investment:	Trustee's Participation Certificate - National Bank of Kentucky - The Louisville Trust Company - Certificate 00915 430 shares at 50 ⁰⁰ per share		21500 -
Jan 21 Capital Stock	220 shares National Bank of Kentucky - The Louisville Trust Company unpled capital stock @ 10 ⁰⁰ per share	2200 -	
Surplus:	220 shares National Bank of Kentucky - The Louisville Trust Company unpled capital stock @ 15 ⁰⁰ per share	3300 -	
Investments	Trustee's Participation Certificate - National Bank of Kentucky - The Louisville Trust Company - Certificate 04916 - 170 shares at 50 ⁰⁰ per share		5500 -
Jan 31 Dividends Receivable:	Surplus: } See ledger for explanation of items	4771800 -	4771800 -
Jan 16 Capital Stock	400 shares National Bank of Kentucky - The Louisville Trust Company unpled participation shares at 10 ⁰⁰ per share	400000 -	
Surplus:	400 shares National Bank of Kentucky - The Louisville Trust Company unpled participation shares at 15 ⁰⁰ per share	600000 -	
Investment	Trustee's Participation Certificate - National Bank of Kentucky - The Louisville Trust Company - Certificate 04918 for 200 shares at 50 ⁰⁰ per share		100000 -

EXHIBIT 65-A

1932		Debit	Credit
Jan 23 Capital Stock			
	340 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at \$10 ⁰⁰ per share	3400 -	
✓ Surplus:	340 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at \$15 ⁰⁰ per share	5100 -	
✓ Investment:	170 shares National Bank of Kentucky The Louisville Trust Company unified certificates \$49.00 for 170 shares at \$50 ⁰⁰ per share		8500 -
✓ Investment:-	Cof of Deposit 386 The Louisville Trust Co. - 6%	75000000	
✓	Cof of Deposit 399 The Louisville Trust Co. - 6%	20000000	
✓ Investment:-	Cof of Deposit 266916 - 6% National Bank of Kentucky		53000000
✓ 31 Investment:-	Cof of Deposit 266466 dated Nov 2, 1929 National Bank of Kentucky	100000000	
✓ Revenue -	Interest on Cof of Deposit 266466 National Bank of Kentucky	483323	
✓ Cash			100483333
Mar 113 Capital Stock			
	18000 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at \$10 ⁰⁰ per share	18000000	
✓ Surplus	18000 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at \$15 ⁰⁰ per share	27000000	
✓ Investment:	9000 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at \$50 ⁰⁰ per share Cof 2 2929 for 9000 shares		45000000
✓ Capital Stock:	1290 shares National Bank of Kentucky - The Louisville Trust Company unified participation shares at \$10 ⁰⁰ per share	12900000	

EXHIBIT 65-A

		Credit	Debit
1920			
Mar 10	Surplus: 100 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at 15 ⁰⁰ per share	1935000	
✓	Investment: 645 shares National Bank of Kentucky The Louisville Trust Company unified participation shares at 50 ⁰⁰ per share		3225000
✓ 24	Investment: Certificate of Deposit National Bank of Kentucky # 66771 dated Jan 2, 1920	100000000	
✓	Revenue: Eight day interest on C.D. # 66771	13333	
✓	Cash		10013333
✓ 31	Investment: Certificate of Deposit # 66516 National Bank of Kentucky dated Nov 17, 1919	50000000	
✓	Revenue: Interest on National Bank of Kentucky Certificates of Deposit # 66516 - # 66916 - # 66515 to 3/31/20	413333	
✓	Investment: Certificate of Deposit National Bank of Kentucky # 67180 dated April 1, 1920		40000000
✓	Cash		10413333
✓ 24	Capital Stock: 4080 shares National Bank of Kentucky The Louisville Trust Co. unified participation shares at 10 ⁰⁰ per share	4080000	
✓	Surplus: 4080 shares National Bank of Kentucky The Louisville Trust Co. unified participation shares at 15 ⁰⁰ per share	6120000	
✓	Investment: 2040 shares National Bank of Kentucky The Louisville Trust Co. unified participation shares at 50 ⁰⁰ per share		10200000
1921			

EXHIBIT 65-A

1932	Credit	Debit
May 1 Investment		
Certificate of Deposit \$67,180 National Bank of Kentucky dated April 1, 1930	400,000.00	1
Revenue: Interest on Cof of Dep \$67,180 for 31 days at 6%	2066.66	
Investment: Certificate of Deposit \$67,844 National Bank of Kentucky dated May 2, 1930		300,000.00
Cash		202,066.66
b Investment: Certificate of Deposit \$67,844 National Bank of Kentucky dated May 2, 1930	100,000.00	
Revenue: Interest on Cof of Dep. \$67,844	133.33	
Cash		200,133.33
Capital Stock		
2640 shares National Bank of Kentucky The Louisville Trust Company unified Participation Shares @ 10.00 per share	264,000.00	
Surplus		
2640 shares National Bank of Kentucky The Louisville Trust Company unified Participation Shares @ 15.00 per share	396,000.00	
Investment: 1520 shares - Cof \$4959 - National Bank of Kentucky - The Louisville Trust Company unified Participation Shares at 5.00 per share		66,000.00
Investment: Certificate of Deposit \$66,515 dated Nov. 12, 1929 Nat'l Bank of Ky	500,000.00	
Revenue: Interest on Cof of Dep \$66,515 Nat'l Bank of Ky	366.66	
Investment: Certificate of Deposit \$67,887 National Bank of Kentucky dated May 14, 1930		300,000.00
Cash		203,666.66

EXHIBIT 65-A

1920				
May 13	Investment:			
	Revenue:	Certificate of Deposit \$66916 dated Jan 24, 1920	550 000 00	
		53 days interest on Cof of Deposit \$66916	4858 23	
	Investment:			
		Cof of Deposit National Bank of Kentucky dated May 23, 1920 No. 267433		400 000 00
	Cash			154 858 33
May 16	Investment:			
	Revenue:	Certificate of Deposit National Bank of Kentucky \$67387 dated May 14, 1920	300 000 00	
		12 days interest on Cof of Deposit \$67387	600 00	
	Investment:			
		Certificate of Deposit National Bank of Kentucky \$67447 dated May 26, 1920 at 6%		200 000 00
	Cash			100 600 00
June 6	Investment:			
		Certificate of Deposit National Bank of Kentucky \$67447 dated May 26, 1920	200 000 00	
	Revenue:	11 days interest on Cof of Deposit \$67447	366 66	
	Investment:			
		Certificate of Deposit \$67496 National Bank of Kentucky dated June 6, 1920 at 6%		100 000 00
	Cash			100 366 66
June 11	Investment:			
	Revenue:	Certificate of Deposit \$67433 dated May 23, 1920	400 000 00	
		19 days interest on Cof of Deposit \$67433	1266 66	
	Cash			401 266 66
	1st Pillsbury - Chemical Bank & Trust Co, New York		1 000 000 00	
	acquired by 10000 shares Brighton Bank & Trust Co; 10 104 shares			
	Bank-Morris & Co and 50000 shares participation there			
	Cash			1 000 000 00

EXHIBIT 65-A

1930

June 1, Capital Stock

220 shares National Bank of Kentucky - The
Lynnville Trust Company, unpled Participation
Shares at 10⁰⁰ per share

220000

Surplus

220 shares National Bank of Kentucky - The
Lynnville Trust Company, unpled Participation
Shares at 15⁰⁰ per share

330000

Investment

110 shares - Certificate 2-26 - National Bank
of Kentucky - The Lynnville Trust Company
unpled Participation Shares at 50⁰⁰ per share

550000

30 Capital Stock

24,930 shares issued in exchange for stock of the
First National Bank Ashland - (2¹⁰ per share)

24930000

Surplus

24,930 shares issued at 15⁰⁰ per share for stock of
the First National Bank Ashland

37395000

Investment

6925 shares First National Bank Ashland Co.
20⁰⁰ per value, received to date at 70⁰⁰ per share

62325000

Capital Stock

5570 shares issued at 5⁰⁰ per share

557000

1176 shares issued at 10⁰⁰ per share

1176000

for stock of the Ashland National Bank Ashland Co.

Surplus

5570 shares issued at 15⁰⁰ per share

835500

1176 shares issued at 15⁰⁰ per share

176400

stock of the Ashland National Bank Ashland Co.

1 share in the amount of 25⁰⁰ paid to stockholder

H. Ashland National Bank Ashland Co.

representing purchase fractional share on the
basis 25⁰⁰ per full share according to the contract

Investment

2763 shares Ashland National Bank
Ashland Co. at 200⁰⁰ per share (paid)
received to date

13946000

157 shares, Ashland National Bank Ashland
Co. at 187⁰⁰ per share (paid) received to date
Less cash payment 37⁰⁰; see above explanation

2940000

EXHIBIT 65-A

Date	Name	Credit	Debit
	Capital Stock		
	78,734 shares at 10 ⁰⁰ per share issued in exchange for stock of the Security Bank, Louisville, Ky. (par value 100 ⁰⁰) received to date.	787,340.00	
✓	Surplus		
	78,734 shares at 10 ⁰⁰ per share issued in exchange for stock of the Security Bank, Louisville, Ky. (par value 100 ⁰⁰) received to date.	787,340.00	
	(Cash in amount of \$206,600 ⁰⁰ paid stockholders of Security Bank Louisville, Ky. for 8264 shares at rate of 25 ⁰⁰ per share, under option/plan of exchange)		
✓	Investment Security Bank, Louisville, Ky. 78,734 shares the Bancor Kentucky Company @ 25 ⁰⁰ per share, being balance of purchase price of 2607 shares the Security Bank stock at 300 ⁰⁰ per share, at total cost price of \$914,450 ⁰⁰ (2607 shares Security Bank stock, par 100 ⁰⁰ received to date)		705,850.00
July 2	Investment: Certificate of Deposit 467496 National Bank of Kentucky dated June 6, 1920 cashed	100,000.00	
✓	Revenue:		
	Interest on C of Deposit 467496	433.33	
✓	Cash		100,433.33
June 30	Capital Stock		
	900,000 shares the Bancor Kentucky Company issued to Caldwell Company in exchange for 10,000 shares - 900 ⁰⁰ par stock Caldwell Company	900,000.00	
✓	Surplus:		
	900,000 shares the Bancor Kentucky Company issued to Caldwell Co. in exchange for 10,000 shares Caldwell Co.	13,500,000.00	
✓	Investment:		
	10,000 shares Caldwell Company, Nashville Tennessee capital stock, par value 900 ⁰⁰ each, Certificate #20 dated April 22, 1920		37,500,000.00

EXHIBIT 65-A

	Credit	Debit
June 3 Investment (Stock Purchase)	739,500.00	
95,700 shares The Bancroft Kentucky Company cancelled		
1 Capital Stock		957,000.00
95,700 shares @ .00 per share The Bancroft Co. cancelled		
1 Surplus		143,500.00
95,700 shares @ .15 per share The Bancroft Co. cancelled		
Sept 18 Bills Payable - National Bank of Kentucky Louisville Ky	50,000.00	
secured by shares Peoples Security Bank and Trust Co. Lexington Ky		50,000.00
1 Cash		
1 Capital Stock		40,000.00
40 shares issued in exchange for five shares Ashland National Bank Ashland Ky		
1 Surplus		60,000.00
40 shares @ .15 per share issued in exchange for five shares Ashland National Bank Ashland Ky		
Investment		
5 shares Ashland National Bank Ashland Ky		
par value 100.00 per share @ 200.00 per share @ 4.00		
1 Capital Stock		150,000.00
850 shares @ .10 per share The Bancroft Kentucky Company issued in exchange for stock of the Security Bank		
1 Surplus		127,500.00
850 shares @ .15 per share The Bancroft Kentucky Company issued in exchange for stock of the Security Bank		
(Cash in amount \$500.00 paid stock holders of Security Bank, Louisville Ky for 340 shares) (at rate of .25 per share under option) (on the exchange)		
1 Investment: Security Bank Louisville Ky		212,500.00
850 shares The Bancroft Kentucky @ .25 per share being balance of purchase price of 85 shares The Security Bank at \$50 per share, or total cost price of \$212,500.00 (85 shares Security Bank stock par value 100.00 per share, received at date)		

EXHIBIT 65-A

1890 Investment (Stock Purchases) 10,300 shares The Bank of Kentucky Company cancelled	25750000	
Capital Stock 10,300 shares @ \$10 ⁰⁰ per share The Bank of Kentucky Co. cancelled		103000000
Surplus 10,300 shares @ \$15 ⁰⁰ per share The Bank of Kentucky Co. cancelled		154500000
123 Capital Stock 2310 shares National Bank of Kentucky - The Louisville Trust Company unified participation shares at \$10 ⁰⁰ per share	23100000	
Surplus 2310 shares National Bank of Kentucky - The Louisville Trust Company unified participation shares at \$15 ⁰⁰ per share	34650000	
Investment: 1155 shares National Bank of Kentucky - The Louisville Trust Company, but first at \$46 ⁰⁰ at 50 ⁰⁰ per share		57750000
2nd 16 Capital Stock 90 shares The Bank of Kentucky Company at 10 ⁰⁰ issued in exchange for stock of the Security Bank	900000	
Surplus 90 shares The Bank of Kentucky Company at 15 ⁰⁰ per share issued in exchange for stock of the Security Bank, Louisville, Ky. (Cash in amount of \$900 ⁰⁰ paid for 36 shares at rate of \$25 ⁰⁰ per share under option 'B' in the exchange)	1350000	
Investment Security Bank, Louisville, Ky. 90 shares The Bank of Kentucky Company at 25 ⁰⁰ per share being balance purchase price 9 shares Security Bank at 35 ⁰⁰ per share, on total cost \$3150 ⁰⁰ (9 shares Security Bank, 800 & 272)		2250000

EXHIBIT 65-A

1932			
Oct 1	21 Capital Stock:		
	120 shares at 10 ⁰⁰ per share The Bank Kentucky Company stock issued in exchange for stock of the Security Bank, Louisville Ky	120000	
✓	Surplus:		
	120 shares at 15 ⁰⁰ per share The Bank Kentucky Company stock issued in exchange for stock of the Security Bank, Louisville Ky	180000	
	Cash in amount of \$1200 ⁰⁰ paid for 120 shares at rate of 25 ⁰⁰ per share under option 15 in this exchange		
✓	Investment: Security Bank, Louisville, Ky		300000
	120 shares The Bank Kentucky Company at 25 ⁰⁰ per share being balance of purchase price of 12 shares Security Bank stock at 250 ⁰⁰ per share, at total cost \$200 ⁰⁰ . Certificate # 4712 for 12 shares Security Bank stock rec'd		
✓	✓ Bills Payable - Chemical Bank & Trust Co. New York N. Y.	600000000	
	Issued by		
	10,300 Shares Interpatrial Shares National Bank of Kentucky		
	The Louisville Trust Co. (2000 shares for 120 shares; 2000 shares for 120 shares)		
	2000 shares The Earl Market Bank & Trust Co. Cincinnati, Ohio		
	Cof 332 - 100 shares; 233 for 300 shares; 287 for 70 shares; 291 for 70 shares		
	Cof 319 for 50 shares in name Gustave M. Mosler		
	Cof 318 for 50 shares in name Phineas Mitchell		
	2000 shares The Brighton Bank & Trust Co. Cincinnati, Ohio		
	Cof 1008 for 500 shares; 1009 for 500 shares; 1010 for 500 shares; 1011 for 500 shares		
	22,500 shares Standard Oil of Kentucky (Halefield Co.)		
	Note dated Oct. 22, 1930 on Demand		
✓	Cash		600000000
Sept 1	27 Capital Stock:		
	5175 shares at 10 ⁰⁰ per share The Bank Kentucky Co. stock issued in exchange for stock of the Mechanics Trust and Savings Bank, Cincinnati Ky	5175000	
✓	Surplus		
	5175 shares at 15 ⁰⁰ per share The Bank Kentucky Company stock issued in exchange for stock of the Mechanics Trust and Savings Bank, Cincinnati Ky	7762500	
✓	Investment		
	575 shares Mechanics Trust Savings Bank, Cincinnati Ky per value 100 per share at 200 per share		1175000

EXHIBIT 65-A

Closing Entries - December 31st, 1929

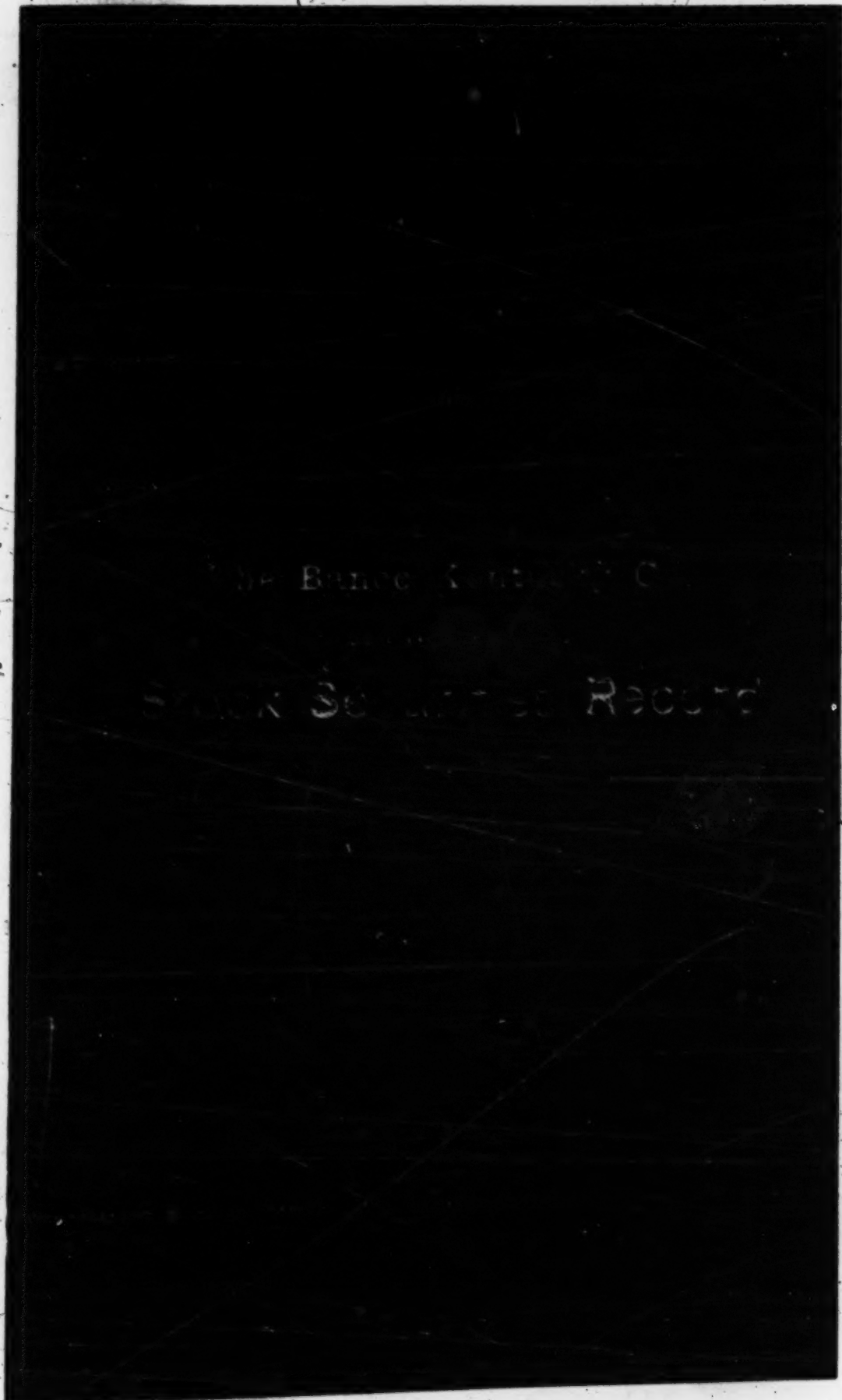
1929 Dec		Credit	Debit
31	Examiners and Accountants Fees	4546.21	
	Charge Investments:-		
✓	Brighton Bank and Trust Co.		1709.90
✓	The Cent. Market Bank & Trust Co.		3243.80
✓	Central Savings Bank & Trust Co.		572.51
31	To Undivided Profits	369104.23	
✓	Interest on Certificates of Deposit		79638.23
✓	Interest on Gold Certificates		2707.40
✓	Dividend - Brighton Bank & Trust Co.		42009.00
✓	Dividend - The Cent. Market Bank & Trust Co.		34452.00
✓	Dividend - National Bank of Kentucky - The Louisville Trust Co.		210297.60
31	Credit Expense:-		
✓	Interest paid	950000	
✓	Federal Tax on Original Issue	5266.91	
✓	Legal	5186.11	
✓	Printing Fees (Circulars and License for Exchange)	1010000	
✓	Supplies and Printing	2431.82	
✓	Telegraph	326.06	
✓	Traveling	4606.71	
✓	Accountants	1861.25	
✓	Organization Tax and Registration Fee	1238.00	
✓	To Undivided Profits		39974.88
✓	Reserve for Taxes	70796.01	
✓	Undivided Profits (Reserve for taxes)		70796.01
✓	Dividend No. 1 - Payable January 2, 1930	306533.80	
✓	Undivided Profits		306533.80

Closing Entries - June 30, 1930

		Credit	Debit
June 30	Examiners and Accountants Fees	406.53	
	Federal National Bank, Ashland, Ky.		
	Undivided Profits		406.53
30	Undivided Profits	37806.53	
	Interest on Certificates of Deposit		4329.83
	Dividend - The Brighton Bank & Trust Co, Cincinnati, Ohio		26640.50
	Dividend - Central Savings & Bk Co, Covington, Ky.		6576.00
	Dividend - The First National Bank, Paducah, Ky.		1067.00
	Dividend - The Merch. Market Bk & Tr Co, Cincinnati, Ohio		28329.60
	Dividend - The Peoples Liberty Bk & Tr Co, Covington, Ky.		6708.00
	Dividend - Trusts Participation Shares - National Bank of Kentucky - The Louisville Trust Company		215119.60
	Dividend - Union Central Life Insurance Co		375.00
30	Credit Expense		
	Interest Paid	4133.33	
	Insurance and Postage	8.00	
	Legal	17885.10	
	Listing Fee (Louisville and Chicago Bk & Exchange)	5000.00	
	Miscellaneous	117.29	
	Organization Fee	640.00	
	Supplies and Printing	889.48	
	Taxes (Franchise - State of Delaware)	239.17	
	Transfer Agent and Registrar Services	1670.91	
	Traveling	92.00	
	Undivided Profits		26398.57
	Undivided Profits	246887.50	
	Appreciation (on purchases of the Bank Kentucky's stock)		246887.50
	Dividend 82 - Payable April 7, 1930	314197.00	
	Undivided Profits		314197.00

1917 Sept	Name	No.	Amount	Total for Pa.
	Adkins, Miss Beulah E	20	2.50	
	Anderson, Mrs. Anna Hard	20	7.50	
	Annin, Addison	50	12.50	
	Adams, Miss Mary A	20	5.75	
	Elliot, David C	10	2.50	
	Hill, Howard M	10	2.50	
	Kirkins, William O	5	2.50	
	Humphrey, Herman	100	25.00	
	McBride, Miss Nellie V	5	1.00	
	Minor, Mrs. Frances H	20	5.00	
	Jeff, Charles O	50	12.50	
	Ross, Miss Louise	50	12.50	
	Short, Roy H	10	2.50	
	Smith, John A	10	2.50	
	Taylor, Buckrod H	50	12.50	
	Taylor, Mrs. Lizzie H	50	12.50	
	Woodruff, Miss Kate Lee	50	12.50	
	Avey, Mrs. Jennie H	10	2.50	
	Bertholomew, Mrs. Mary	20	5.00	
	Betz, Miss Flora	20	5.00	
	Bishop, Sarah H	10	2.50	
	Bryan, Valney H	20	7.50	
	Burden, Mrs. Fannette Davis	70	17.50	
	Chiles, Mrs. Mary E	100	25.00	
	Richard A	100	25.00	
	Cowles, Annette B	5	1.25	
	Habert, Minnie B	5	1.00	
	Langford, Miss Sara H	40	12.00	
	Elmer, Mrs. Mary H	10	2.50	
	Tracy, Mrs. Kate	16	4.00	
	Whitner, Miss Elizabeth	20	5.00	
	Head, H. O	30	7.50	
	Herberger, Miss Catherine O	5	1.25	
	Mrs. Mary Evelyn	5	1.25	
	Mrs. Ruelle R	5	1.25	
	William F	10	2.50	
	Huber, Charles A	12	3.00	
	Jamerson, George H	20	5.00	
	Hester, Mrs. Lizzie M	100	25.00	
	Mitchell, Dr. John H	5	1.50	
	Smith, Edward H	20	5.00	
	Gradie, J. T. Trustee	5	1.00	
	God, John	5	2.00	
	Stockhoff, Herman H	50	12.50	
	Sumner, Joseph J	20	6.25	
	Thywell, Mrs. Caroline T	20	5.00	

Exhibit 65 - B



1621-A

EXHIBIT 65-B

1929				
Sept	25	Kelley, Mrs. Flora	35	875
		Woodruff, John	100	2500
		Baron, William	40	1000
		Clark, William	100	2500
		Sampson, Jack L	500	12500
		Gray, William	60	1500
		Ferguson, George	10	250
		Harry	10	250
		Sanderson, Robert J	72	1800
		Hannah, Mrs. Deville D.	15	375
		Shinde, Mrs. Elizabeth Macdon	60	1500
		Kroting, Joseph A	20	500
		Mrs. Anne	40	1000
		Hinnarney, James H.	500	12500
		Hohn, Miss Jean	40	1000
		Halter J	50	12500
		Mattingly, Louis J.	20	500
		Wisehart, Louis	50	1250
		Mohlenkamp, Mrs. Florence	10	250
		Melligan, Joseph J.	40	1000
		Nicholson, Charles D.	5	125
		Aschby, Eugene	2000	50000
		Tirburn, Frank	5	125
		Hell, Mrs. Bess Whitson	40	1000
		Woodward, Clementine V	20	500
		Total	21	28100

EXHIBIT 65-B

1929
Sept

Alexander, H. Alanson Jr.	10	250		
Anderson, Seymour C.	20	750		
Armstrong, George R.	20	500		
Babers, Miss Nora P.	20	625		
Beggs, Mrs. Lillie M.	40	1000		
Bentley, Miss Mary Gale	20	625		
Bentley, Miss Leta B.	50	1250		
Ellis, L. Ray	200	5000		
Brown, Lewis J.	20	750		
Bibb, Mrs. Mary S.	100	2500		
Bippel, Miss Lula Stafford	160	4000		
Boring, Leonard C.	10	250		
Birtin, Charles S.	20	625		
Jefferson, James E.	20	625		
Black, Jesse H.	20	625		
Spencer, Mrs. Carrie S.	20	750		
Rossier, Bernard M.	200	5000		
Spurling, R. E.	50	1250		
Strong, Mrs. Sammie Henley	40	1000		
Strong, Lewis S.	20	750		
Turney, Miss Eleanor M.	50	1250		
Turpin, Miles	50	1250		
Vaughan, James O.	10	250		
Webb, Franklin P.	100	2500		
Wicks, George A.	100	2500		
Wassell, Mrs. Anna S.	8	200		
Wendish, Miss Lerna A.	6	150		
Alford, Robert C.	20	750		
Austin, Addie	20	500		
Bailey, Clay Wade	2	75		
Bertholmeau, Chester	10	250		
Buttner, Miss Julia	10	250		
Cleland, Robert P.	10	250		
Cox, Mrs. Katie	8	200		
Cummins, Miss Mary	10	250		
Denson, Mrs. Pearl	8	200		
Dorsey, Mrs. Elise L.	50	1250		
Durham, Richard A.	100	2500		
Egner, Miss Carolyn	5	125		
Lyby, Martin S.	60	1500		
Lyby, Mrs. Elj. B.	25	625		
Messner, Claude	20	625		
Brown, Miss Carrie R.	10	250		
Wade, Miss Sallie J.	4	100		
Hansen, Richard O.	10	1250		
Wade, Joseph J.	16	400		

EXHIBIT 65-B

Name	Amount	Total
Sept 26. Today, Joseph L.	1	20.
Horn, Julia B.	10	25.
Jameson, Miss Anna B.	12	200
Young, Louis F.	48	120.
Leach, Miss Flora E.	8	200
Sieper, Miss Mathie	4	100.
Lopp, Miss Eleanor B.	10	250
Ross	10	25.
Land, Mrs Helena	150	2750
Loring, Miss Emma.	20	50.
Maloney, Miss Nellie	100	250.
Mayer, Miss Marie S.	20	500.
Mayle, Edward	60	150.
Michel, Miss Mary E.	10	200
Miller, Perry B.	60	1500.
Moss, S. Douglas	10	200
Pharr, Alex J.	100	2500.
Phillips, Miss Fannie	100	2500.
Schmidt, Miss Edna C.	5	125
Settle, Mrs Anna H.	2	50
Stall, Miss Ella K.	20	500.
Upton, Miss Harriet J.	1	25
Wadekind, Ernest L.	12	200
Wilson, William	2	50
Wren, Charles Jr.	4	1000.
Wren, Charles Jr.	10	250
Wrensch, Bernard A.	60	1500.
Atchison, Minnie H.	10	250
Carothers, Miss Fannie B.	20	500.
Cook, Andy B.	50	1250
Collie, Mark	40	1000
Cornish, Mrs Louis & Rose Hartung	60	1500.
Cook, Ernest	200	7500.
Evans, Charles S.	250	6250
Furell, Edward	140	3500.
Gifford, Morris B.	20	500.
Grogan, Louis R.	100	2500.
Halland, Lucy J. H.	6	150
Johnson, Miss Golda	5	125
Kaplan, Paul	20	500.
Kendall, James L.	10	250
Mayer, Adolph R.	20	625
Marks, Charles L.	100	2500.
Murphy, Thomas M.	50	12500.
Burroughs, William L.	50	1250.
Parrott, Dr. Alice R.	100	2500.

EXHIBIT 65-B

Sept 27

Blomquist, Miss Lena	20	500	
Ross, Mrs. Annie	20	500	
Rutherford, Mrs. Susan B.	100	2500	
Schneider, William	40	1000	
Schwab, Irvin	10	250	
Smith, Newton E.	60	1500	
Swesky, Miss Mary G.	1	25	
Thiessen, Herbert	4	100	
Yanner, George A.	100	2500	
	610		152500 ✓

EXHIBIT 65-B

Allen, Miss Eva	12	3.00
Behrens Mrs Clara L	50	12.50
Nathaniel S	50	12.50
Benedict Mrs Anna	10	2.50
Bridges, Miss Elizabeth	15	3.75
Cooper, John T.	10	2.50
Cunningham, Miss Julia	5	1.25
Farnsworth, Miss Elsie	10	2.50
Garard, Mrs Mary T	100	25.00
Gerardi, Sidney	100	25.00
Gordon, Miss Alice E.	100	25.00
Helen	20	5.00
Griff, Edward S	400	100.00
Harlan, Marion B. Mr.	10	2.50
Hibbit, Charles H	10	2.50
Hoskins, William O	12	3.00
Howard, Miss Carolyn	5	1.25
Jett, Emil O.	50	12.50
Love, Miss Florence E	4	1.00
McKenna, John J	100	25.00
McQuary, Mrs Daisy L	100	25.00
Miller, Christian C	50	12.50
George M.	50	12.50
Mugent, Mrs. Matthe	40	10.00
Thomas L.	60	15.00
Ramsey, Vivian E. Mr.	10	2.50
Ripley, Mrs Eleanor M	20	5.00
Salomon, Sam L	100	25.00
Sharp, Peter B	100	25.00
Speaker, Miss Ettrude R	5	1.25
Stily, Mrs. Anna	40	10.00
Tabner, Miss Daisy E.	25	6.25
Waddy, Noble	40	10.00
Avey, Mrs Emma T	10	2.50
Barbour, Miss Caroline F	16	4.00
Philip F.	200	50.00
J.	20	5.00
Beck, Albert M	200	50.00
Bickelstaff, J. H.	20	5.00
Botel, T. A. Comm. for		
Eleanor Helen Morris	20	5.00
Botton, Barry	10	2.50
Brent, Mrs. Fannie B.	10	2.50
Burton, Mrs. Mary M	3	.75
Butler, Mrs. Lizzie T.	12	3.00
Carter, Mrs. Lou Ella	40	10.00

EXHIBIT 65-B

1924 Sept 27	Chawh, H. J.	50	12500
	Cramer, Clifford F. & Albrite M.	12	300
	Denson, Miss Mildred Ann	2	100
	Eber, Richard J.	40	1000
	Evans, William S.	20	500
	Goodman, Harry H.	10	250
	Brauman, Miss Frances C.	20	500
	Hartmuth, William F.	100	2500
	Hogge, Mrs. Florence S.	20	500
	Huber, Joseph	100	2500
	Hutchison, Miss Margaret S.	2	50
	Ingersoll, Mrs. H. W.	1000	20000
	Jonas, Mrs. Margaret S.	10	250
	Lynch, Mrs. Ruthie Kelly	20	500
	Maloney, Miss Margaret T.	10	250
	Masson, Miss Leila Pearl	6	150
	McClellan, L. B.	20	500
	Meyers, Mrs. Emily S.	8	200
	Moore, Miss Emma L.	2	100
	Payne, Mrs. Anna S.	10	250
	Ritzy, Harry Jr.	18	400
	Saddidge, Miss Maud	2	100
	Schick, William E.	120	3000
	Schneider, Chris J.	100	2500
	Schneiderman, Mrs. Katie R.	2	50
	Shanks, Harry J.	150	3750
	Sheehan, John J.	20	500
	Stent, Warden	2	50
	Taylor, Cora A.	300	7500
	Wagner, William	170	4250
	Waldrop, Mrs. Carrie L.	2	100
	"	2	50
	Atton, Robert L.	100	2500
	" Robert Tracy	12	300
	" Wodie L.	6	150
	Bair, John H. Jr.	1000	25000
	Bryman, Mrs. Leonard	20	500
	Botton, Barry	10	250
	Bryant, John H.	5	125
	Carson, John M.	100	2500
	Cassell, Joseph Bryan	20	500
	Clem, Mrs. Margaret A.	50	1250
	Cowan, Mrs. Margaret B.	100	2500
	Loeb, Miss Martha A.	240	6000
	Lunsan, Mrs. Annie T.	80	2000
	Edelen, Mrs. Belle J.	4	100

EXHIBIT 65-B

1927 Sept	Trangman, Miss Louise	10	250
	Edson, Anderson A	10	250
	, Mrs. Lillie May	10	250
	Enain, Albert C.	100	250
	Gooding, Clinton B.	15	375
	Hall, Mrs. Eliza J.	40	1000
	Hammill, Miss Adelle V.	16	400
	, Mrs. Mary P.	16	400
	, William A.	16	400
	, B.	50	1250
	, B.	50	1250
	Harrison, Mrs. Elizabeth P. H.	20	500
	Hoffman, Miss Blanche A.	4	100
	Holt, Adam	200	5000
	Huff, Mrs. Eva B.	14	350
	Hunter, Mrs. Albert	20	500
	Irvine, Lee S.	80	2000
	Jahn, Sylvan H.	100	2500
	Jenkins, Rosa M. J. C.	50	1250
	Jimble, Miss Catherine Elizabeth	x	50
	, Margaret J.	3	75
	, Mary Odette	x	50
	Knoer, Herbert J.	5	125
	Means, Ellison C.	200	20000
	Mayer, Miss Elsie	10	250
	Monahan, Mrs. Mary Catherine	10	250
	Pokey, Mrs. Genevieve S.	250	6000
	, Philip P.	100	2500
	Trist, Miss Edith	4	100
	, Esther	4	100
	Rivley, Charles L.	100	2500
	Robinson, James M.	100	2500
	Rosenbly, Mrs. Caroline	10	250
	Ryan, St. Francis	50	1250
	Schlangensbacher, Mrs. Margaret	100	2500
	Schultz, Mrs. Theodora	100	2500
	Schradler, Mrs. Ruth H.	10	250
	Shedd, Mrs. Eva Maxwell	40	1000
	Smucker, Mrs. Alice H.	50	1250
	, Ruth	40	1000
	Sturck, John D.	100	2500
	Thompson, C. Edward	60	1500
	Wheeler, Mrs. Nettie H.	40	1000
	, Richard J.	100	2500
	, Jr.	40	1000
	475		

✓ 24352 ✓

EXHIBIT 65-B

1924
Sept. 28

Beque, Dorothy C.	5	1.25
Charlton, Arthur B.	50	20.00
Cooke, Edwin Jeff	25	6.25
Douglass, Arthur B.	50	12.50
Flowers, J. & Emily, with rights	100	25.00
Herrell, J. Garland	25	6.25
Jimison, Robert H.	100	25.00
Gladdy, Miss Fanny	15	3.75
Taylor, J. Ruthert	10	2.50
Bruckmann, Mrs. Minnie M.	25	5.00
Burnstein, Charles	25	5.00
Binkley, David M.	25	5.00
Bryant, Mrs. Anna C.	10	2.50
Clark, Linda Helen	25	6.25
Doncaster, Miss Grace	25	6.25
Antank, William C.	10	2.50
Living, Telford A. Jr.	25	6.25
Fitch, Miss Corrie Belle	25	6.25
" " Miss Helen L.	25	6.25
Fry, Miss Eliza B.	25	6.25
Bagge, Miss Alice Muriel	25	6.25
Hahn, Christine	25	6.25
Hammond, Henry J.	25	6.25
Hayward, Mrs. Belle	25	6.25
Hobson, Mrs. Esther H.	15	3.75
Hutchinson, Miss Margaret P.	25	6.25
Jones, Mrs. Mamie H.	100	25.00
Kemper, Joseph A.	25	6.25
Kiehl, Albert P.	10	2.50
Kiffin, Miss Elizabeth	10	2.50
Kinnaird, Mrs. Thelma C.	15	3.75
Knappe, Miss Marie	25	6.25
Kohlhorst, Miss Ella B.	25	6.25
" " " " " "	10	2.50
" " " " " "	25	6.25
" " " " " "	10	2.50
Lacey, Harry D.	25	6.25
Leimert, Fred M. & Mary A.	25	6.25
McClendon, Miss Florence	25	6.25
Model Home Construction Co.	25	6.25
Nugent, Edward B.	100	25.00
O'Connor, Miss Ella	10	2.50
Owen, Miss Emily V.	25	6.25
" " " " " "	25	6.25

EXHIBIT 65-B

1917 Sept 11	Perrott, Miss Lena	40	1000
	Ramsey, George J.	20	100
	Roderford, Thomas H.	10	250
	Ruff, Rudolph C.	100	2500
	Russell, Joe C.	5	125
	Sabin, Miss Harriet B.	8	200
	Selley, Harry E. Jr.	2	100
	Spatz, Elmer D.	2	50
	Starr, Harry T.	2	50
	Starr, Mrs Emma E.	40	1000
	Snyder, Mrs Isabella	10	250
	Stone, Miss Mary Hall	1	150
	Strubbing, Lester T.	40	1000
	Sullivan, James L.	10	250
	Woods, Miss Mary Maxwell	8	200
	Atwood, Miss Jane S.	40	1000
	Bailey, Murray	10	250
	Baldwin, Henry	20	2000
	Bannister, William E.	100	2500
	Bascom, James T.	10	250
	Bayer, Miss Freda J.	40	1000
	Bayer, Miss Mary	40	1000
	Bergmann, Walter H.	20	500
	Bilbey, Howard J.	200	5000
	Bills, Mrs Carrie	100	2500
	" Miss Dorothy	7	175
	" Irene	3	75
	" Lottie A.	13	325
		100	2500
	Botzger, Charles	20	500
	" Edward	10	250
	Bordere, Les B.	100	2500
	" James H.	100	2500
	Burns, Mrs Fannie H.	20	750
	Burns, Miss Marion	8	100
	Chapman, Miss Lena	1	25
	Clappett, Mrs Ray M.	50	1250
	Comings, Carl K.	100	2500
		100	2500
	Conner, John T.	1000	25000
	Crotcher, John M.	20	500
	Danz, Miss Kate R.	40	1000
	" Laurie	40	1000
	Lewis, Miss Martha H.	8	100
	Monroe, Mrs Laura H.	60	1500
	Goldstein, Edward A.	100	2500

EXHIBIT 65-B

1929
Sept

at Haag, Fred J.	20	50.	
Harris, George E. Jr.	4	100	
Hepp, Charles L.	90	200	
Hillmeyer, Miss Grace A.	40	1000	
Hodges, Mrs. Lora L.	10	1000	
Horn, John P.	10	200	
Jones, Miss Carrie K.	90	200	
Kah, Louis A.	10	200	
Kalcher, Raymond B.	50	100	
Kelly, Ed C.	200	500	
Kephart, Miss Edith	100	300	
" Mrs. Julia	40	100	
Larsen, Hank C.	100	200	
Levy, Mrs. Caroline	50	100	
Lindsey, T. Noble	500	1000	
Lutz, William B.	20	500	
Lyons, Mrs. Anna H.	20	600	
" J. Joseph	20	500	
McCarthy, William J.	30	700	
Mama, Mrs. Lucy	4	100	
Mengel, Clarence R.	1000	2000	
Miller, Mrs. Carl H.	50	100	
Mooney, William H.	500	1000	
Mullally, William H.	20	500	
Pasig, Mrs. Anna K.	10	200	
Parker, Ira J.	20	700	
Pearcy, Adolph B.	50	100	
Podman, Hedy H.	100	2000	
Rozenbaum, Harry	60	100	
Stephan, William L.	50	100	
Tinghe, Mrs. Catherine	20	600	
" James H.	20	600	
Willis, Charles A.	100	200	
Waters, Mrs. Louisa A.	4	200	
Wesley, Mrs. Katherine B.	50	100	
Webb, Mrs. Bess Whitson	40	1000	
Wetherby, Mrs. Addie M.	20	600	
Wientze, Miss Helen	10	200	
Winkler, Frederick H.	40	1000	
Willott, James P.	100	200	
	200		200 600 ✓

EXHIBIT 65-B

Sept 30	Alderson, Clifford L.	960	24000
	Angermeyer, Arthur G.	200	5000
	Appel, Robert R.	130	3250
	" , Hatter G.	200	5000
	Ackins, William R.	50	1250
	Ayers, Mrs. Julia B.	25	625
	Baker, Henry S. & Mrs. Ida with her 9th &	8	100
	Bohman, Edwin H.	5	125
	Brennan, Thomas II	60	1500
	Brown, John C.	100	2500
	Buckley, Mrs. Emily May	5	125
	Dickson, Miss Agnes	15	375
	Dreyfus, Mrs. Florence Weiss	100	2500
	" , Isidor	100	2500
	Dyer, Frank M.	100	2500
	Eddes, Miss Mary A.	10	250
	Fritsch, John J.	10	250
	Gippenich, Miss Emma Dorsey	20	500
	Gray, John E.	200	5000
	Green, Thomas	200	5000
	Griffin, James H.	100	2500
	Hirshfeld, Alex V.	100	2500
	" , Mrs. Emma H.	20	500
	Heparty, Mrs. Lucy	25	625
	Hamilton, Harry	25	625
	Hancock, Joseph	100	2500
	Hawkins, Charles J.	25	625
	Herrmann, Louis J.	250	6250
	Higgins, Miss Beal	100	2500
	Hodges, Mrs. Margaret P.	8	200
	" , Elizabeth & Helen M. with 5		
	" . Robert Hodges Jr. &		
	Mrs. Margaret R. Hodges		
	Jackson, William A.	50	1250
	Jones, Miss Margaret McMillan	125	3125
	Jesse, Mrs. Marie L.	100	2500
	Krueger, Charles H.	100	2500
	Kettinger, Dan B.	25	625
	" , Mrs. Florence K.	15	375
	Lemond, Robert J.	180	4500
	Mann, Mrs. Ruby O.	20	500
	May, Eugene P.	25	625
	" , Mrs. Letta B.	10	250
	Mays, William O.	100	2500
	McEniry, Charles M.	25	625
	" & Mabel H. with Mrs. Ryle		
	Meyers, Berona A.	1000	25000

EXHIBIT 65-B

1917
Sept

Meyer, Mrs. Elizabeth B.	1000	25000
Millers, Robert K.	10	250
Mitchell, Charles C.	40	1000
Montgomery, Robert	400	10000
Mayer, Sam P.	100	2500
Peters, Miss Amelia	16	400
Convey, Miss Anne	100	2500
Richeson, Hugh H.	100	2500
Ripley, Robert S.	20	500
Rogers, Charles B.	40	1000
Romner, Mrs. Maria J.	250	6250
Russell, Fred A.	10	250
Schmady, Robert C.	70	1750
Solgenbach, Miss Emma	5	100
Schuler, Mrs. Lillian	15	375
Selligman, Joseph	200	5000
Slack, Mrs. Katie P.	1	25
Smith, James R.	200	5000
Smith, William E.	100	2500
Stinson, William	10	250
Stein, Miss Lillie	40	1000
Stewart, Miss Clara E.	5	125
Turner, Turner	250	6250
Thomas, Mrs. Zelma S.	14	350
Thornbury, Murray H.	200	5000
Thorp, Branch T.	8	200
Van Diver, Donald O.	50	1250
Walsh, John Jr.	10	250
Waller, George A.	100	2500
Weiser, William J.	1000	25000
White, Miss Olga H.	4	100
Wiederhold, George	5	125
Wood, Edwin B.	100	2500
Yoe, Richard T.	1000	25000
Johnson, Mrs. Annie E.	20	500
Halpole, Nimrod J.	400	10000
Anderson, Mrs. Amelia H.	150	3750
Armstrong, Benson Sanders	20	500
Bade, Francis A.	40	1000
Marion L.	40	1000
Baird, Mrs. Eleanor D.	10	250
Bartlett, Mrs. Mai Hill	1000	25000
Bayson, Edward J.	40	1000
Beck, Miss Emma T.	100	2500
Bischoff, Mrs. Martha M.	10	250

EXHIBIT 65-B

1929

Sept 30. Carpenter, Mrs Lou A	50	1250
Cary, Miss Mary	10	250
Christer, Miss Ella	40	1000
Cummins, Miss Margaret	30	750
Sanuel, Miss Opal	40	1000
Lietzman, Richard	10	250
Duncan, William Trinitad	200	5000
Edler, George L	100	2500
Fallon, Miss Mary	10	250
Federman, Marcene J	500	12500
Fisher, Mrs Elizabeth E	20	500
Gappa, Mrs Betty	10	250
Galdbach, Miss Carrie	25	625
John E	25	625
Miss Lula	25	625
Gesch, Miss Anna R	40	1000
Clara J	40	1000
Lucy J	40	1000
Grable, Mrs Anna H	4	100
Miss Lillie Bell	4	100
Grinstead, Mrs Lora B	8	200
Harris, Mrs George H	10	250
Hacker, George C	40	1000
Hegewald, Mrs Mena C	100	2500
Hobapp, Louis A	20	500
Hollenbach, Phil Estet	100	2500
Hunter, Palt	10	250
Hecker, Charles R	5	125
Mrs Nellie Burdett	5	125
Hingman, Miss Mae Louise	12	300
Hyne, Mrs Mary	10	250
Lane, C	6	150
Leach, Miss Caroline Apperson	6	150
Letzler, Mrs Lottie M	50	1250
Lewis, Miss Ada J	20	500
Lemmon, Mrs C. F. F. F.		
Caldwell Norton	150	3750
Geo. M. Murrin	100	2500
Mary E. Harely, Elbert Cloud	100	2500
Maloney, Mrs Julia	12	300
Nellie	50	1250
Mathingly, Miss Marie	2	50
McPhane, Hugh J	40	1000
Thomas	20	5000
Muir, Mrs Annie B	20	5000
Murte, Mrs Mae	8	200
Nelson, Charles J. Jr.	80	2000

EXHIBIT 65-B

Sept 30 Newman, Miss Matthe C.	12	3.00
" Mrs. Matthe Francis	4	1.00
Quatt, Richard F.	100	25.00
Reith, John B.	20.00	50.00
Robinson, H. B.	40	10.00
Rovers, Miss Effie L.	160	40.00
Rumsey, Mrs. Nell P.	8	2.00
Randolph, Miss Stephanie Reed	80	20.00
Reed, Mrs. Mary E.	240	60.00
Ree, Miss Anne L.	10	2.50
Redman, James R.	125	31.25
Robinson, Mrs. Eunice Y.	32	8.00
Ryff, Rudolph C.	100	25.00
Schene, Joe J.	5	1.25
Schmidt, Charles H.	100	25.00
Seestadt, Miss Gertrude	20	5.00
" William R.	40	10.00
Shawley, Everett F.	5	1.25
" William M.	5	1.25
Shonawker, Fred A.	50	12.50
Shoppenhurst, Mrs. Thora	25	6.25
Simrall, Mrs. Kitty C.	50	12.50
Standerer, Leon	25	6.25
Tobey, Edward J.	100	25.00
Trimble, Robert M. Jr.	200	50.00
Walter, Henry R.	2	.50
Wainstein,	100	25.00
Willis, Mrs. Julia A.	80	20.00
Wulf, Mrs. Clara H.	18	4.50
Wynne, Mrs. Virginia Zeman	50	12.50
Adams, John B.	400	100.00
Bannon, Patrick	50	12.50
Behrman, Louis O.	15	3.75
Birders, Mrs. Mary	10	2.50
Bissel, Wallace L. Jr.	100	25.00
Cotton, Francis R.	60	15.00
Cronin, John J.	8	2.00
Dortch, Max W.	100	25.00
Drumil, Clarence H.	100	25.00
Eberstadt, Miss Pauline	200	50.00
Farmer, John Howell	4	1.00
Fetth, Edward J.	10	2.50
Hernandez, Miss Carlotta	20	5.00
Huber, Frank	100	25.00
Huck, Clifford H.	100	25.00
Lynn, Miss Agnes A.	8	2.00

EXHIBIT 65-B

1929
Sept 20

Mason, Malcolm	50	1250
Miller, Mrs. Alice L.	20	600
Muell, Mrs. Laura M.	10	250
Riegan, Mrs. Eva	10	250
Riley, Mrs. Marguerite	10	250
Viola	15	375
Ryan, Miss Kate	20	625
Appleby, Lyman B.	10	250
Baker, Edward E.	100	2500
Bannon, Claire H.	15	375
Barbance, Arthur J.	75	1875
Barham, Mrs. Effie A.	10	250
Bauer, Miss Bette J.	20	500
Black, Dr. Oscar E.	110	2750
Buhrman, Louis T.	10	250
Bowling, Allie A.	100	2500
Brown, Philip S.	200	5000
Bruce, George	10	250
Chinn, Ellen Herndon	50	1250
Coady, John S.	100	2500
Caswell, J. Lewis	20	5000
Dr. Brown, Jas. D.	20	500
Donner, William H.	100	2500
Durham, Joseph H.	350	8750
Egler, Charles H.	10	250
Fetter, George E. Jr.	1000	25000
John B.	500	12500
Fields, John J.	10	250
Finney, Miss Jessie	10	250
Firth, Mildred	10	250
Ford, Miss Selma	20	500
Frost, Miss Thelma	20	500
Fuchs, Miss Hilda	40	1000
Guthrie, Mrs. Gene H.	40	1000
Gaylord, Paul P.	1000	25000
Gimpel, Herman	40	1000
Glover, Miss Alice A.	10	250
Mrs. A. Mabel	20	500
Hammond, T.	10	250
Graffer, William E.	12	300
Goodman, Harry H.	50	1250
Greenstein, J. H.	1000	25000
Grover, Mrs. Maria R.	10	250
Harping, Charles L.	5	125
Hawes, George B. Junior	10	2500
for Miss Margaret H.	10	1200

EXHIBIT 65-B

Sept 27	Andrews, George Bright	17	3.00			
	Haggerty, Oliver P.	20	5.00			
	Heck, Louis C.	50	12.00			
	Heller, Mrs. Frieda H.	40	10.00			
	Hite, H. H.	40	10.00			
	Heron, Mrs. Ella R.	1	1.00			
	Jacoby, Jack.	20	5.00			
	Jefferson, Charles A.	100	25.00			
	Jenneman, Miss Elizabeth	10	2.50			
	Latham, Mrs. Mary B.	10	2.50			
	Leibold, Miss Lillian	30	7.50			
	Ophelia	10	2.50			
	Lindsey, Henry F.	50	12.50			
	Lutz, William B.	10	2.50			
		10	2.50			
	Mrs. Kamara, Miss Mary	10	2.50			
	Martin, Mrs. Alta Haysfield	10	2.50			
	Mengel, Charles C.	500	125.00			
	Clarence R.	1000	250.00			
	Morale, Clarence C.	20	5.00			
	Mrs. Stella Evans	50	12.50			
	Miller, Mrs. Viola Mott	10	2.50			
	Minor, M. Carlisle	100	25.00			
	Mohenkamp, Miss Edna	10	2.50			
	Mosman, Mrs. Marion	20	5.00			
	Murphy, D. L. & Bro.	20	5.00			
	Norris, Russell H.	20	5.00			
	Norman, James L.	50	12.50			
	Nugent, William H.	20	5.00			
	O'Brien, John J.	400	100.00			
	O'Connell, Miss Ellen C.	10	2.50			
	Patterson, Mrs. Katherine M.	5	1.25			
	Henry C.	20	5.00			
	Putchuff, S. Fetter	20	5.00			
	Rignolds, James E. Jr.	12	3.00			
	Rink, Fred H.	10	2.50			
	Roland, Charles	150	37.50			
	Rosinbaum, Jesse	20	5.00			
	Ryan, John	20	5.00			
	Schickli, Solomon	20	5.00			
	Sidenfaden, Mrs. Amelia	20	5.00			
	Seiler, Charles D.	50	12.50			
	Selby, Robert Carr	10	2.50			
	Shawman, Mrs. Sarah Audby	20	5.00			
	Stark, Louis A.	10	2.50			
	Stewart, Miss Margaret M.	10	2.50			
	Mrs. Mary J. R.	10	2.50			

EXHIBIT 65-B

1919			
Sept 30	Francis, Mrs. Albert	25	125
	Samuel H.	25	125
	Fordy, Miss Julia C.	12	300
	Thacker, Miss Edith J.	10	250
	Thomas R.	20	500
	Thorball, Edward J. Jr.	10	250
	Harold Lloyd	10	250
	Townes, Mrs. Virginia D.	20	500
	Uhl, Miss Lillian M.	20	500
	Van Meter, Miss Martha P.	5	100
	Verhoeff, Frederick H.	100	2500
	Vonderhilt, Charles	200	5000
	Waller, James H.	100	2500
	Walsh, Maurice H.	1500	37500
	Warron, Arch D.	80	2000
	Wetters, Richard E.	40	1000
	Wise, Mrs. Carrie	10	250
	Colman Jr.	5	125
	Hills, Mrs. Harriet C.	40	1000
	Hornall, Rowan A.	60	1500
	Jeller, Miss Mae	5	125
	1921		

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EXHIBIT 65-B

1927
Oct

Adams, Walter W.	25	625
Adams, Charles W.	10	250
Bohannon, Clarence H.	10	250
Buchheit, Thomas B.	300	750
Cassara, C. Paul	25	250
Cooper, Coringline D.	50	1250
Croft, Gerald L.	1000	2500
Frank, L. Wallace	100	2500
Fulton, Edwin	1000	2500
Giles, J. Harvey	200	5000
Gordon, Angus H.	10	250
Hall, William C.	500	12500
Herrmann, George	50	1250
Hesch, Herman W.	20	500
Hutchins, J. Bernard	200	5000
Jensen, John H.	140	3500
Kigel, John H.	55	1375
Mitchell, Harold	20	500
Maeller, Rudolph A.	25	625
Morris, Joseph H.	1000	25000
Onderdonk, William A.	300	7500
Pratt, Charles H.	500	12500
Romage, J. Russell	100	2500
Sanders, Cecil F.	200	5000
Hirschel E.	1000	25000
Schnabel, Jacob J.	50	1250
Schwab, Paul H.	20	500
Speed, William J.	5000	125000
Spindel, Mrs. Treva Henry	20	2000
Stark, Madge Henry	870	22000
Treager, George C.	20	500
Turdean, Harold B.	10	250
Washburn, Mrs. Ella D.	25	500
Waller, Mrs. Helen	10	250
Williamson, A. Belmont	100	2500
Wilson, T. Park	2000	50000
Wolpert, Allen H.	120	3000
Yohan, Jacob & Hilda Ann		
John, Treva, Rpt. of Surv.	65	1625
Zeller, Andrew C.	100	2500
Vanphay, George E.	200	7500
Swirell, J. Edgar	300	7500
Brennan, David	200	10000
Chambers, Mrs. May D.	10	250
Crews, James H.	50	1250
St. Brant, Miss Ethel	20	500

EXHIBIT 65-B

194
Oct

Edenthal, Mrs. Eva	20	5.00
Eng, Miss Louise M.	10	2.50
Ernst, . allanston	20	6.25
Howard, Edward P.	10	2.50
Howes, Frank B.	50	12.50
Letzer, Mrs. Alma B.	100	25.00
Lindsay, Mrs. Nora P.	20	6.00
Mayer, Edward P.	100	25.00
Mrs. Fannie P.	20	5.00
Ostrander, Mrs. Anna L.	5	7.50
Miss Louise Fenn	2	1.00
Pace, Walter B.	40	10.00
Barry, Charles P.	200	50.00
Beal, Fannie P.	300	75.00
Riddell, J. Elliott	200	50.00
Roper, Margaret	100	25.00
Seaton, Fred M.	300	75.00
Schreiber, Arthur T.	50	12.50
Mrs. Helen J.	10	2.50
Miss Martha Edna	50	12.50
Simpson, Virgil E.	100	25.00
Spady, Miss Susanna	50	12.50
Steele, Frank M.	50	12.50
Harner, James H.	50	12.50
Wiltshire, Frank L.	100	25.00
Yager, Norton F. Jr.	100	25.00
Bell, Carter	60	15.00
Bick, Albert M.	200	50.00
Berg, Mrs. Thelma	100	25.00
Bell, Robert H.	20	5.00
Brooks, J. Fred	200	50.00
Brent, George A.	100	25.00
Brooking, Harry King	200	50.00
Mrs. Margaret	10	2.50
Buck, James H.	5	1.25
Carmody, Mrs. Maude E.	5	1.25
Carson, Mrs. Robert A.	50	12.50
Carter, James E.	100	25.00
Cole, Willard A.	10	2.50
Curran, Mrs. Julia	100	25.00
Davis, Mrs. Helen M.	40	10.00
William J.	20	5.00
William J.	50	12.50
William J.	20	5.00
Dennis, Forest	100	25.00

EXHIBIT 65-B

1924
Oct.

Birdler, Mrs. Annie P.	25	625
Bodfrey, Emma C.	20	500
Bridham, Thomas	100	2500
Buthrie, Mrs. Margaret	10	250
Hager, John B.	200	7500
Hardesty, Marion Lee O'Leary		
Lucinda Hardesty, Thelma	50	1250
Harris, Mrs. Anne L.	100	2500
Harvey, Allen O.	500	12500
Hays, Mrs. Edna Hoof	50	1250
Hindmeyer, Miss Lulu Mae	100	2500
Henderson, Elmer H.	200	7500
Hess, Albert	200	5000
Hester, Mrs. Joan	1000	25000
Hicks, Mrs. Anna Ray	200	7500
Holton, Mrs. Jane	15	375
Hohler, Miss Hildegard	5	125
Margaret B.	5	125
Matilda R.	5	125
Horn, Edwin	100	2500
Hudson, Allen H.	10	250
Jones, Miss Evelyn H.	50	1250
Keane, John M.	100	2500
Kane, Mrs. Lucille A.	25	625
Kinnaird, Mrs. Elsie C.	25	500
Kling, Sam	100	2500
Knighton, Miss Sadie B.	12	300
Kuhn, Miss Alma	40	1000
Kutner, Charles L.	200	5000
Lappold, Lawrence P.	200	5000
Lally, Frank H.	20	500
Lance, Albert R.	25	625
Miss Alberta T.	60	1500
Mrs. Josephine T.	20	500
Lutz, James P.	100	2500
Mahon, Mrs. Lucy J.	5	100
Mason, Gerald C.	5	125
Mellon, William A.	25	600
Metcalf, Ben F. Jr.	10	250
Minter, Frank	50	1250
Moll, Edward L.	860	21500
Morton, David C.	1150	28750
Murrell, Oliver	100	2500
Niles, George H.	200	5000
Parsons, Edgar M.	25	625
	65	1625
Payne, J. Telford	100	2500

1929 Oct	Patel, William A.	20	500		
	Pottinger, Samuel C.	200	1000		
	Rice, Frank	50	1250		
	Rogers, Thomas P.	200	750		
	Schollman, Michael	12	500		
	Schuster, Henry B.	200	500		
	Seibert, Garfield	2	100		
	Seaton, Mrs. Frances	5	125		
	Smith, Clarence R. Co.	20	500		
	Spaninger, Edward E.	500	1250		
	Stite, John	100	2500		
	Stockhoff, Arthur B.	200	8750		
	Stokhoff, B. William	200	8750		
	Tafel, William F.	100	2500		
	Taylor, Dr. B. N.	50	1250		
	Thomson, William A. Jr.	40	1000		
	Toko, Miss Claire	10	250		
	Marcella	2	100		
	Tuley, Jess H.	140	3500		
	Vittorio, Hunter A.	15	375		
	Wagner, Frederick H. Jr.	100	2500		
	Walt, Joseph T.	1000	25000		
	Walters, C. L. Jr.	20	500		
	Ward, Gladys E.	20	500		
	Warren, Mrs. O. P.	100	25000		
	Wheeler, Miss Mamie	160	4000		
	Winnman, Edwin J.	10	250		
	Wheeler, Mrs. George B.	50	1250		
	H. Curtis	600	15000		
	Wickstead, John J.	100	2500		
	Wiesner, George E.	40	1000		
	Wilson, Wallace N.	100	2500		
	Wirth, Louis H.	200	5000		
	Withers, Frank	100	2500		
	Woff, Gender E.	20	500		
	Wood, George R.	20	500		
	Wright, John R.	100	2500		
	Allen, Buck H.	200	5000		
	Amos, Allen	50	1250		
	Armstrong, M. L.	100	25000		
	Baldwin, R. L.	50	1250		
	H. A.	20	500		
	Bart, Mrs. Anna F. (L. H.)	50	1250		
	Bell, James H.	200	5000		
	Bentley, George R.	100	2500		
	Mrs. Marie P.	10	250		

EXHIBIT 65-B

1929					
Oct					
	Bristol, Miss Ruth D.	10	20		
	Brandenburg, Mr. Michael	200	500		
	May Vera Ray	200	500		
	Buck, Jack R.	5	125		
	Burwell, Blair	50	125		
	Cannon, Mrs. Julia	15	375		
X	Carruth, Mr. Eva B.	50	1400		
	Clancy, Mrs. Mary O.	200	500		
	Alamones, John P.	25	625		
	Carruth, Martin	200	500		
	Diehl, Charles R.	40	1000		
	Dravo, Mrs. Helen J.	100	2500		
	Emery, A. B.	400	10000		
	Gillford, Miss Belle	50	125		
	Gigley, T. C.	40	1000		
	Grundy, Mrs. Kate L.	100	2500		
	Hendon, Miss Cora	20	500		
	Hobson, John A.	40	1000		
	Holladay, Rice	300	7500		
	Jacobs, Walter W.	200	5000		
	Kelly, William O.	100	2500		
	Kinnearney, George T.	1500	37500		
	Kirwan, James	1000	25000		
	Lapham, John L.	200	5000		
	Lyle, Miss Elizabeth B.	40	1000		
	Malone, Mrs. Mary	200	5000		
	Marshall, Burwell Jr.	100	2500		
	Merrill, A. H.	5	125		
	Stephenson Co.	10	250		
	Claude A.	20	500		
	Kora	10	250		
	Herald P.	10	250		
	White, Mrs. Ella E.	20	500		
	Barkhouse, Milton H.	200	5000		
	Burd, Miss Julia C.	5	100		
	Mary H.	25	625		
	Brodie, Andrew Geo.	15	300		
	John R.	12	300		
	Reed	12	300		
	Robert	12	300		
	Budde, Miss Anna B.	16	400		
	Calville, Elizabeth M.	18	450		
	Nancy M.	10	250		
	Symon, J. H.	100	2500		
	Gill, J. L.	7	175		
	Bearing, Mrs. Katherine	10	250		

EXHIBIT 65-B

1929.			
Oct	Bearing, William H. Jr	50	1000
	Hamberton, William D	100	2500
	Hobbs, Mrs Lucy S	10	250
	Hodge, Mary Brodie	12	300
	Hudson, Jane Brodie	12	300
	Macchini, Ruby	40	1000
	Marion, M. C	100	2500
	Menefee, Mrs Jean H	100	2500
	James T	100	2500
	Meyer, Ida	10	250
	Minnett, Charles H	10	250
	Moritz, Miss Eleanor C	15	375
	Oakburn, A. B	100	2500
	Mrs Katherine B	100	2500
	Pattis, Miss Mary A. Margaret	8	200
	Peftory, Mrs Kate H.	50	1250
	Rogers, Brothers	1000	25000
	Rouse, A. B.	200	5000
	Shober, Miss Katie M	10	250
	Strand, Mrs. Amelia R.	25	625
	Frank, James Brodie	12	300
	Angermeier, Henry J	1250	31250
	Barker, Henry A	100	2500
	Beach, John T	100	2500
	Beck, Albert H.	50	1250
	Bell, Mrs Katie M	25	600
	Blackford, Milton J.	500	12500
	Black, Oscar A	1000	25000
	Boden, Mrs Louise J	140	3500
	Philip T	6	150
	Samuel D	100	2500
	Bodmer, Charles H.	500	12500
	Bowmer, Thomas H	200	5000
		200	5000
	Brown, John J.	160	4000
	Butler, Mrs Elsie T.	200	5000
	Cassidy, Lawrence A.	8	200
	Clark, Ralph B.	600	15000
	Combs, J. H.	100	2500
	Dempsey, J. H.	100	2500
	Coons, Herbert	100	2500
	Dent, Joseph B.	800	20000
	Engel, Frank J.	300	7500
	Evans, Mrs. Helen H. Hardin	100	2500
	Emmatt, Joseph M.	500	12500

EXHIBIT 65-B

Feb 1	Flanagan, Daniel J.	50	1250
	Fontaine, Eugene H.	500	12500
	Foster, Henry H.	40	1000
	Francis, Louis J.	50	1250
	Gardner, Mrs. James	20	500
	Gillespie, Thomas H.	100	2500
	Gilligan, Edward M.	10	250
	Glass, Vernon Clyde	100	2500
	Goodridge, C. H.	40	1000
	Graham, Thomas	200	5000
	Grinstead, Bailey	200	20000
	Gutting, Charles	50	1250
	Hayer, James J.	500	12500
	Henthorne, Mrs. Oscar	50	1250
	Hilliard, J. P. Son	100	2500
	Humphrey, Lowell M.	350	9500
	Jefferson, William	2000	200000
	Johnson, Henry	10	250
	Jones, Charles J.	6000	170000
	Kahn, Mrs. Emma	40	1000
	Kapoor, Louis A.	50	1250
	Robert H.	50	1250
	Rudolph H.	50	1250
	Vincent	50	1250
	William	350	9500
	Stein, John T.	10	250
	Thompson, E. Vernon	1000	25000
	B. L.	30	750
	Lewis, H. Hamilton	100	2500
	Loman, Miss Adeline P.	3	75
	Jacob B.	100	2500
	P.	3	75
	Miss Mary H.	3	75
	Morgan B.	3	75
	Miss Lancel Mary	3	75
	Littell, Clarence H.	500	12500
	Lockett, Gracey H.	1000	25000
	Lyons, E. Clay	400	10000
	Mansfield, Mrs. Eliza K.	10	250
	Meglinery, William A.	200	5000
	Mesake, George	400	10000
	Moss, Lewis J.	200	5000
	Mohr, George H.	200	5000
	Morgan, Stewart	30	750
	Murphy, Mrs. Eliza	25	625
	Nelson, Van B.	50	1250
	Nugent, Mrs. Katherine E.	40	1000

EXHIBIT 65-B

1920			
Oct	Obermeier, Magdalena	1.00	25.00
	Pell, S. C.	20	5.00
	Queen, Joseph R.	10	25
	Ramsay, Robert St.	5	125
	Reese, George H. Jr.	100	25.00
	Robertson, Eugene B.	40	100.00
	Rodman, Lee	50	1250
	Rommely, Samuel	8.00	2000.00
	Edward D.	8.00	2000.00
	Sager, John T.	50	1250
	Shea, Miss Elsie M.	8	200
	Smith, Albert J.	1.00	25.00
	John L.	25	625
	Randolph T.	100	25.00
	Stettenpohl, Miss Anna	50	1250
	Timmahel, Eugene St.	30	750
	Uglinis, J. Arthur	420	10500
	Wagner, George	200	500.00
	White, Miss Dorothy	4	100
	Briggs, Joseph H.	500	12500.00
	Byaf, Robert J.	500	12500.00
	Lynch, Bernard St.	500	12500.00
	Harrison, Matthew A.	500	12500.00
	Adams, Miss Pearl Byrd	10	250
	Anderson, Harry L.	25	625
	H. Herman	1000	25000
	Annear, J. Frank	8.00	2000.00
	Arterburn, Phyllis B.	100	2500
	Berry, John J.	50	1250
	Bell, James St.	200	500.00
	Berglund, William Scott	120	3000
	Bayer, Harry J.	120	3000
	Bittner, William C.	610	15250
	Bonnie, John L.	500	12500
	Borgman, Miss Angela	20	500
	Boward, Ray T.	10	250
	Bradley, Harry A.	50	1250
	Bridges, Harry	225	5625
	Brown, Arthur Jr.	200	500.00
	Barb A.	520	13000
	Frank H.	200	5000
	J. Graham	2000	5000.00
	Buechel, George	250	6250

EXHIBIT 65-B

927 Oct 1	Bullock, J. Henry	100	20.00
	Burdick, Fred H.	500	125.00
	Cahn, Nathaniel H.	50	12.50
	Calverly, Samuel R.	200	50.00
	Caldwell, Marion H.	200	50.00
	Carroll, Anthony J.	1000	250.00
	Carter, Allen R.	200	50.00
	Church, Jacob	25	6.25
	Cland, Mrs. Annie E.	100	25.00
	Cochran, Mrs. Kathleen	10	10.00
	Coons, Samuel W.	360	90.00
	Corcoran, Andrew J.	50	12.50
	" Matt & Co.	100	25.00
	" Mrs. William H.	50	12.50
	Curry, Lee	100	25.00
	Daniels, John P. Jr.	1500	375.00
	Davis, Harry J. Jr.	25	6.25
	Dunberry, Lewis T.	400	100.00
	Deane, Theodore J.	50	12.50
	Derby, Edward B.	60	15.00
	Dewees, Mrs. Emily M.	100	25.00
	Dawning, "Myrtle E.	100	25.00
	Dyckwall, Edward S.	400	100.00
	Duffy, Matthew J. Jr.	20	5.00
	Duncan, Thomas E.	420	105.00
	Eaton, Charles H.	250	62.50
	Edens, Walter M.	400	100.00
	Edwards, Reginald T.	50	12.50
	Ehrmann, George H.	100	25.00
	Erving, Charles O. II	200	50.00
	" C. Oscar	1000	250.00
	Farnish, Alex T.	1000	250.00
	" Burrell H.	2000	500.00
	Fath, Leo E.	50	12.50
	Fidler, Harry	400	100.00
	Frazier, Julia L.	1000	250.00
	Gilligan, Thomas J.	20	5.00
	Ginglins, Miss Agnes	100	25.00
	Glass, Clarence S.	500	125.00
	Grant, Henry R.	1200	300.00
	Gray, Henry O.	1000	250.00
	Gray, O. Benton	100	25.00
	Griffin, Joe T.	100	25.00
	Grueting, Miss Nettie J.	90	22.50
	Guyon, Charles H.	40	10.00
	Hamilton, Charles L.	500	125.00
	Hannan, Miss Mary A.	300	75.00

EXHIBIT 65-B

194
Oct

Hannon, Miss Mary J.	20	50.0
Harding, Preston H.	50	125.0
Houser, Miss Evelyn V.	40	100.0
Sustane A.	100	250.0
Henry V.	40	100.0
Humes, William B.	100	250.0
Hummel, Mrs. Emma J.	10	25.0
Humphrey, Mrs. Kathelmina	50	125.0
Hunter, Ray A.	100	250.0
Ily, Robert C.	1000	2500.0
Jefferson, Sgt. T.	200	500.0
Jenkins, I. Sidney	200	500.0
C.	100	250.0
Johnson, Mrs. Anna S.	90	225.0
James M.	500	1250.0
Joyce, Preston T.	200	500.0
Kiesel, Charles K.	25	62.5
Kerr, Robert M.	50	125.0
Kimbel, Joseph X.	200	500.0
Kinnearney, James H.	500	1250.0
Klein, Nathan	10	25.0
Koenig, Miss Elsie M.	20	50.0
Florence C.	20	50.0
Kosce, Louis C.	40	100.0
Kramer, Alexander H.	400	1000.0
Krenkel, Henry	40	100.0
Kruger, Richard O.	100	250.0
Kuback, Miss Maryann	20	50.0
Kubler, Robt. L.	50	125.0
Kunhardt, Mrs. Rose	200	500.0
Kur, Max	25	62.5
Kuric, H. Hamilton	100	250.0
Lindsey, Mrs. Blayke	5	12.5
Mc Bride, Miss Grace C.	50	125.0
Edward J.	100	250.0
Mattingsly, Miss Alma	10	25.0
Means, James A. Jr.	300	750.0
Mosely, Forest L.	700	1750.0
Mulligan, Frederick A.	5	12.5
Neel, Marshall H.	100	250.0
Nichols, William J.	200	500.0
Nitson, Elmer J.	50	125.0
Opp, Alphonse C.	200	500.0
Mrs. Katherine	200	500.0
Ostrow, Mrs. Mary	200	500.0
Ramsey, George	60	150.0
Rosen, Oscar K.	20	50.0

EXHIBIT 65-B

1929 Oct	Riedinger, Adolph	1000	25000
	Byrnes, James S. Jr.	50	1250
	Riley, Peter J.	20	500
	Ritcher, William	200	5000
	Rowe, James H. Hinnaid	500	12500
	Rueff, George	20	500
	Ryan, Charles J.	60	1500
	Sammels, Maynard	100	2500
	Scanlan, Mrs. Susan M.	100	2500
	Scherer, Miss Helen	10	250
	Schmidt, Annie E.	20	500
	Schopp, William J.	50	1250
	Schulman, William	25	625
	Seligman, Alfred	120	2500
	Mrs. Jennie K.	200	5000
	Sample, George C.	200	5000
	Shinnick, Mrs. Kate	200	5000
	Simpson, Mrs. Florence C.	300	7500
	Sam H.	900	22500
	William B.	200	5000
	Spaulding, Harry T.	25	625
	Spith, Mrs. Maybell	12	300
	Spillman, Lyndell C.	180	4500
	Stark, Miss Edith	5	125
	Statenburg, Evan B.	160	4000
	Stattenpohl, Joseph C.	100	2500
	Starker, Carlton	600	10000
	Stebbins, John D.	200	5000
	Tachjian, Herbert	1000	25000
	Taylor, Major	180	4500
	Thompson, Paul E.	1000	25000
	Robert D.	300	7500
	Tarlina, Elmo F.	40	1000
	Truchess, Miss Carrie	60	1500
	Charles T.	200	5000
	Tuley, Philip S.	1000	25000
	Vaughan, Robert F.	300	7500
	Veeneman, C. Edward	39	975
	Vogt, Henry	200	5000
	Wabbing, Mrs. Elizabeth K.	75	1875
	Walker, Eugene H.	200	5000
	Walters, John H.	20	500
	Miss Mary M.	15	375
	Wash, Arthur M.	200	5000
	Watson, Mrs. Charlotte J.	100	2500
	Wight, Lewis K.	100	2500
	Wiese, Coleman E.	40	1000

EXHIBIT 65-B

1929				
Oct	Hair, Fred B.	40	10000	
	James A.	40	10000	
	Hells, Oliver (Mumby age)	50	12500	
	Hale, Miss Catherine M.	10	250	
	Higginson, James L.	50	12500	
	Hilliard, Mrs. Anna C.	40	10000	
	Holford, Mrs. Henry, Devon	150	37500	
	Yeager, Lewis C Jr.	100	2500	
	Bartley, Miss Ellen C.	50	1250	
	McBride, Mrs. Marie D.	200	5000	
	O'Sullivan, Daniel E.	1000	25000	
		1500		
				3779300

EXHIBIT 65-B

1927 Oct	Wawty, Mrs. Seldie M.	1.00	25.00
	Board, Milton	2.00	75.00
	Burch, Roland B.	.50	125.00
	Burby, Edith	25.00	625.00
	Butterick, Simon	.50	125.00
	Clark, Lewis	25.00	220.00
	Clark, Harry J.	25.00	625.00
	Clark, Mrs. Grace L.	40.00	100.00
	Clark, Bruce C.	1.00	25.00
	Clay, Brown H.	.50	125.00
	Celly, Robert L.	20.00	50.00
	Corn, Joe M.	5.00	125.00
	Coppelman, William H.	100.00	250.00
	Craig, Mrs. Emma Hunt	25.00	70.00
	Crisp, Mrs. Belle Gordon B.	20.00	50.00
	Cubin, Martin L.	100.00	250.00
	Cushman, Mrs. Jeanette	100.00	250.00
	Cowan, Mrs. Emerald B.	20.00	50.00
	Cramer, Mrs. Agnes H.	10.00	25.00
	Cramer, Philip L.	10.00	25.00
	McAuliffe, Mrs. Margaret	150.00	1250.00
	Quinn, Philip F.	50.00	125.00
	Rodney, Clifton	100.00	250.00
	Taylor, Mrs. Frances B.	50.00	1250.00
	Tellman, Mrs. Jessie E.	10.00	25.00
	White, Edwin L.	50.00	125.00
	Upshere, M.	20.00	50.00
	Woods, Mrs. Margaret L.	30.00	75.00
	Wright, Taylor	100.00	250.00
	Wright, Raymond L.	20.00	50.00
	Wright, Herman R.	20.00	50.00
	Wright, Frank B.	100.00	250.00
	Wright, Edward	10.00	25.00
	Wright, Clarence E.	10.00	25.00
	Wright, Miss Margaret	5.00	125.00
	Wright, Bennett B.	40.00	100.00
	Wright, Mrs. Alice	100.00	250.00
	Wright, John F.	12.00	30.00
	Wright, Miss Roberta	17.00	425.00
	Wright, Harold B.	5.00	125.00
	Wright, James B.	5.00	125.00
	Wright, Miss Alberta	10.00	25.00
	Wright, Ray L.	20.00	75.00
	Wright, F. Wilson	25.00	625.00
	Wright, Mrs. Edith E.	25.00	6.00

EXHIBIT 65-B

1929			
Oct	Crall, Herbert & Jr.	25	875
	Davis, Mrs. Ellen Ward	40	100.0
	John J.	50	1250.
	William J.	100	2500.
	Dexter, Minnie L. & H.	740	1850.
	Dougherty, Miss Mildred Helen	5	125
	Duffy, Mrs. Ruby O.	10	250
	Duncan, Tom B.	100	2500.
	Edwards, Davis H.	100	2500.
	Epler, Mrs. Catherine M.	10	250
	Evans, William J.	40	1000.
	Faerber, Joseph B.	30	750
	Family Credit Clothing Co.	200	5000
	Flannery, Miss Susan A.	20	500.
	Flannery, Gustav	400	10000
	Fredrick, Harry	25	625
	Furnish, Arthur R.	320	8000
	Gates, Fred H.	100	2500.
	Gember, William P.	20	500.
	Goldborough, Mrs. Albert	25	600.
	Grant, Maurice	5	125
	Greathouse, Joseph H. &		
	Greene, Mrs. Mary M. & H.	15	425
	Green, Mrs. Mary M. & H.	16	400
	Grinstead, R. Hewitt	50	1250
	Guemmel, Fred	25	625
	Gunterman, Mrs. Lela	25	625
	Gutty, George	50	1250
	Hammann, George J.	10	250
	Hays, F. J.	40	1000
	Hendricks, Earl	40	1000
	William J.	100	2500.
	Hidrock, Fred J.	100	2500.
	Henn, Mr. & Walter B.	10	250
	Hertman, James Aaron	400	10000
	Hess, James P.	25	625
	Hinkle, Edward H.	200	5000
	Hobbs, J. L. & J. L.	100	2500.
	Hooty, Fletcher	25	625
	Hollenbach, Louis J.	1000	25000
	Hopkins, Robert P.	20	5000
	Howell, Herbert R.	50	1250
	Jude, H. & Map	100	2500.
	Jackson, Sam	100	2500.
	Jacobson, Louis R.	500	12500
	Jester, Miss Lela	30	750
	Judy, Miss Nora	30	750

EXHIBIT 65-B

1877			
Oct 2	Hlemm, Edward E.	200	5000
	Spaker, Henry C.	100	2500
	Langwell, Miss Hazel	8	200
	Lips, Ernest R.	30	750
	Maloney, Margaret L.	90	2250
	Mathews, Thomas J.	500	12500
	McCulloch, Mrs Ida	10	250
	McCarty, Miss Regina	4	100
	McLennan, James J.	200	5000
	McLennan, Edward E.	200	5000
	McNaney, Edward A Jr.	15	375
	Metcalf, Miss Elizabeth	500	12500
	Middle, Henry P.	40	1000
	Middle, James R.	10	250
	Middle, William B.	10	250
	Morton, Mrs Mary M.	100	2500
	Murphy, Miss Della C.	10	250
	Nichols, Frances	15	375
	Osborne, Edward R.	11	275
	Plange, William H.	200	5000
	Polkover, Winfred O.	10	250
	Quinn, Miss Agnes	10	250
	Randall, Clyde E.	50	1250
	Reid, E. Hager	50	1250
	Riley, James B.	10	250
	Roberts, William O.	50	1250
	Robinson, Joseph M.	104	2600
	Rocking, Joe	150	3750
	Sampson, Mrs Elizabeth B.	20	500
	Sampson, J. P.	30	750
	Schmidt, Albert E.	15	375
	Schubert, Miss Lois R.	5	125
	Schmidt, Miss Edna C.	10	250
	Schroeder, Edwin A.	10	250
	Scott, Walter A.	10	250
	Seary, Millard J.	20	500
	Seckamp, Elizabeth	10	250
	Smith, Lily M.	100	2500
	Snider, Ambrose J.	30	750
	Spodgrass, Miss Mary Lynn	10	250
	Spalding, Joe	5	125
	Sparks, Ernest H.	500	12500
	Stangell, Miss Catherine	10	250
	Storn, Samuel B.	100	2500
	Sturges, Simon M.	8	200
	Threlwell, Siebeck T.	100	2500
	Thompson, Mrs Abner	10	250

EXHIBIT 65-B

1924			
Dec	Timoney, Francis J.	75	1875
	Fobe, Vincent S.	10	250
	Pompeter, John T.	40	1000
	Preder, Harvey R.	200	5000
	Pyramethun, William	20	500
	Regel, Albert P.	200	5000
	Rekins, H.T.	20	500
	Reking, Henry H.	400	10000
	Reinsten, Emanuel	300	7500
	Reidcamp, Francis J.	100	2500
	Riggings, J.B.	25	625
	Riddle, Miss Mabel Ann	4	100
	Rirt, Karl F.	100	2500
	Young, Miss Annie M.	20	500
	George H.	32	800
	Amont, Mrs Mary B.	16	400
	Atton, Edwin S.	1000	25000
	Wood F.	1000	25000
	Bannon, Rose M.	500	12500
	William O.	1000	25000
	Barker, Kenneth A.	300	7500
	Belschiff, Richard C.	50	1250
	Bethel, Peyton B.	200	5000
	Bishop, John B.	20	500
	Boschman, Miss Florence	150	3750
	Brown, Harry J.	25	625
	Tim C.	25	625
	Cardwell, John C.	300	7500
	Christen, Gus A.	400	10000
	Clark, Clifford E. Jr.	10	250
	Clements, John W. B.	500	12500
	Collins, Hilton	500	12500
	Connaughton, Miss Sue S.	100	2500
	Courier Journal Job Printing	400	10000
	Cralley, Lee E. Jr.	40	1000
	Salafield, Mrs. Amanda O.	40	1000
	Coch, Charles Barre	660	16500
	Donahue, Miss Fannie H.	40	1000
	Duffy, Martin J.	20	500
	Epping, John E.	400	10000
	Foley, Mrs. Julia R.	400	10000
	Fewell, Linda B.	10	250
	Flaming, Robert E.	1000	25000
	Foley, Andrew Jackson	10	250
	Ford, Miss Malloye R.	10	250
	Galvin, Miss Myra	100	2500

EXHIBIT 65-B

117 Oct 1 Binder, Walter H.	200	5000
Haas, Robert H.	50	1250
Hest, Harry M.	50	1250
Hoffman, Miss Antoinette	6	150
Hollitt, Robert	50	1250
Hickman, Bayler O.	50	1250
Hill, Edward B.	400	10000
Hillman, Mary	320	8000
Hosfield, Ernest O.	110	2750
Hyatt, William R.	100	2500
Ily, Robert C.	1000	25000
Lang, Otto C.	19	475
" " Robert	6	150
Mc Cann, Miss Jean	6	150
" " William A Jr.	3	75
Mc Grath, Michael H.	13	325
Needham, William R.	5	125
Mengel, Joseph T.	1000	25000
" " Sam C.	1000	25000
Miller, Mrs. Betty H.	50	1250
" " Charles H.	60	1500
Minary, Thomas J.	1020	25500
Mosson, Harry R.	10	250
Mission, William L.	20	500
Mosbacher, Miss Margaret	40	1000
Murphy, Lemmie L.	1020	25500
" " James C.	210	5250
Nelson, Jesse	10	250
Onfron, Alva C.	10	250
Reber, Claude L.	10	250
Riehl, Stewart	40	1000
Riley, Walter M.	100	2500
Rondie, Richard	20	500
Rose, David B. B.	100	2500
Rosenbaum, Mrs. Belda	50	1250
Rosenthal, Jacob L.	20	500
Salkeld, C.	1000	25000
Sand, Alexander	1000	25000
Schling, William C.	20	500
Symons, Charles	100	2500
Shapira, Max & Sons	40	1000
Stifford, Henry J.	100	2500
Tanner, Harriet A.	20	500
Toway, Robert C.	2000	50000
Torgerson, Miss Verda	10	250
Volz, Harry A Jr.	25	625
" " Jr.	360	9000

1929

Oct

v. Hahking, Mrs. Annart.

William

75

1975

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1875

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EXHIBIT 65-B

1877 Oct 3	Buckley, Mrs. Helen	2	100
	Chappell, Edwin H.	50	125
	David, R. Hayes	500	1250
	Deaf, Robert	2000	5000
	Hardaway, A. Doremus	100	250
	" Mrs. Ella Mae C.	95	2375
	Higgins, John	100	250
	McCarthy, A. Clayton	50	125
	Kelly, Mrs. Emma M.	20	50
	Lumpkin, Joseph H.	100	250
	Smith, Mrs. Mainie H.	100	1000
	Thompson, Charles J.	10	250
	Ackenstedt, Fritz C.	20	500
	Beard, William May	300	750
	Baxter, Charles J.	20	500
	Bayne, Frank J.	20	2000
	" Raphael J.	100	2500
	Bayer, Peter Charles	200	500
	Bowers, George B.	1000	2500
	Burke, David	600	15000
	Butterfly, Robert C.	50	125
	Brewer, Andrew J.	200	5000
	Brown, Arthur W.	50	125
	" J. Hallman	350	9500
	" William J.	5	125
	Burke, Phillip H.	1100	2750
	Burke, George R.	100	250
	Burke, William & Anna W.		
	" William, Right of Jure	10	250
	Chisholm, Mary Ellen	30	750
	Cohen, Hayes J.	100	2500
	Collins, John V.	240	21000
	Conrad, Philip	50	1250
	Constantine, William C.	60	1000
	Cady, Wood	100	250
	Crawford, Richard B.	100	250
	Cruikshank, J. M. Cruikshank	200	2500
	Cummins, Walter T.	200	10000
	Denial, John P.	50	1250
	Denial, Miss Opal	140	3500
	Deaf, Raymond	100	2500
	Detmold, Peter R.	20	500
	Deed, Allen T.	100	2000
	" Edward A.	50	1250
	Dombros, Frank J.	100	2500
	Dumas, James C.	5	125

EXHIBIT 65-B

1929
Oct

Amerson, Austin A.	100	2500
Amerson, Jack H.	200	5000
Anten, Lewis A.	200	7500
Barak, Elmore J.	100	2500
Biddleman, Dr. Claude P.	300	7500
Binwest, Alden L.	50	1400
Brant, Lewis J.	25	625
Bryson, Fred	50	1250
Gardner, Joseph S. Jr.	20	500
Grimmell, Marjorie M.	100	2500
Ginglione, John	100	2500
Gollar, John Jr.	100	2500
Goodfriend, Louis	10	250
Gordon, Robert E.	500	12500
Graham, Mrs. Frances	8	200
Gray, Angerene	50	1250
Greer, Mrs. Mary, Mabel	40	1000
Gray, Peter B.	25	625
Haag, Philip J.	50	1250
Hale, Earl S.	25	625
Hammann, Earl	10	250
Hardin, B. H.	100	2500
Hartley, Isaac P.	400	10000
Hawes, Edgar B.	50	1250
" Mrs. Elsie P.	50	1250
Hayden, Thomas E.	10	250
Hays, John Edwin	50	1250
" Josephine	10	250
Head, Miss Maude	10	250
Hertzman, Alex	40	1000
" Rachel	60	1500
Hickman, Lindley A.	100	2500
Hoffman, Walter J.	25	625
Hogan, Herbert Harry	150	3750
Howard, Dr. E. Murphy	400	10000
Huntington, Mary Lou	6	150
Hynes, Miss Gladys	20	500
Jacke, Edna	20	500
" William	20	500
Jackson, Levi C.	10	250
" Ray	10	250
Jerboe, William B.	40	1000
Jones, Rome H.	100	2500
Jones, Samuel S.	40	1000
Kavanaugh, Lillie L.	50	1250
Keller, Henry	50	1250
Kemper, Walter H.	10	250

EXHIBIT 65-B

1917 Oct 3	Hesselring, John	25	125
	Hindler, George B.	20	750
	Hlein, Harry J.	100	2500
	Huns, John J.	50	1250
	Huppelmann, Mrs. Josephine B.	500	12500
	Jane, Mrs. Adrienne	12	300
	Jung, Thes. A.	75	1875
	Lapointe, Ruth B.	50	1000
	Leay, James P.	100	2500
	Leay, Markham	100	2500
	Lewis, Hendrick P.	100	2500
	Grand B.	50	1250
	Lieber, Fred St.	40	1000
	Lindenberger, G. Hafe	100	2500
	Luscky, Clifford	200	5000
	William Bach	20	500
	Lyon, Albert P.	100	2500
	Lyons, Sundryge St.	20	500
	Motzkheim X.	40	1000
	Mossming, Allen	20	500
	Mrs. Lela Elkin	100	2500
	Martin K.	20	500
	Manner, Mrs. Hannah	20	2000
	May, Charles H.	10	250
	Mc Bee, Martin A.	200	5000
	Mc Kenzie, Mrs. Evelyn B.	10	250
	Mc Nally, Allan	100	2500
	Metsalfe, Ben J.	1440	48500
	Ma Katherine M.	500	12500
	Miehl, Max	110	2500
	Mierach, Rudolph V.	100	2500
	Miller, John H.	500	12500
	Frank St.	100	2500
	Mrs. Mary L.	500	12500
	Naville	100	2500
	Morse, Walter B.	100	2500
	Moss, Hilda L.	200	5000
	Hennmayer, Mrs. Ottilia	2	75
	Osswein, Mrs. Lulie	50	1250
	Oll, Miss Mary K.	5	125
	Rutherford, Thomas H.	4	100
	Riehl, John	200	5000
	Robertson, Morris A.	20	500
	Rose, Les. B.	100	2500
	Russell, Harry H. Release P.		
	Miss Emma Ryle of New.	200	5000
	Rutledge, Arthur M.	150	3750

1929
ECI

Sand, Alexander	50.	12500
Schacht, Mrs. Emma	8	200
Schmidt, Lillie M.	100	2500
Schneider, Edward J.	400	10000
Schubert, Margie	25	625
Schuster, Louis R.	100	2500
Seelbach, Otto K.	400	10000
Sewell, Robert	150	3750
Shoup, Earl T.	5	125
Smart, John H.	100	2500
Smith, Guy B.	25	625
Spaninger, Joseph R.	200	5000
Spickman, Lawrence F.	200	5000
Spinden, William L.	700	17500
Staple, Miss Minnie	15	375
Stites, Garrett	50	1250
Stied, Karl L.	25	625
Tabb, George Cary	40	1000
Tafel, Carl G.	200	5000
Taylor, Charles C.	100	2500
Thompson, Frank H.	200	5000
Thompson, Joseph H.	100	2500
Thompson, Robert P.	300	7500
Thornberry, Mrs. Aileen	20	500
Tyler, Jessie R.	20	500
Vinglaub, Arthur J.	25	625
Vaughan, Wallace	500	12500
Wahl, Henry O.	1000	25000
Wallace, P. M.	100	2500
Wallington, Joe H.	40	1000
Watkins, William	400	10000
Webster, Miss Carolyn	10	250
Wheeler, Mrs. Augusta H.	76	1900
Williams, Franklin P.	700	17500
Wobbe, J. P.	10	250
Buddle, Edward G.	500	12500
Burdick, Edward H.	100	2500
Childers, Christopher C.	200	5000
Coady, Louis L.	30	750
Davis, Harry V. Jr.	40	1000
Dieckmann, Albert H.	100	2500
Ford, Miss Lillie F.	100	2500
Glen, Eldon R.	300	7500
Hamilton, Alexander C.	25	625
Hamp, Edward E.	20	500
Halladay, Miss Fannie	40	1000

EXHIBIT 65-B

47 Oct 3	Hallenkamp, Miss Mary	40	1000
	Hunder, Richard F.	5	125
	Ladenburger, Miss Evelyn Ruth	8	200
	Laghter, Frank J.	20	500
	Lapping, Robert B.	3	75
	Lee, Mrs. Sophia B.	4	100
	Miller, Clarence M.	15	375
	Neellman, Miss Theresa	400	10000
	Nooney, Mrs. Catherine S.	360	8500
	Obenauer, Henry A.	200	5000
	O'Hara, Miss Grace	25	625
	Pape, William T.	4	100
	Ramsey, Anthony P.	600	17000
	Ritterman, John B.	100	2500
	Rumrals, Theodore O'Hara	50	1250
	Sawyer, Harriet	10	250
	Scanland, Mrs. Susan M.	48	1200
	Schultz, Miss Thaine C.	10	250
	Seyd, William	200	5000
	Shieman, Henry	640	162000
	Thirwell, Edward C.	100	2500
	Thomas, John A.	50	1250
	Timmon, Edward V.	12	300
	Trent, John H. Jr.	200	5000
	Wiley, Rodman	200	5000
	Wilson, Mrs. Mary V.	8	200
	Wright, Miss Louise	25	625
	<i>do. 2nd</i>		

1007600 ✓

EXHIBIT 65-B

1924
Oct

Harczyk, Mrs. Rosa	25	625
Battam, Miss Mary A.	65	1625
Dreyfus, Freda	20	500
Fontaine, Logan J.	250	25000
Hickey, Michael J.	100	2500
Lepping, Miss Willard	20	500
Osborn, Paul P.	50	1250
Schneider, John C.	100	5000
Abernethy, Miss Elizabeth	2	50
Alford, Miss Betty F.	25	625
Basigalupi, Charles R.	75	1875
Baderach, Jack	25	625
Beckley, Richard	10	250
Bethel, Miss Mary McDonald	200	5000
Borawitz, Abraham	10	250
Bradley, Mrs. Tillie Betts	50	1250
Campbell, Frank C.	20	500
Charlton, Henry L.	100	2500
Culp, Charles C.	500	12500
Conner, Miss Mayme	20	500
Luncan, Miss Dorsey	40	1000
Lucie, Mrs. Lucie M.	30	750
Kline, Mrs.	100	2500
Foley, William B.	500	12500
Fisher, Thomas C.	50	1250
Fisher, Ames Kincaid	2	50
Falter, R. H.	5	125
Ford, Ben C.	500	12500
Gyngley, Raymond R.	20	500
Goodfriend, Louis	5	125
Goodman, Harry	50	1250
Gutierrez, Amador H.	75	1875
Hayden, James R.	40	1000
Hemp, Ray P.	400	10000
Hilf, Jacob E.	100	2500
Hjorvick, A. V.	200	5000
Lewis & Culp, Inc.	500	12500
Lusky, Mrs. Little Jack	40	1000
Macie, William H.	1000	25000
Morris, George E.	100	2500
Lewis E.	100	2500
Morris, William R.	100	2500
Moss, Mrs. Margaret M.	40	1000
Rider, George H.	200	7500
Peter, Mrs. Georgia	10	250
Radford, Octavia L. B.	100	2500

EXHIBIT 65-B

1912 Oct			
	Seubermann, Beata Mrs.	5.00	75.00
	Shute, Louis	20.00	25.00
	Spaulding, Peter A.	10.00	25.00
	Stily, William A. Jr.	75.00	1875.00
	Stille, Miss Elizabeth	15.00	375.00
		5.00	75.00
	Strassell, Harry H.	10.00	25.00
	Stull, Charles	10.00	25.00
	Strach, Mrs. Mary Belle	10.00	25.00
	Swadlow, Miss Thompson	20.00	50.00
	Washfield, Wm.	10.00	25.00
	Wheeler, Horace B.	15.00	375.00
	Whitely, John C. Jr.	20.00	50.00
	Wintermuth, Tom M.	10.00	25.00
	Wolff, Leander K.	10.00	25.00
	Woodward, Charles	40.00	1000.00
	Wyke, Mrs. Kate B.	40.00	1000.00
	Yushman, Miss Margaret	10.00	25.00
	Carney, Mrs. Martha G.	50.00	1250.00
	Carson, L. Sheldon	10.00	25.00
	Chappell, James M.	20.00	50.00
	Crest, Frank	5.00	125.00
	Craig, Miss Mary Catherine	5.00	125.00
	Crotcher, Miss Estelle	20.00	50.00
	Davis, Miss Margaret C.	25.00	625.00
	Deering, Wallace K.	5.00	125.00
	Dugan, Walter K.	40.00	1100.00
	Elving, Miss Christine	5.00	125.00
	The Association of Philadelphia	5.00	125.00
	Foster, Miss Irene	10.00	25.00
	Gentiana, Margaret Mrs.	5.00	125.00
	Gibson, Miss Margaret	10.00	25.00
	Galvin, William L.	20.00	500.00
	Greene, Percy D.	15.00	375.00
	Haggan, Miss Catherine	5.00	125.00
	Hendricks, Lee	10.00	25.00
	Hillier, Carl	5.00	125.00
	Johnson, Ben J.	20.00	50.00
	Kiley, Miss Katherine	25.00	625.00
	Kinsell, Albert H. M.	10.00	25.00
	Kochler, Raymond L.	10.00	25.00
	Kiefer, Mrs. Emma	60.00	1500.00
	Lincoln, Walter E.	10.00	25.00
	Loughridge, Mrs. Carl M.	10.00	25.00
	Morgan, Stephen F.	10.00	25.00
	Murphy, Peter J.	50.00	1250.00

EXHIBIT 65-B

1927			
Oct	Putnam, Lawrence	5	125
	Putnam, William J.	25	625
	Ryan, Joseph J.	50	1000
	Schepfberger, William D.	20	500
	Schlueter, Mrs. Lennie	50	1250
	Schneider, Erle	5	125
	Schreier, Joseph J.	25	1250
	Schulz, Miss Ada L.	10	250
	Scott, Arthur A.	5	125
	Spackman, Ernest J.	10	250
	Steele, Condit	5	125
	Stilling, Alaymond J.	10	250
	Steele, Mrs. Mary S.	1000	10000
	Talamini, Harry S.	500	12500
	Theobald, Edward J.	1000	25000
	Truman, Mrs. Harold Joseph	10	250
	Vonage, Mrs. Mayme	10	250
	Warren, J. Antcliffe	30	750
	Woodward, Lennie M.	50	1250
	Total		291700

EXHIBIT 65-B

27. Clemmons, Paul H.	10	250
Furner, Mrs. Maria	100	2500
Griddley, Joseph E.	1000	25000
Andrew, Ed.	100	2500
Bradley, Thomas P.	50	1250
Carter Mrs. Susan O.	40	1000
Chaetm, Erle	200	5000
Claro, Walter P.	200	7500
Curtis, Mrs. Virginia B.	12	300
Edelen, Collings J.	50	1250
Hannon, Edward S.	100	2500
Hinkelstein, Fred J.	100	10000
Hestel, Fred H.	10	250
McDonough, John D. Virginia P.	400	10000
Rains, Alice B. Jr.	50	1250
Simpson, Grace H.	200	6000
Stokely, Williams B. Jr.	200	7500
Spawell, George R. Jr.	25	125
Antonini, Miss Catherine	5	125
Baker, Frank	20	500
Bauscher, John P.	5	125
Bendson, Miss Mary A.	30	750
Bisbee, Mrs. Florence C.	60	1500
Bonner, William & Daniel	10	250
Crull, Harry N.	5	125
Appelmann, Raymond J.	10	250
Amstutz, Alex Jr.	30	750
Barry, George W.	140	3500
Fontana, Charles E.	50	1250
Boering, William H. Jr.	5	125
Brown, Miss Emma	5	125
Hagedorn, Mrs. Isabella	10	250
Harrah, Thomas	5	125
Hess, John H.	10	250
Huette, Miss Elizabeth	17	425
Kinder, Miss Lillian	5	125
Laney, Chester	21	525
Lee, Edward E.	250	20500
Mrs. Mary L.	40	1000
McKay, Mrs. Margaret M.	20	2000
McLean, Mrs. Eliza T.	12	300
McQuilly, William B.	15	375
Mathoney, Mrs. Monica	5	125
Maloney, Thomas J.	10	250
Marshall, Cecil T.	5	125

EXHIBIT.65-B

1924			
Oct	Messingly, Miss Elizabeth	5	125
	Mengel, Edwin Potter	400	10000
	Messinami, Miss Irene	5	125
	Ramsey, Miss Katherine	5	125
	Roby, Miss Clara	5	125
	Ruffner, George Jr	5	125
	Samply, Mrs Lottie L	60	1500
	Thomason, Mrs Mabel	5	125
	Tanner, Annie	50	1250
	Vest, John H.	16	400
	Waters, Philip B.	200	5000
	Zurbrugg, H. Tom	1500	37500
		728	

17625 ✓

EXHIBIT 65-B

1922 Oct 7	Lewis, John B.	500	7500
	Conditon, Miss Anna	10	250
	Connelly, Charles & Dorothy, 8		
	Connelly, Robert & Susan	50	1250
	Cooper, Eugene E.	20	500
	Cory, Stanley	20	500
	Crocker, Bradley	20	500
	Brown, Abe M.	100	2500
	George	200	5000
	Harry M.	100	2500
	Bullard, James P.	20	500
	Burke, Mrs. Agnes H.	10	250
	Burgess, Frank J.	50	1250
	Burke, Thomas J.	696	17400
	Burman, Tom R. III	6	150
	Burke, Mrs. Kate S.	8	200
	Crath, Albert	20	500
	Crane, Shirley E.	100	2500
	Crawford, P. Lee	40	1000
	Criswell, Maude	20	500
	Crotter, Henry B.	200	5000
	Croft, Leo P.	75	1875
	Croft, Edward C.	100	2500
	Croft, Miss Adelaide	10	250
	Croft, John	200	5000
	Croft, Sam	1000	25000
	Croft, Mrs. Mary H.	10	250
	Croft, John H.	600	15000
	Croft, Clyde F.	50	1250
	Croft, Mrs. John M.	100	2500
	Croft, Mrs. Mattie	40	1000
	Croft, Charles F.	20	500
	Croft, Emily B.	400	10000
	Croft, Henry L.	200	5000
	Croft, James H.	12	300
	Croft, Mrs. Amelia E.	40	1000
	Croft, James	100	2500
	Croft, Mrs. Lillian A.	20	500
	Croft, Mrs. Mary H.	40	1000
	Croft, Clarence H.	300	7500
	Croft, Emil J.	50	1250
	Croft, H. Edwin	50	1250
	Croft, Fred	100	2500
	Croft, Robert L.	100	2500
	Croft, Matt J.	100	25000

EXHIBIT 65-B

1929

Oct 7 Huntale, Jack a

100

250

100

196175

EXHIBIT 65-B

729 21	Bauer, William	25	625
	Ingalls, Isaac T.	500	12500
	Blanco, Herbert S.	200	5000
	Hendon, George A.	600	15000
	Abbott, David S.	400	10000
	Allan, Edward H.	50	1250
	Bamber, Hilfred	100	2500
	Oliver, Fred A.	25	625
	Flower, Morris	100	2500
	Hymann, Theodore	50	1250
	King, Ben H.	500	12500
	Fischer, Mrs. Anna	10	250
	Linck, Harry	150	3750
	McAfee, Clinton J.	10	250
	Henry, Benjamin	10	250
	McBlair, William	30	750
	McMahan, Adam C.	10	250
	McMillan, Blanche L.	400	10000
	Seaton, Joseph	100	2500
	Sherrill, Buford H.	100	2500
	Spalding, Joseph P.	1000	25000
	Tyler, Robert S.	50	1250
	Wade, Earl F.	25	625
	Wheeler, Blacemore	400	10000
	Wagner, Frank R.	100	2500
	Went, Nellie C.	200	5000
	Birkholder, Thomas H.	400	10000
	Coverly, John R.	200	5000
	Cosby, Miss Mary H.	100	2500
	Coomes, James	100	2500
	Diehl, Charles F.	20	500
	Enole, Frank B.	50	1250
	Brenstein, Simon J.	100	2500
	Hannan, Miss Bessie	100	2500
	Murphy, Carroll	100	2500
	Sniffly, Elton W.	1000	25000
		7316	

182900

EXHIBIT 65-B

1919				
Oct	9	Bellman, John H.	20	6250
		Conrad, Miss Adeline L.	20	500
		Schuman, William	25	625
		Aldinger, Linck B.	25	625
		Andriote, Miss Lillian	20	500
		Barbours, Mrs. Caroline W.	25	100
		Chandler, John H.	50	1250
		Levis, Henry	20	500
		Bratzer, Bernard H.	100	2500
		Hamilton, Arch L.	1000	25000
		Kammerer, Louis J.	100	2500
		Langford, George H.	600	15000
		Kabenecker, Harry H.	25	600
		Turner, Mrs. Mary L.	200	5000
		Wheeler, Mrs. Minnie M.	1500	37500
		Angermeyer, Henry J.	100	2500
		Baumann, Antje Russell	750	18750
		Herbert, Preston	1000	25000
		Jones, William E.	100	2500
		Dwyer, Lawrence H.	500	12500
		Savage, Mrs. Jeanne M.	50	1250
			1031	

160950 ✓

EXHIBIT 65-B

1927 Oct 10	Cohen, Armand E.	1.00	25.00
	Davis, Paul	1.00	25.00
	Barker, Thomas A.	1.40	35.00
	Boswell, Rhodes R.	9.00	215.00
	Campbell, Charles D.	25.00	625.00
		25.00	625.00
	Cumtong, Max C.	20.00	50.00
	Hagman, William J.	8.00	20.00
	Heffern, John H.	1.00	25.00
	Howard, Jacob	5.00	125.00
	Kavanaugh, Joe E.	25.00	625.00
	Munsey, H. Hobart	1.00	25.00
	Powers, Michael J.	5.00	125.00
	Ruff, William D.	20.00	50.00
	Stamper, Walter H.	20.00	50.00
	Swindley, Henry R.	6.00	150.00
	Heber, Ben	1.00	25.00
	Heber, William H.	1.00	25.00
	Baldwin, Mrs. Minnie L.	6.00	150.00
	Boaid, Robert V.	15.00	375.00
	Carson, Alexander M.	1.00	25.00
	Guernsey, Gordon B.	5.00	125.00
	Shuman, Elmore	1.00	25.00
		245.00	1245.00

EXHIBIT 65-B

1929			
Oct	Burroughs, Arthur B	500	12500
	Bushman, Geo. H. Jr	100	2500
	Franklin, William E. Jr	100	1000
	Flaesch, John A	100	10000
	Hayden, Thomas S	10	250
	Humphreys, Mrs. Jane M. Hite	25	600
	Moray, Frank J. L.	200	5000
	Sharp, Joe B	500	12500
	Burghard, Joseph E	1250	31000
	Guthrie, Mary M.	25	625
	Russell, Mrs. H. M. Kirk	200	5000
	Spill, Sidney	7000	175000
	Warefield, C.	1000	25000
		11239	

280975

EXHIBIT 65-B

1929			
Oct. 14	Beckley, Mrs. John L.	40	1000
	Beckley, Edmund P.	50	1250
	Beckley, Herbert Jr.	100	10000
	Matson, Leonard D.	20	500
	Hager, William L.	900	22500
	Kelly, John J.	100	2500
	McCray, Miss Nellie J.	10	250
	Mitchell, Meyer	500	12500
	Christy, Miss Frieda	10	250
	Kern, William E.	125	3125
	Kaninberg, Miss Olivia	10	250
	Kendall, Richard M.	50	1250
	Lorington, George R.	150	3750
	Marrison, Miss Ellen H.	10	250
	John H.	100	2500
	Strong, George J.	300	7500
	Wadding, George E.	100	2500
		2875	

71875

EXHIBIT 65-B

724
Oct

Harrison, John H	500	125.00
Ballard, Miss Betty Lou	100	25.00
" Joe	2000	500.00
" Mrs. Olive May	100	25.00
Brydely, Frank H	1000	250.00
Johnson, Charles A	200	50.00
McQuillan, Miss Helen	10	2.50
Marcher, Morton	1200	300.00
O'Brien, Edward J Jr.	2000	500.00
Reed, Ma Kay	500	125.00
" Stanley	500	125.00
Schindler, F. J. & Sons	200	50.00
	8300	

207750

Exhibit 66

66
PLAINT
EXHIBIT No. 1
OCT 15 1938
OFFICIAL REPORTER

Jefferson Circuit Court
Chancery Branch
Division

JAC:123

A.J. Carroll,
Henry Vogt,
R. Lee Callahan,
George M. Clarke,
Robert F. Vaughan,
Allen P. Dodd, individually and as the
Executive Committee of the Board of
Directors of The Emsco Kentucky Company,

Plaintiffs,

-vs-

PETITION IN EQUITY

Chemical Bank and Trust Company,
The Emsco Kentucky Company,

Defendants.

...

The plaintiffs, A.J. Carroll, Henry Vogt,
R. Lee Callahan, George M. Clarke, Robert F. Vaughan and
Allen P. Dodd, for petition herein state that they are
and were at all times herein the owners and holders, in their
own right, of more than 500 shares of the capital stock of
the defendant, The Emsco Kentucky Company, and that they were
likewise the duly elected, qualified and acting members of
the Board of Directors of said defendant and were with James
Garrett likewise duly elected, qualified and acting members of
the Executive Committee of said defendant, with full power and
authority as upon to carry on the affairs of said corporation
in the manner described in the by-laws and a resolution of the
Board of Directors of said corporation hereinafter set out.

Exhibit 66

At all of said times the defendant, The
Kanco Kentucky Company, was a corporation duly created, organized
and existing under the laws of the state of Delaware with
power to sue and be sued, contract and be contracted with, and
to own, hold, sell and deal in the stocks and bonds of other
corporations. The powers of said defendant corporation are more
than specifically described in its Articles of Incorporation, a
copy of which is hereto attached marked "Exhibit A".

Said corporation during all of said times and since
its organization about July 16, 1929, has carried on and
operated its business in the State of Kentucky and City of
Louisville and all of its property and estate of every kind is
now located and held in said state and city.

Likewise at all times herein mentioned the defendant,
Chemical Bank and Trust Company, was a corporation, organized and
existing under the laws of the state of New York, with power to
sue and be sued, contract and be contracted with, and to carry
on a general banking business under the laws of said state of
New York.

One, Paul C. Keyes, Receiver of the National Bank
of Kentucky, is and has been, continuously, at all times
since November 16, 1930, the officer designated by the Controller
of Currency of the United States under the National Banking
Act to have charge and control of all of the assets and property
and affairs of the National Bank of Kentucky, a corporation
theretofore operating in the City of Louisville a national
banking institution under and by virtue of the laws of the
United States.

Exhibit 66

The plaintiffs say that prior to November 17, 1930, the defendant, The Home Kentucky Company, was in the pursuance of its business the owner and holder of large amounts of the capital stock in the following banks:

National Bank of Kentucky,
The Louisville Trust Company,
Brighton Bank and Trust Company of Cincinnati, Ohio,
Pearl-Market Bank and Trust Company,
Central Savings Bank and Trust Company of Covington,
Kentucky,
First National Bank of Paducah, Kentucky,
Ashland National Bank,

which holdings represented substantially all of the property and assets belonging to said defendant.

On said November 17, 1930, for causes over which these plaintiffs had no control, the National Bank of Kentucky and the Louisville Trust Company, both large banking institutions in the city of Louisville, were compelled to suspend business and be placed under the control and in charge of public authority, with the result that grave complications arose which made it impossible to conduct the affairs of The Home Kentucky Company in the manner and for the purposes for which it was organized, and with the further result that the creditors of and stockholders' interest in said Home Kentucky Company were gravely jeopardized and the orderly conduct of its business in normal fashion became impossible.

In pursuance of their powers as Executive Committee of the Board of Directors of said Home Kentucky Company these plaintiffs disposed immediately of all of its holdings of stock

Exhibit 66

in the aforesaid two banks in Cincinnati, two banks in Covington and the Bank in Ashland for the purpose of enabling these banks to proceed in the operation of their respective businesses and to protect their depositors from the results of public excitement.

As to the holdings of said defendant in the bank at Paducah, said holdings were rendered of doubtful value by the fact that the officers and directors of said bank at Paducah, of their own motion, and without the consent or approval of The Bank Kentucky Company disposed of all the assets of said Paducah Bank as a going concern to another bank in that locality.

In the performance of their duties as Executive Committee these plaintiffs caused the proceeds of the sale of its holdings of stock in the aforesaid banks at Cincinnati, Covington and Ashland to be placed in the custody of Paul C. Keyes, Receiver of the National Bank of Kentucky, and same are now by him so held.

In addition to said proceeds said defendant, The Bank Kentucky Company, owns shares of stock in the National Bank of Kentucky and the Louisville Trust Company, which stock constitute the only assets of said defendant, except the deposit aforesaid held by Paul C. Keyes.

The defendant, The Bank Kentucky Company, is now and has been for sometime past indebted to the defendant Chemical Bank and Trust Company in the sum of \$1,800,000, subject to a credit of \$380,000, and said defendant is the only creditor of the defendant, The Bank Kentucky Company, except the National Bank of Kentucky, to which is owed approximately \$131,000.

Exhibit 66

55
Ex Cont. 117
Tanner

Plaintiffs say that the stockholders in the
Rance Kentucky Company all have a common and general interest
in the questions involved in the further prosecution of the
business of said defendant and in the liquidation of its
affairs and that said stockholders are numerous, aggregating
many thousands of people, so that it is impracticable
to bring them all before the court within a reasonable time,
and these plaintiffs, as the representatives of said stock-
holders, and by virtue of their authority as the Executive
Committee of the Board of Directors of said defendant, prosecute
this action for the protection and benefit of all of the stock-
holders and the said creditors of said defendant, in order
to bring the management of its affairs hereafter under the
supervision of this court of equity through its receiver
to the end that no questions may arise as to the authority and
propriety involved in any of the important acts that will
be necessary to accomplish a liquidation and distribution
of the assets of said defendant to its creditors and stock-
holders.

Plaintiffs say that the defendant, The Rance
Kentucky Company, is threatened with a multiplicity of suits
to determine the rights to dispose of its assets and in the
liquidation of its affairs and in the distribution of the
proceeds thereof, all of which will cause unnecessary expense
and delay and irreparably injured the value of the interests
of said defendants' creditors and stockholders in said
liquidation.

Exhibit 66

WHEREFORE, plaintiffs pray the orders and judgment of this court appointing a Receiver for the defendant, The Sams Kentucky Company, to take charge of all of its assets, books, papers and effects and to hold, manage and dispose of same under the supervision of this court, and that said defendant and all of its officers and all other persons be ordered and directed to deliver to said receiver all of the aforesaid property in their hands and over which they have control belonging to said defendant; and that all persons be enjoined and restrained from instituting any suit against said defendant or prosecuting any claim against it, except in this action; and they pray for all other and proper relief.

David R. Castleman
Attorney for plaintiffs.

.....

WFO. FLD. 1st CLERK'S OFFICE
1960, Nov. 24th.

Exhibit 66

Mr. Carroll offered the following resolution, which was seconded by Mr. Dadd and unanimously adopted:

RESOLVED: That the by-laws of THE BANCO KENTUCKY COMPANY be and they hereby are amended by adding to said by-laws, as an additional clause, the following:

"The Board of Directors may elect, or confer upon the President the power to appoint an Executive Committee, from the membership of this Board, consisting of six members, the President to be ex-officio Chairman thereof. Said Committee shall have and exercise any or all of the powers and be invested with all of the authority of the Board of Directors in the management of the business and affairs of this corporation, at all times when the Board of Directors is not actually in session. Meetings of said Committee may be called at any time by the President or by any two members of the Committee."

Mr. Vaughan moved that the members of the Executive Committee be appointed by the President. The motion was seconded by Mr. Rutledge and unanimously adopted.

The President thereupon appointed the following to constitute the Executive Committee: R. Lee Callahan, Allen P. Dadd, A.J. Carroll, Robert F. Vaughan, George W. Clark and James Garnett.

Exhibit 66

I hereby certify that the foregoing is a true
and correct copy of a portion of the minutes of the
meeting of the Board of Directors of The Rance
Kentucky Company, held November 18, 1980.

W. T. Surdick
Secretary The Rance Kentucky Company.

• • •

FILED WITH PETO.

1980, Nov. 24th.

Exhibit 66

The affiant, Henry Vogt, says that he is one of the plaintiffs herein, and that the statements contained in the foregoing petition are true as he verily believes.

Henry Vogt.

Subscribed and sworn to before me by Henry Vogt, this
24th day of November, 1930.

My commission expires December 29, 1930.

Anna Rith
V.P.J.J.N.Y.

• • • •

Exhibit 67

PLAINTIFF'S
EXHIBIT NO. 67
OCT 18 1938
Paul C. Carpenter
OFFICIAL REPORTER

JEFFERSON CIRCUIT COURT
CHANCERY BRANCH
SECOND DIVISION

#008223

Henry Vogt, et al.

Plaintiffs,

The Banco Kentucky Company, et al.,

Defendants.

ANSWER AND ENTRY OF APPEARANCE OF DEFENDANT,
THE BANCO KENTUCKY COMPANY

Comes the defendant, The Banco Kentucky Company,
and enters its appearance herein and confesses the allegations
of the petition and consents that the prayer thereof shall be
granted and that entry be made appointing a Receiver for it.

THE BANCO KENTUCKY COMPANY

By W.T. Lurschmide
Secretary.

Subscribed and sworn to before me by W.T. Lurschmide,
this November 24, 1938.

My commission expires Dec. 20, 1938.

Ann Eith.
V.P.J.C.M.

Filed in Court
1940, Nov. 24th.

67

Exhibit 68

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Operations of
BancoKentucky Company
For Period**

October 1, 1929 to November 22, 1930

Man. H. S. & Co. 10/6/30

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Exhibit 68

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

HUGH A. WHITE CPA
EDWIN H. BOWER CPA
HARRY M. PREVO CPA
L. M. LAUGHLIN CPA

TELEPHONE
CADILLAC 4620

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A.M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have made an examination of the books and records of

Sanco Kentucky Company

for the period from October 1, 1929 to November 22, 1930 and herewith present an analysis of operations of the Company for the period indicated.

You will note that the income, expenses and dividends paid are classified and summarized by the following periods:

October 1, 1929 to December 31, 1929

January 1, 1930 to April 1, 1930

April 2, 1930 to July 1, 1930

July 2, 1930 to October 1, 1930

October 2, 1930 to November 22, 1930

and total for period

October 1, 1929 to November 22, 1930.

Exhibit 68



The dividends paid to stockholders of BancoKentucky Company on January 2, 1930 are shown on the books of account of the Company under date of December 31, 1929 and are, therefore, shown on the appended schedule for the period October 1, 1929 to December 31, 1929.

The amount of \$7,079.60, shown as Federal Income Tax, represents the amount set up as a liability for Federal Income Tax for the period ended December 31, 1929. Only \$4,320.59 of this amount was paid prior to November 22, 1930, leaving a liability on the books of account in the amount of \$2,759.01.

Very truly yours,

White, Bowen & Crenshaw
Certified Public Accountants

Exhibit 68

HANCOCK KENTUCKY COMPANY

Analysis of Operations - October 1, 1929 to November 22, 1930

	Oct. 1, 1929 to Dec. 31, 1929	Jan. 1, 1930 to Apr. 1, 1930	Apr. 2, 1930 to July 1, 1930	July 2, 1930 to Oct. 1, 1930	Oct. 2, 1930 to Nov. 22, 1930	TOTAL October 1, 1929 to November 22, 1930
Income						
Interest on Certificates of Deposit	\$ 79,838.33	\$ 29,433.31	\$ 15,841.52	\$ 453.33		\$ 125,346.89
Interest on Stock subscriptions	2,707.40					2,707.40
Dividends:						
Ashland National Bank		26,595.80	26,529.00	26,532.00	90.00	26,532.00
Brighton Bank & Trust Co.	42,009.00	3,288.00	3,288.00	3,288.00	3,288.00	121,797.80
Central Savings Bank & Trust Co.			1,067.00	6,310.00	4,188.00	13,532.00
First National Bank			28,329.80	28,742.40	180.00	119,623.80
Pearl-Markey Bank & Trust Co.	34,452.00	26,119.80	3,478.00	5,566.00	10.00	13,742.00
Peoples-Liberty Bank & Trust Co.		6,709.00		16,897.00		16,897.00
Security Bank			375.00		375.00	750.00
Union Central Life Insurance Co.	210,297.60	216,119.60	216,691.80	216,153.60		859,262.40
Trustees Participation Certificates	210,297.60	216,119.60	216,691.80	216,153.60		859,262.40
	\$549,104.23	\$505,244.01	\$522,596.72	\$528,586.33	\$5,098.00	\$1,610,629.29
Expenses:						
Interest paid	\$ 9,800.00	\$ 4,833.33	\$ 408.83	\$ 10,416.68	\$ 9,212.15	\$ 33,672.24
Examiners' fees	136.12					136.12
Federal tax-original stock issue	5,264.91			6,554.84		11,819.75
Legal fees	618.60	12,800.00	385.10			13,803.70
Organization tax	1,839.00	840.00				2,679.00
Printing and supplies	2,431.52	388.27	801.21	5,760.34		9,081.34
Franchise tax			239.17			239.17
Listing fees	10,100.00		8,000.00			18,100.00
Stock transfer fees		629.75	1,164.16	203.73		1,997.64
Telegraph	326.06					326.06
Traveling	480.67		92.50	325.09	208.74	1,086.80
Insurance and postage			6.00	785.76		791.76
Miscellaneous			117.29	116.84		234.13
Federal income tax	7,079.60					7,079.60
Total	\$37,054.48	\$18,891.33	\$7,915.78	\$24,123.18	\$5,430.47	\$93,415.24
	\$382,049.75	\$524,155.34	\$530,688.92	\$552,709.51	\$1,832.47	\$1,993,436.01
Dividends paid to stockholders	\$206,553.80	\$214,197.00	\$216,096.80	\$217,686.80		\$854,533.40
	\$15,515.78	\$23,858.34	\$20,410.84	\$13,812.43	\$1,832.47	\$75,430.86
Undivided profits at beginning of period (other than profits from retirement of Hancock Kentucky Company stock)		26,616.96	1,691.61	28,719.08	41,921.49	
Undivided profits from earnings (deficit)	\$ 26,616.96	\$ 1,691.61	\$ 28,719.08	\$ 41,921.49	\$45,883.98	\$ 145,823.96
Excess of amount received for Hancock Kentucky Company stock over amount paid on retirement of same		28,780.00	232,162.80	10,980.00		276,862.80
Amount shown on books as undivided profits at beginning of period arising from retirement of Hancock Kentucky Company stock			28,780.00	266,912.80	276,862.80	
Undivided profits per books	\$15,515.78	\$25,449.95	\$257,152.68	\$298,825.08	\$278,716.26	\$877,663.75

Exhibit 69

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Dividends Received by Trustees From
National Bank of Kentucky and Louisville
Trust Company and Distribution of Such
Dividends to Holders of Trustees
Participation Certificates**

October 2, 1929 to November 16, 1930

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit No 64

Exhibit 69

WHITE, BOWER & PREVO

CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

HUGH A. WHITE, CPA
EDWIN M. BOWER, CPA
HARRY M. PREVO, CPA
L. B. McLAUGHLIN, CPA

TELEPHONE
CADILLAC 4620

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A. M. Anderson, Receiver.
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions we have examined certain books and records of the National Bank of Kentucky, Louisville Trust Company and Bank of Kentucky Company relating to dividends paid by the National Bank of Kentucky and Louisville Trust Company to the Trustees under a Trust Agreement entered into between the stockholders of National Bank of Kentucky and Louisville Trust Company dated April 22, 1927 and the distribution of such dividends.

We have prepared and attach hereto a summary of dividends paid to the trustees subsequent to October 2, 1929 and the immediate distribution of such dividends to the holders of Trustees Participation Certificates.

Very truly yours,

White Bower & Prevo
Certified Public Accountants

Exhibit 69

Summary of Dividends Received by Trustees under a Trust Agreement entered into between the Stockholders of the National Bank of Kentucky and Louisville Trust Company dated April 22, 1927 and the distribution of such dividends to BancoKentucky Company and other holders of Trustee's Participation Certificates during period October 2, 1929 to November 17, 1930.

January 2, 1930:

Receipt of dividends from:		
Louisville Trust Co. (17,015 Shs. @ \$4)	\$68,060.00	
National Bank of Kentucky (39,130 Shs. @ \$4.)	156,520.00	
National Bank of Kentucky (C. C. Hieatt qualifying shares)	40.00	\$224,620.00
 Disbursement of dividends to:		
BancoKentucky Company (525,744 Shs. @ 40¢)	\$210,297.60	
Others (35,806 Shs. @ 40¢)	14,322.40	<u>\$224,620.00</u>

April 1, 1930:

Receipt of dividends from:		
Louisville Trust Company (17,215 Shs. @ \$4)	\$68,860.00	
National Bank of Kentucky (39,810 Shs. @ \$4)	159,240.00	\$228,100.00
 Disbursement of dividends to:		
BancoKentucky Company (537,799 Shs. @ 40¢)	\$215,119.60	
Others (32,451 Shs. @ 40¢)	12,980.40	<u>\$228,100.00</u>

July 1, 1930:

Receipt of dividends from:		
Louisville Trust Company (17,215 Shs. @ \$4)	\$68,860.00	
National Bank of Kentucky (39,810 Shs. @ \$4)	159,240.00	\$228,100.00
 Disbursement of dividends to:		
BancoKentucky Company (539,329 Shs. @ 40¢)	\$215,991.60	
Others (31,021 Shs. @ 40¢)	12,408.40	<u>\$228,100.00</u>

October 1, 1930:

Receipt of dividends from:		
Louisville Trust Company (17,235 Shs. @ \$4)	\$68,940.00	
National Bank of Kentucky (39,820 Shs. @ \$4)	159,280.00	\$228,220.00
 Disbursement of dividends to:		
BancoKentucky Company (540,384 Shs. @ 40¢)	\$216,153.60	
Others (30,166 Shs. @ 40¢)	12,066.40	<u>\$228,220.00</u>

Exhibit 69-A

Frankfort, Kentucky,
October 14th, 1938

The undersigned, C. D. Roberts, states that he is the Chief Deputy of the Secretary of State of the State of Kentucky, and as such has custody of the official records of said office, and that he has made a diligent search of the records of the office of the Secretary of State of the State of Kentucky in order to ascertain whether the BancoKentucky Company, since its organization on July 16, 1929, as required by Section 571 of the Kentucky Statutes, has filed in the office of the said Secretary of State of the State of Kentucky a statement signed by its President or Secretary, giving the location of its office or offices in the state, and the name or names of its agent or agents thereat upon whom process can be served, and that after a diligent search, no record or entry of the filing in the office of said Secretary of State of the State of Kentucky of a statement giving the location of the office or offices of the BancoKentucky Company in the State of Kentucky or the name or names of its agent or agents thereat, upon whom process can be served, as required by Section 571 of the Kentucky Statutes, or any statement at all in an attempted compliance with said Section 571 is found to exist in the records of said office of the Secretary of State of the State of Kentucky.

STATE OF KENTUCKY }
COUNTY OF FRANKLIN }

The undersigned, C. D. Roberts, hereby certifies that he is the Chief Deputy of the Secretary of State of the State of Kentucky and that as such Chief Deputy he has the legal custody of the records of the office of the Secretary of State of the State of Kentucky.

C. D. Roberts
C. Clark

C. D. Roberts
C. Clark

Placed in Exhibit 69-A

Exhibit 69-B

STATE OF KENTUCKY
COUNTY OF FRANKLIN

SCT.

The undersigned, Virgil Smith, states that he is the Supervisor of the Filing Section of the Department of Revenue of the Commonwealth of Kentucky, and as such has custody of said office; that he has made a diligent search of the records of the Department to ascertain whether the Bank of Kentucky Company, since its organization on July 16, 1929, as required by Section 4189-2, Kentucky Statutes, has paid the annual license tax provided in said section of the Kentucky Statutes, and that after a diligent search no record or entry of the payment for any year of such annual license tax is found to exist in the records of said Department.

This the 15th day of October, 1938.

Virgil Smith

Subscribed and sworn to before me by Virgil Smith this the 15th day of October, 1938.

J. Marshall

Notary Public, Franklin Co., Ky.

My Commission Expires May 3, 1942

My Commission expires May 3, 1942

Planned
Exhibit 69-B

Exhibit 71
LOUISVILLE STOCK EXCHANGE

APPLICATION—TO LIST STOCK

To the Listing Committee:

GENTLEMEN:—We herewith make application to list the stock of the _____

The BancorKentucky Company upon the regular list of the Louisville Stock Exchange, and submit the following statement:

1. Name of Company?

The BancorKentucky Company

2. Purpose for which organized?

Organized for the purpose of buying, holding, and controlling Banks.

3. Date of incorporation?

July 16, 1929

4. Under what law incorporated?

Delaware

5. Amount of authorized Capital?

2,000,000 shares \$ 10.00 par value

6. Par value of shares?

\$10.00

7. Amount of Capital Stock issued?

Entire

8. Is stock assessable?

No.

9. Amount of authorized bonds?

None

10. Amount of bonds issued?

11. Location of Principal Office?

Louisville, Ky.

12. Location of Transfer Office, and Name of Agent?

Louisville Trust Co. Louisville, Ky. Harris Tr & Sav Bk. Chicago, Ills

13. Statement of Company—Resources and Liabilities?

To do this it would be necessary to project a balance sheet.
An actual balance sheet will be furnished within ten days.

Exhibit 71

14. Are Certificates Registered? If so, where?

National Bank of Kentucky, Louisville, Harris Tr & Sav Bk. Chicago, Ills.

15. Names of Officers and Directors?

See list in appended booklet.

16. Dividends paid, if any, and when payable?

Dividend policy not yet determined

17. Remarks to cover any point not specifically asked above.

None

In making this application it is hereby agreed as a condition precedent to the listing of the stock that the Company shall furnish to this Committee at any time, on demand, such reasonable information of its general condition as may be required, and that a failure to give such information shall subject the Company to the penalty of having its stock struck from the list. Notice of any increase of capital stock shall be given in writing to the Secretary of the Stock Exchange at least thirty days before the issuing of said new stock, and that we hereby agree to notify this Exchange in writing of time of opening and closing of books for transfer.

Dated

October 3 - 1929

James A. Brown, President.

W. J. Humphreys, Secretary.

Exhibit 71

The BancoKentucky Company

Louisville, Kentucky

1697

*Manitowoc Lumber Co. 1897-6
71.1*

Exhibit 71-/

***Officers and Directors—
Certificate of Incorporation—
and By-Laws—***

of

**THE BANCO KENTUCKY COMPANY
Louisville, Ky.**

Exhibit 71-1

OFFICERS

JAMES B. BROWN, President
JOHN STITES, Vice President
RICHARD BEAN, Vice President
W. T. ZURSCHMIEDE, Sec'y and Treas.

DIRECTORS

JOHN S. AKERS	SAUNDERS P. JONES
HENRY J. ANGERMEIER	MILBURN P. KELLEY
RICHARD BEAN	WALTER I. KOHN
WILLIAM BLACK	BRAINARD LEMON
DR. OSCAR E. BLOCH	S. CLAY LYONS
CHARLES H. BOHMER	CHARLES C. MENGEL
GEORGE O. BOOMER	BEN J. METCALFE
R. R. BOSWELL	J. WM. MILLER
JAMES B. BROWN	THOMAS J. MINARY
R. LEE CALLAHAN	DAVID C. MORTON
ANTHONY J. CARROLL	EDWARD J. O'BRIEN, JR.
GEORGE M. CLARK	HENRY D. ORMSBY
SAMUEL W. COONS	JOHN B. PIRTLE
ALLEN P. DODD	BEN ROBERTSON
NICHOLAS H. DOSKER	ARTHUR M. RUTLEDGE
STUART E. DUNCAN	WILLIAM SHORT
JOSEPH H. DURHAM	WILLIAM S. SPEED
C. OSCAR EWING	JOHN STITES
JAMES GARNETT	SAM H. STONE
WALTER H. GIRDLER	E. LELAND TAYLOR
ANGENEAU GRAY	HORACE A. TAYLOR
JAMES J. HAYES	ROBERT F. VAUGHAN
T. KENNEDY HELM	HENRY VOGT
BAYLOR O. HICKMAN	E. WEINSTOCK
C. C. HIRATT	

Exhibit 71-1

CERTIFICATE OF INCORPORATION OF THE BANCO KENTUCKY COMPANY Incorporated under the Laws of Delaware

Name	FIRST: The name of this corporation is THE BANCO KENTUCKY COMPANY.
Offices	SECOND: Its principal office in the State of Delaware is to be located in the City of Dover, in the County of Kent, in the State of Delaware. The name of its resident agent is the United States Corporation Company, whose address is No. 19-21 Dover Green in said city.
Nature of Business and Powers	THIRD: The nature of the business, or objects or purposes proposed to be transacted, promoted or carried on are: The acquiring by purchase or otherwise of all or any portion of the capital stock, property, assets and franchises of any or all corporations transacting business in any State of the United States; the purchasing, holding, selling, assigning, transferring, mortgaging, pledging or otherwise disposing of shares of the capital stock of or any bonds, securities or evidence of indebtedness created by or issued by any other corporation or corporations organized under the laws of any State of the United States or of any foreign country and while the owner thereof to exercise all the rights, powers and privileges of ownership; the purchasing or otherwise acquiring of any promissory notes or other evidences of indebtedness executed by any person, firm, association or corporation; the financing, managing or operating of any commercial or manufacturing business or enterprise; the underwriting of any issue of stocks, bonds, debentures or other securities issued by any corporation; the charging of fees or commissions for
Buying and Selling stocks or bonds	
Buying notes, etc.	
Aiding Business Enterprises	
Underwriting of stocks and bonds	

Exhibit 71-1

Advice or Assistance to Persons, Firms, Corporations, Associations, etc.

any or all services rendered or advice or assistance given to any person, firm, association or corporation; acquiring and paying for in cash, stock or bonds of this corporation or otherwise, the good will, rights, assets and property of any person, firm, association or corporation and to undertake or assume the whole or any part of the obligations or liabilities of any person, firm or corporation.

Registrar or Transfer Agent

The corporation shall have power to act as registrar or transfer agent for any other association or corporation and to act as agent in general for any other person, firm, association or corporation.

Power to issue bonds, debentures, etc.

The corporation shall have full power to issue bonds, debentures or obligations of this corporation from time to time, for any of the objects or purposes of the corporation and to secure

Power to purchase own stock, etc.

the same by mortgage, pledge, deed of trust or otherwise. The corporation shall have full power to purchase, hold, sell and transfer the shares of its own capital stock; provided it shall not use its funds or property for the purchase of its own shares of capital stock when such use would cause any impairment of its capital; and provided, further, that shares of its own capital stock belonging to it shall not be voted upon directly or indirectly.

Power to guarantee obligations of others

The corporation shall have full power to guarantee the obligations of any other person, firm, association or corporation and to become surety therefor and to become joint maker, endorser or acceptor of any such obligations and to charge fees and commissions for such services.

Power to acquire, hold and sell Real Estate

The corporation shall have full power without restriction or limit as to amount to purchase or otherwise acquire, hold, own, mortgage, sell, convey or otherwise dispose of real and personal

Exhibit 71-1

property of every class and description in any of the States of the United States, or any foreign country, subject to the laws of such States or foreign countries.

General Powers

In general, the corporation shall have full power to carry on any other business in connection with the foregoing whether commercial, manufacturing, financial or otherwise, and to have and exercise all the powers conferred by the laws of Delaware upon corporations formed under the act hereinafter referred to and to do any or all of the things hereinbefore set forth to the same extent as natural persons might or could do.

The foregoing clauses shall be construed both as objects and powers and it is hereby expressly provided that the foregoing enumeration of specific powers shall not be held to limit or restrict in any manner the powers of this corporation.

Capital

FOURTH: The total authorized capital stock of this corporation is Two Million (2,000,000) shares of common stock, each share of the par value of Ten Dollars, amounting in the aggregate to Twenty Million (\$20,000,000) Dollars and being a total authorized capital stock of Twenty Million (\$20,000,000) Dollars.

FIFTH: The minimum amount of capital with which the corporation will commence business is One Thousand (\$1,000.00) Dollars.

SIXTH: The names and places of residence of each of the incorporators are as follows:

NAME	RESIDENCE
M. S. Cook	DOVER, DELAWARE
A. L. Raughley	DOVER, DELAWARE
J. M. Townsend	DOVER, DELAWARE

Exhibit 71-1

**Perpetual
existence**

SEVENTH: This corporation is to have perpetual existence.

**Fully paid
stock**

EIGHTH: The private property of the stockholders shall not be subject to the payment of corporate debts to any extent whatever.

NINTH: Subject to the provisions of the "General Corporation Law" the number of Directors of the corporation shall be determined as provided in the By-Laws.

**Board of
Directors
and
By-Laws**

TENTH: In furtherance, and not in limitation of the powers conferred by statute, the Board of Directors is expressly authorized:

To make and alter the By-Laws of this corporation, to fix the amount to be reserved as working capital over and above its capital stock paid in, to authorize and cause to be executed mortgages and liens upon the real and personal property of this corporation.

**Books of
Company**

From time to time to determine whether and to what extent, and at which times and places, and under what conditions and regulations, the accounts and books of this corporation, (other than the stock ledger), or any of them, shall be open to inspection of stockholders; and no stockholder shall have any right of inspecting any account, book or document of this corporation except as conferred by statute, unless authorized by a resolution of the stockholders or directors.

**Executive
Committee**

If the By-Laws so provide, to designate two or more of its number to constitute an executive committee, which committee shall for the time being, as provided in said resolution or in the By-laws of this corporation, have and exercise any or all of the powers of the Board of Directors in the management of the business and affairs

Exhibit 71

of this corporation, and have power to authorize the seal of this corporation to be affixed to all papers which may require it.

**Board's
Power to
sell, lease,
or exchange
property
and assets
of Corpora-
tion**

Pursuant to the affirmative vote of the holders of at least a majority of the stock issued and outstanding, having voting power, given at a stockholders' meeting duly called for that purpose, or when authorized by the written consent of at least a majority of the holders of the voting stock issued and outstanding, the Board of Directors shall have power and authority at any meeting to sell, lease or exchange all of the property and assets of this corporation, including its good will and its corporate franchises, upon such terms and conditions as its Board of Directors deem expedient and for the best interests of the corporation.

This corporation may in its By-Laws confer powers upon its directors in addition to the foregoing, and in addition to the powers and authorities expressly conferred upon them by the statute.

**Place of
meeting of
stockholders**

Both stockholders and directors shall have power, if the By-Laws so provide, to hold their meetings, and to have one or more offices within or without the State of Delaware, and to keep the books of this corporation (subject to the provisions of the statutes), outside of the State of Delaware at such places as may be from time to time designated by the Board of Directors.

**Amend-
ments**

ELEVENTH: This corporation reserves the right to amend, alter, change or repeal any provision contained in this Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation.

Exhibit 71-1

WE, THE UNDERSIGNED, being each of the incorporators hereinbefore named for the purpose of forming a corporation to do business both within and without the State of Delaware, and in pursuance of the General Corporation Law of the State of Delaware, being Chapter 65 of the Revised Code of Delaware, and the acts amendatory thereof and supplemental thereto, do make and file this Certificate, hereby declaring and certifying that the facts herein stated are true, and accordingly have hereunto set our hands and seals this the 16th day of July, A. D., 1929.

M. S. COOK (SEAL)

A. L. RAUGHLEY (SEAL)

J. M. TOWNSEND (SEAL)

In the presence of:

L. C. HUTCHINS

as to all.

STATE OF DELAWARE }
COUNTY OF KENT } ss:

BE IT REMEMBERED, that on this 16th day of July, A. D., 1929, personally came before me, C. H. Jarvis, a Notary Public in and for the County and State aforesaid, M. S. Cook, A. L. Raughley and J. M. Townsend, parties to the foregoing Certificate of Incorporation, personally known to me to be such and severally acknowledged the said Certificate to be the act and deed of the signers respectively, and that the facts therein stated are truly set forth.

GIVEN under my hand and seal of office the day and year aforesaid.

C. H. JARVIS
Notary Public.

Exhibit 71-1

BY-LAWS OF THE BANCO KENTUCKY COMPANY

Office **Section 1:** The principal office of the corporation outside of the State of Delaware shall be located in Louisville, Jefferson County, Kentucky, and all meetings of stockholders may be held at such office.

Annual Meeting **Sec. 2:** The annual meeting of the stockholders shall be held on the second Tuesday in January of each year, at which time there shall be chosen such number of persons as may be determined by the stockholders to be Directors of the corporation for the ensuing year. A notice of such meeting shall be mailed to each stockholder at his post office address appearing on the records of the corporation at least ten days prior to the date of the meeting.

Special Meetings **Sec. 3:** Special meetings of stockholders may be called at any time by the President, or it shall become the duty of the President to call special meetings upon the written request of stockholders owning at least fifty per cent of the capital stock of the corporation.

Quorum **Sec. 4:** At all stockholders' meetings, stockholders owning at least fifty-one per cent of the capital stock of the corporation, issued and outstanding, and present either in person or by proxy, shall be necessary to constitute a quorum.

Eligibility of Stockholders to Vote **Sec. 5:** At all meetings of stockholders, the right of any stockholder to vote shall be governed and determined by the transfer records, only such persons being entitled to vote who appear as stockholders upon the records of the corporation. Votes may be cast either in person or by proxy.

Exhibit 71-1

Vacancies

SEC. 6: In case of a vacancy or vacancies by death, resignation or otherwise, occurring in the Board of Directors between annual meetings, the remaining Director or Directors shall fill the vacancy or vacancies by choosing as many persons as may be necessary to fill the vacancies.

Resignations

SEC. 7: Any Director may resign his office at any time, and the resignation shall take effect from the time of its acceptance by the Board of Directors.

Election of Officers

SEC. 8: The Directors shall immediately after their election hold a meeting at which they shall choose from among their number a President and they shall also choose one or more Vice Presidents and a Secretary and Treasurer who may or may not be members of the Board and such other officers as may be necessary for the proper conduct of the business. The offices of Secretary and Treasurer may be filled by the same person and all of such officers shall hold their office at the pleasure of the Board of Directors.

Rules Regulations

SEC. 9: The Directors may adopt such rules and regulations for the conduct of their business and the management of the affairs of the corporation as they may deem proper, not inconsistent with the laws of Delaware or these by-laws.

Directors' Meetings

SEC. 10: The Directors may hold their meetings at the principal office of the corporation in Louisville, Kentucky. Notice of each meeting shall be given by mailing a notice thereof to each Director not less than three days before said meeting.

Exhibit 71-/

Duties of President

Sec. 11: The President shall preside at all meetings of the Directors and shall perform such other duties as he may be directed to perform by the Directors and he shall have general supervision of the business and affairs of the corporation.

Duties of Vice Pres.

Sec. 12: The Vice Presidents shall perform such duties and have such authority as the Board of Directors may determine.

Duties of Treasurer

Sec. 13: The Treasurer shall deposit the money and securities belonging to the corporation in such bank or banks as may be selected by the Board of Directors. The Treasurer shall also keep such books of account as the Directors may direct and a report of the financial condition of the corporation shall be made by the Treasurer to the President or Board of Directors whenever so requested and a report of like character shall be submitted by the Treasurer at each annual meeting. The officers shall be required to execute such bond as may be required by the Directors.

Duties of Secretary

Sec. 14: The Secretary shall record all the votes of the stockholders and Directors in a book to be kept for that purpose. He shall record all transfer of stock and cancel and preserve all certificates of stock transferred. He shall also keep a list of the stockholders of the corporation showing their places of residence and number of shares of stock held by them respectively from the time when they became the owners of such shares. He shall also keep the seal of the corporation and affix the same to all certificates of stock and such other instruments requiring the seal as may be directed by the Board of Directors. The Secretary shall also keep such other books and perform such other duties as may be assigned to him.

Exhibit 71-1

Payments how made	SEC. 15: Payments on behalf of the corporation shall only be made by check of the corporation signed by such officers or other persons as may be designated by the Board of Directors.
Certificates signed	SEC. 16: All certificates of stock shall be signed by the President or a Vice President and by the Secretary or an Assistant Secretary and be attested by the corporate seal.
Stock Transfers	SEC. 17: Certificates of stock may be transferred or pledged by an endorsement to the proper effect in writing on the back of the certificate and delivery of such certificate by the transferor to the transferee; provided, that until notice given of such transfer to the Secretary of the corporation and the surrender of the certificate of stock for cancellation, and the issue of a new certificate, the corporation may regard and treat the transferor as being still the owner of the stock.
Seal	SEC. 18: The seal of the corporation shall be circular in form with the words "THE BANCO-KENTUCKY COMPANY" on the circumference.
Fiscal Year	SEC. 19: The fiscal or business year of the Corporation shall begin on the first day of January and end on the thirty-first day of December of each year.
Dividends	SEC. 20: Dividends may be declared annually or more frequently if the Directors shall so direct, from the surplus or net profits of the corporation.
Agencies	SEC. 21: The corporation may establish such branch offices or agencies in any State of the United States or any foreign country as may be directed by the Board of Directors.

Exhibit 71-1

Amend- ments

Sec. 22: These by-laws may be amended at any directors' meeting by a vote of a majority of the whole Board of Directors. They may also be amended at any stockholders' meeting by a vote of stockholders owning not less than fifty-one per cent of the capital stock issued and outstanding.

Exhibit 72

The Banco Kentucky Company

Kentucky, Kentucky

March 19, 1930

The Chicago Stock Exchange,
120 South La Salle Street,
Chicago, Illinois.

Dear Sirs:-

Att'n:- Mr. Pedderone

This is to state that The Banco Kentucky Company
is a holding company for capital stock of banks and trust
companies, of which it owns a majority of stock or a controll-
ing interest.

We do not own any stocks outside of bank shares,
or bonds.

Very truly yours,

THE BANCO KENTUCKY COMPANY,

[Signature]
Secy. & Treas.

PLAINTIFF'S
EXHIBIT No. 72
OCT 19 1938

Exhibit 73

73
PLAINTIFFS
EXHIBIT No. 73
OCT 13 1938
OFFICE REPORTS

(8)

November 15, 1933.

Pl 24 (3)

Dana Kentucky Co.,
Louisville, Kentucky.

Attention: Mr. T. T. Zurchmiede,
Secretary.

Gentlemen:

✓
Enclosed find questionnaire and form of special agreement applicable to all investment "trust" companies and similar and related enterprises.

The Committee on Stock List requests that you submit your answers to said questionnaire, duly certified by two officers of the company, together with an executed copy of said special agreement, as early as practicable, in accordance with the understanding had with you at the time of listing of your securities.

Very truly yours,

127:RS
Inc.

Chief Examiner

Exhibit 73

QUESTIONNAIRE OF THE CHICAGO STOCK EXCHANGE

IS BE

THE BANCOKENTUCKY COMPANY

B 1 ORGANIZATION:

- (a) Name: The Bancokentucky Company, incorporated under the Delaware Laws - offices at Louisville, Kentucky.
- (b) Primary purpose: To acquire control and operate Banks and Trust Companies.
- (c) Nature of business: This company has not engaged in the business of investing and re-investing in a diversified list of securities of other corporations for revenue and profit, but has limited its activities to acquiring control of Banks and Trust Companies and the operation of same.
- (d) By whom organized: This company was organized by Mr. James B. Brown, President of the National Bank of Kentucky, Louisville, Ky. There was no compensation paid to Mr. Brown or any other person or persons for the organization of this company or for the promotion of same.

B 2 FINANCING:

- (a) Capital: All stock is common stock. All stock sold for cash was on the basis of \$25.00 per share. All stock issued in exchange for Bank and/or Trust Company shares was on the basis of \$25.00 per share.
- (b) Price: The price paid by investors and the net proceeds are one and the same. No commission has been paid for the sale of stock or for any exchange of this company's stock for bank shares.
- (c) Ratio: Only one class of stock.
- (d) Loans: No
- (e) Company Stock: Extract from articles of incorporation: "The corporation shall have full power to purchase, hold, sell and transfer the shares of its own capital stock; provided it shall not use its funds or property for the purchase of its own shares of capital stock when such use would cause any impairment of its capital; and provided, further, that shares of its own capital stock belonging to it shall not be voted upon directly or indirectly".

B 3 MANAGEMENT AND CONTROL:

- (a) Officers and Directors: The officers of the company are as follows:
MR. JAMES B. BROWN, PRESIDENT
MR. CHARLES F. JONES, VICE-PRESIDENT
MR. T. T. ZUR SCHIEDEN, SECRETARY-TREASURER
- (b) Outside Management: None
- (c) Compensation: No compensation paid to any one to date and none contemplated.

(d) OFFICERS AND DIRECTORS INVESTMENT:

DIRECTORS - THE BANCOKENTUCKY COMPANY:

NAME:	SHARES:
WILLIAM BLACK	300
DR. OSCAR E. BLOCH	800
CHARLES N. BOHNER	1,000
GEORGE C. BOOMER	4,800
JAMES B. BROWN	39,000

Exhibit 73

R. LEE CALLAHAN	200
ARTHUR J. GARNETT	1,000
GEORGE E. CHASE	11,000
BRADLEY W. STONE	800
ALLEN P. DODD	800
STUART E. LAWRENCE	10,000
JOSEPH E. LUTHERAN	800
C. OSCAR SWING	1,800
JAMES GARNETT	400
WALTER M. GIRDLER	8,000
T. NEWBURY WILKIN	800
BAYLOR O. HICKMAN	3,798
C. C. HENRY	200
SAUNDERS P. JONES	120
WILSON P. KELLEY	100
WALTER L. KENN	2,220
CHARLES C. NEWELL	8,800
J. W. MILLER	1,800
THOMAS J. HENRY	8,000
DAVID C. HORTON	1,800
EDWARD J. O'BRIEN, JR.	3,810
NEW ROBERTSON	1,800
ARTHUR M. HETTINGER	480
WILLIAM S. SPEED	18,000
SAH H. STONE	3,000
B. LINDA TAYLOR	1,880
ROBERT A. TAYLOR	800
ROBERT F. WAGMAN	800
HENRY VOST	16,800

OFFICERS - THE BANCOKENTUCKY COMPANY

JAMES B. BROWN, PRESIDENT	30,000
CHARLES P. JONES, VICE-PRES.	10,000
W. T. NEW SCHEIDT, SECT. TREAS.	2,000

(e) Affiliations:

This company is not affiliated with or controlled by any other Bank, Trust Company, etc.

(f) Future issues of stock:

Yes

B 4 POLICIES AND INSTRUCTIONS:

(a) Limitations:

No limitations.

(b)

The Charter states the investment powers of the company. These were partially set out in the prospectus, which, in addition to outlining some of possible operations of the Company, also stated the company was given broad charter powers and invited any one interested to examine the charter copies of which were deposited with either the National Bank of Kentucky or The Louisville Trust Company. Copy of the charter and prospectus are attached hereto.

(c)

AMENDMENTS - Extract from Articles of Incorporation: "This corporation reserves the right to amend, alter, change or repeal any provision contained in this Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation."

(d)

Yes, it is not restricted.

(e)

Yes

(f)

This question is hardly applicable to this company since its holdings comprise the majority of the outstanding capital stock of banks.

(g)

No

B 5 BASIS:

(a) Appreciation:

No

(b) Reserve:

This policy has not been determined as yet.

Exhibit 73

(a) Dividends:

See answer to (b).

B 6 REPORTS:

(a) Analysis:

See B 6 (b)

(b) (c) (d):

No reports have been made

(e) Audit:

The books of this company will be audited by an independent firm of accountants.

*Respectfully submitted
The Board of Directors
by W. J. [Signature]
Secretary*

*Rec'd
1940*

Exhibit 73

STATEMENT NATIONAL BANK OF KENTUCKY, JUNE 29, 1929

RESOURCES		LIABILITIES	
LOANS	\$ 34,665,226.29	CAPITAL	\$ 4,000,000.00
U.S.GOV'T.BONDS	9,336,200.00	SURPLUS	3,111,171.73
OTHER BONDS AND STOCKS	3,932,360.86	CIRCULATION	2,500,000.00
REAL ESTATE	35,000.00	DUE BANKS FOR MONEY BORROWED	12,642,493.41
CASH	11,119,169.04	DEPOSITS	36,814,290.75
TOTAL	59,067,955.89	TOTAL	59,067,955.89

State of Kentucky

County of Jefferson

I C.F. Jones Cashier of the National Bank of Kentucky, Louisville, Ky.,
do solemnly swear that the above statement is true to the best of my knowledge
and belief.

C.F. Jones
Cashier.

Subscribed and sworn to before me by C.F. Jones this 26th. day of September,
1929.

Seal

Jas. P. Reynolds Jr.
Notary Public
Notary Public Jefferson County, Ky.
My Commission expires Mar. 12, 1930

STATEMENT OF THE LOUISVILLE TRUST COMPANY, JUNE 29, 1929

LOANS	11,408,908.07	CAPITAL	1,750,000.00
REAL ESTATE MORTGAGES	5,128,959.46	SURPLUS	1,659,262.86
U.S.GOV'T.BONDS	1,608,065.99	REAL ESTATE MORTGAGE	
OTHER BONDS AND STOCKS	4,203,026.51	BONDS OUTSTANDING	3,344,900.00
BANK BUILDINGS, etc.	1,331,189.84	DUE BANKS FOR MONEY BORROWED	2,336,781.70
OTHER REAL ESTATE	66,933.69	DEPOSITS	17,004,672.32
CASH	2,253,523.31		
TOTAL	25,995,616.87	TOTAL	25,995,616.87

State of Kentucky

County of Jefferson

I Ben J. Metcalfe Vice-President of the Louisville Trust Co., Louisville, Ky.,
do solemnly swear that the above statement is true to the best of my knowledge
and belief.

Ben J. Metcalfe
Vice-President

Subscribed and sworn to before me by Ben J. Metcalfe this 26th. day of September,
1929

SEAL

Jas. P. Reynolds Jr.
Notary Public
Notary Public Jefferson County, Ky.
My Commission expires Mar. 12, 1930

Pl. Ex. 5
page 4

Exhibit 74

THE CHICAGO STOCK EXCHANGE APPLICATION TO LIST STOCKS

1

Pl 241-1

- ON A "THEN, AS AND IF" ISSUED BASIS
THE BANCOKENTUCKY COMPANY.
1. Name of company.....
 2. Date of incorporation..... July 16, 1929
 3. Under what laws incorporated..... Delaware
 4. Nature of business..... To acquire and hold controlling interests in banks with other broad powers.

PLAINTIFFS
EXHIBIT No. 74

COT 18138

Paul E. Carpenter
OFFICIAL REPORTER

5. Duration of charter, franchises, etc..... Perpetual
6. Capital stock:

	Class I	Class II	Class III
(a) Brief designation of each issue.....	Common		
(b) Number of shares authorized.....	2,000,000		
(c) Number of shares issued.....	1,130,000		
(d) Number of shares to be listed under this application.....	2,000,000		
(e) Par value of shares.....	\$10		
(f) Amount paid in on each share:			
I. In cash.....	1,130,000		
II. In property.....	shared to a issued for stock of the following banks: National Bank of Kentucky, 200,000 shares to be issued and held in treasury under and 400,000 shares to be issued for cash. 100,000 shares to be held for treasury with other banks		
III. In services or otherwise.....			
(g) Whether fully or partially paid.....	fully paid when issued.		
(h) Whether stock is assessable.....	Non-assessable.		
(i) Whether personal liability attaches to ownership.....	No.		
(j) Voting power.....	Fully voting		
(k) Rate of dividend and months payable.....	Dividend policy not yet determined		
(l) Preference as to dividends.....	Only one class of stock outstanding		
(m) Whether cumulative or non-cumulative.....	-----		
(n) Preference or other rights upon distribution of assets.....	-----		
(o) Number of shares unissued, for what purpose reserved, and options or contracts on shares (convertibility).....			

Sheet 1, Stocks

Exhibit 74

7 Funded indebtedness

	Class I	Class II	Class III
(a) Brief designation of each issue			
(b) Date of issue	Application has		
(c) Interest rate	no funded debt		
(d) Amount authorized			
(e) Amount issued			
(f) Date of maturity and where payable			
(g) Convertibility			

8 Indebtedness or liabilities not reflected in balance sheet, such as leases, guarantees, contingent liabilities, etc.:

None

9. Fiscal year Calendar year

10. Place and date of annual meeting Second Tuesday in each year at Louisville Ky

11. Location of (a) principal office Louisville, Ky.

(b) executive offices Louisville, Ky.

12. Names and residences of officers:

NAME	ADDRESS	CITY
President James B. Brown	5th. & Main Sts.	Louisville, Ky.
Vice-President John Stites	421 W Market St.	Louisville, Ky.
Vice-President Richard Bean	421 W. Market St.,	Louisville, Ky.
Vice-President		
Secretary W.T. Zurschmiede	5th. & Main Sts.	Louisville, Ky.
Treasurer W.T. Zurschmiede.		

Sheet 2 - Backs

Exhibit 74

*

OFFICERS

James B. Brown
John Stites
Richard Bean
W. T. Zurschmiede

President
Vice President
Vice President
Secretary and Treasurer

DIRECTORS

John S. Akers
Henry J. Angermeier
Richard Bean
William Black
Dr. Oscar E. Bloch
Charles H. Bohmer
George O. Boomer
R. R. Boswell
James B. Brown
R. Lee Callahan
Anthony J. Carroll
George M. Clark
Samuel W. Coons
Allen P. Dodd
Nicholas H. Dosker
Stuart E. Duncan
Joseph H. Durham
C. Oscar Ewing
James Garnett
Walter H. Girdler
Angereau Gray
James J. Hayes
T. Kennedy Helm
Baylor O. Hickman
C. C. Hieatt

Saunders P. Jones
Kilburn P. Kelley
Walter I. Kohn
Ernest Leonard
S. Clay Lyons
Charles C. Mengel
Ben J. Metcalfe
J. W. Miller
Thomas J. Minery
David C. Morton
Edward J. O'Brien, Jr.
Henry D. Ormsby
John S. Pirtle
Ben Robertson
Arthur K. Rutledge
William Short
William S. Speed
John Stites
Sam H. Stone
E. Leland Taylor
Horace A. Taylor
Robert F. Vaughan
Henry Vogt
E. Weinstock

2641-K

None

NAME _____

RESIDENCE.

TERM EXPIRES

See List in The Banco Kentucky
Books appended

Louisville, Ky.

January each year

14. Name and address of Transfer Agents: The Louisville Trust Co., Louisville, Ky.

Marrie Trust & Savings Bank, Chicago, Ills.

15. Name and address of Registrars.

National Bank of Kentucky, Louisville, Ky.

Continental Illinois Bank & Trust Co., Chicago, Ill.

16. Location and brief description of property and equipment

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Exhibit 74

17. History of company:—

18. Constituent companies—Name, description and how each is controlled:

National Bank of Kentucky, Louisville, Ky.

Louisville, Trust Co., Louisville, Ky.

Memo: The Bancokentucky Company owns the stock of the National Bank of Kentucky -
Louisville Trust Company.

19. Supplementary information not included above:—

20. Depreciation policy:—

Banks depreciate in accordance with Banking Department Regulations

AGREEMENTS

The above named Company does hereby agree:

- (1) Not to dispose of an integral asset, nor its stock interest in any constituent, subsidiary, owned or controlled company, except in the regular course of business, or allow any of said constituent, subsidiary, owned or controlled companies to dispose of an integral asset or stock interest in other companies, except in the regular course of business, without notice to The Chicago Stock Exchange.
 - (2) To publish once in each year and submit to the stockholders and The Chicago Stock Exchange, a statement of its financial condition, a consolidated income account covering the previous fiscal year, a consolidated balance sheet showing assets and liabilities at the end of the year, or an income account and balance sheet of the parent company and of all constituent, subsidiary, owned or controlled companies.
 - (3) To maintain, in the City of Chicago, within the vicinity of the Exchange a transfer office or agency (which may be the Company), where all listed securities shall be directly transferable.
 - (4) Also to maintain, in the City of Chicago, within the vicinity of the Exchange a registry office, not identical with the transfer office, where all listed securities shall be registered and which shall be a responsible bank or trust company satisfactory to the Committee on Stock List.
 - (5) In case it makes transfers of its shares in other cities as well as in Chicago, all certificates of stock shall be interchangeably transferable and bear a legend reciting the right of transfer in Chicago and other cities. All such certificates shall be identical in color and form, except as to names of transfer agent and registrar, and the combined amounts of stocks registered in all such cities shall at no time exceed the amount authorized to be listed.
 - (6) To give The Chicago Stock Exchange at least fifteen (15) days' notice of any proposed increase in the authorized or outstanding capital stock of the corporation and forthwith to apply for the listing thereof, if the original issue of the same class of stock has previously been listed.
 - (7) Not to make any change without the approval of the Committee on Stock List:
 - (a) In listed securities,
 - (b) Of a transfer agency,
 - (c) Of a registrar of its stock.
 - (8) To notify The Chicago Stock Exchange in the event of the issuance or creation in any form or manner of any rights to subscribe to or to be allotted its securities, or of any other rights or benefits pertaining to ownership in its securities, so as to afford the holders of its securities a proper period within which to record their interests and so that all rights to subscribe or to receive allotments and all other such rights and benefits shall be transferable, and shall be transferable and deliverable in the City of Chicago.
 - (9) To publish promptly to holders of stocks any action in respect to dividends on shares, or allotment of rights for subscription to securities, notice thereof to be sent to the Stock Exchange, and to give The Chicago Stock Exchange at least ten (10) days' notice in advance of the closing of the transfer books or extensions, or of the time of the taking of a record of holders for any purpose.
 - (10) To furnish to The Chicago Stock Exchange, at any time, on demand, such reasonable information concerning the company, or its condition, as may be required.
 - (11) To have on hand at all times a sufficient supply of certificates to meet the demands for transfer.
- The above named Company hereby applies to have the stocks mentioned above listed on The Chicago Stock Exchange, and the undersigned hereby certify that the answers given by them above and in the papers hereto annexed or referred to, are true to the best of their knowledge and belief.

Company's Seal

James B. Brown
President.

This space reserved for Committee on Stock List

APPROVED	
<i>[Signature]</i>	Chairman
<i>[Signature]</i>	Member
<i>[Signature]</i>	Member
<i>[Signature]</i>	Member

NOTED ON STOCK LIST

STATE OF L

COUNTY OF ...

On the 26 day of Sept

W. G. Gusschmidt

James B. Brown

and severally made oath that they are respectively, President and Secretary of the above named Company, and that the foregoing statement by them subscribed is true.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal, the day and year first above written.

Geo. P. Reynolds Jr.
Notary Public

17 -

HISTORY OF THE COMPANY

The BancorKentucky Company was incorporated in Delaware in July 1929, with an authorized capital of 2,000,000 shares of \$ 10.00 par value stock, for the purpose of owning controlling interest in state and national banks located primarily in Kentucky, Ohio and Indiana. Broad powers are conferred by charter.

July 1929, the stockholders of the National Bank of Kentucky-Louisville Trust Company were offered the opportunity of exchanging one share of \$ 10.00 par value National Bank of Kentucky-Louisville Trust Company stock for two shares of The BancorKentucky Company \$10.00 par value stock. They were also offered the opportunity of subscribing for The BancorKentucky Company shares at \$ 25.00 per share. As a result nearly 100% of the National Bank of Kentucky-Louisville Trust Company shares were deposited which require 1,150,000 shares of The BancorKentucky Company shares in exchange. In addition the stockholders also subscribed for cash, 480,000 shares of The BancorKentucky Company which provide The Corporation with \$ 12,000,000.00 in cash. The assets of the Corporation on its inception, therefore, consists of the stock of the National Bank of Kentucky-Louisville Trust Company and \$ 12,000,000.00 in cash.

It is the intent of the management to employ its cash for acquiring additional banks. To this end offers have been made for acquiring controlling interest in the Brighton Bank & Trust Company and the Pearl Market Bank & Trust Company, both of Cincinnati. These offers have been approved by the Boards of Directors of the banks, whose combined resources exceed \$ 30,000,000.00

The National Bank of Kentucky was organized in 1834. In 1927 an exchange of stock was effected with the Louisville Trust Company and later, with the Louisville National Bank in 1929. As a result, the Certificates of the National Bank of Kentucky-Louisville Trust Company which were exchanged for The BancorKentucky stock represented the ownership of the combined banks whose total footings exceed \$ 85,000,000.00

EXHIBIT "D"

CERTIFIED COPY OF RESOLUTION

STATE OF Kentucky
COUNTY OF Jefferson

P1241-0

The Hancock Kentucky Company

The undersigned certifies that he is the Secretary of
The Hancock Kentucky Company, a corporation organized and existing under the laws of
Kentucky; that he has the custody and control of the corporate seal
and the corporate records, including the minutes of meetings, of said corporation; that at a meeting of
the Board of Directors of said corporation, duly held on the 27 day of September,
1929, at which a quorum was present, the following resolution
was duly adopted:

"RESOLVED, That application be made to The Chicago Stock Exchange
for the listing of 100,000 shares of common stock of
the Hancock Kentucky Company
of this corporation and that
he and he hereby is designated by the corporation to appear before the Com-
mittee on Stock List of said Exchange with authority to furnish such infor-
mation and to make such changes in said application, or in any agreements
relative thereto, as may be necessary to conform with the requirements for
listing."

IN WITNESS WHEREOF, The undersigned has hereunto set his name as Secretary of
The Hancock Kentucky Company and affixed the corporate seal
this 27 day of September, 1929

[Signature]
Secretary

{Corporate Seal}

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7

EXHIBIT "B"

The Banco Kentucky Company

CERTIFIED SCHEDULE OF DISTRIBUTION

of _____ Stock on the 27th Day of Sept, 1929

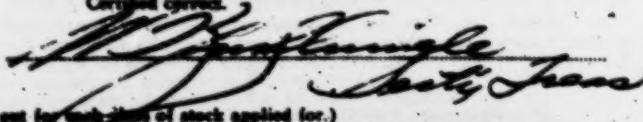
Number of Shareholders	Shares
Holders of 1- 25 share lots	
Holders of 26- 50 share lots	
Holders of 51- 100 share lots	
Holders of 101- 200 share lots	
Holders of 201- 300 share lots	
Holders of 301- 400 share lots	
Holders of 401- 500 share lots	
Holders of 501-1000 share lots	
Holders of *1001 up share lots	

PL 64-1-P

STOCKHOLDERS

*The ten highest holders on the above date were as follows:

1. <u>22,000</u> Shares	6. <u>8,400</u> Shares
2. <u>7,760</u> Shares	7. <u>8,000</u> Shares
3. <u>7,000</u> Shares	8. <u>8,000</u> Shares
4. <u>6,978</u> Shares	9. <u>8,000</u> Shares
5. <u>6,897</u> Shares	10. <u>6,780</u> Shares

All stock is free for sale and is held under no syndicate, agreement or control.
Certified correct.


(To be made out for each lot of stock applied for.)

The above ten highest amounts represent the largest holders of participation shares of The National Bank of Kentucky-Louisville Trust Company for which they will receive two shares of The Banco Kentucky Company for each one owned. It is impossible at this date to make this schedule on the basis desired because subscriptions have been made to the new stock by the present shareholders and until the books reveal all of the issue both on account of stock deposited and stock subscribed to, the 875,000 shares of the National Bank of Kentucky-Louisville Trust Company are owned by 2400 stockholders. Schedule J. will be filed completely as soon as all of the stock is issued.

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#

Exhibit 74

1-1-10

CONTINENTAL ILLINOIS BANK AND TRUST COMPANY
CHICAGO, ILLINOIS

CO-TRANSFER AGENTS AND REGISTRARS

CERTIFIED COPY OF RESOLUTIONS of the BOARD OF DIRECTORS of

The Banc-Kentucky Company

WHEREAS, This Company is a corporation organized and existing under and by virtue of the laws of the State of Delaware and has an authorized capital stock consisting of Twenty Million Dollars of Common stock, of \$10 per value a share

and WHEREAS, This Company desires to appoint Transfer Agents and Registrars for the issuance, transfer and registration of certificates of its capital stock and to define their duties:

NOW, THEREFORE BE IT RESOLVED:

FIRST: That the following Banks, Trust Companies or Corporations be, and they hereby are, appointed Transfer Agents and Registrars of certificates representing the shares of the stock of this Corporation, as hereinafter set forth, in the following cities, viz:

CHICAGO, ILLINOIS

TRANSFER AGENT

REGISTRAR

Watts Trust and Savings Bank

Continental Illinois Bank & Trust Co.

TRANSFER AGENT

LOUISVILLE, KENTUCKY

REGISTRAR

The Louisville Trust Company

The National Bank of Kentucky

TRANSFER AGENT

REGISTRAR

SECOND: That this Board of Directors hereby certifies to said Transfer Agents and Registrars that this Corporation's authorized stock, its stock outstanding and stock about to be issued, are as follows:

CLASS	PAR VALUE	SHARES AUTHORIZED	SHARES OUTSTANDING	SHARES ABOUT TO BE ISSUED
COMMON	\$10	2,000,000	1,100,000	1,000,000

and that all of such shares now outstanding or about to be issued have been duly authorized to be issued, and are, or when issued will be, fully paid and non-assessable in the hands of the respective holders thereof.

THIRD: (1) That, for the purpose of the original issue of certificates (either in temporary or in permanent form) representing shares not heretofore outstanding, the Transfer Agents are hereby directed (a) to cause and countersign as Transfer Agent certificates for not exceeding:

CLASS	SHARES
COMMON	Two Million (2,000,000)

when signed by the President or a Vice President, and the Secretary or an Assistant Secretary of this Corporation, issued in such names and for such number of shares as the Transfer Agents, or any one of them, may be directed in written orders signed in the name of this Corporation by its President or a Vice President and attested by its Secretary or an Assistant Secretary under its corporate seal, (b) to deliver the certificates when so recorded and countersigned to the Registrar of this Corporation in the same city as the Transfer Agent so countersigning or any successor Registrar appointed by this Corporation, for registration and countersignature, and (c) to deliver such certificates as directed in such written orders.

* These resolutions should correspond to the provisions of the charter or certificate of incorporation and by-laws.

Exhibit 74.

(2) That, for the purpose of the original issue of certificates representing shares not heretofore outstanding, the Registrars, or any one of them, are hereby directed (a) to register and countersign as Registrar certificates for not exceeding:

CLASS

SHARES

Common

Two Million (2,000,000)

when signed by the President or a Vice President, and the Treasurer or an Assistant Secretary or an Assistant Secretary of this Corporation, when countersigned and delivered to it for that purpose by the Transfer Agent of this Corporation in the same City as the Registrar so registering such certificates, or any successor Transfer Agent appointed by this Corporation; and (b) to redeliver the certificates, so registered, to such Transfer Agent;

Provided, However, That the number of shares of stock of each class to be issued and registered originally by each Transfer Agent and Registrar is hereby limited as follows:

In Chicago

In Louisville

In

FOURTH: (1) That each of said Transfer Agents be, and it hereby is, authorized and directed from time to time, when surrendered to it for such purpose properly endorsed or accompanied by instruments of assignment and duly stamped as may be required by law, and signed by the President or a Vice President, and the Treasurer or an Assistant Secretary or an Assistant Secretary of this Corporation, to make transfers on the books of this Corporation of

(a) the certificates, if any, issued pursuant to the foregoing paragraph "THIRD" of these resolutions,

~~and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding,~~

~~including certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding,~~

(b) certificates then issued in transfer or substitution of other certificates for a like number of shares of the same class of stock by any of said Transfer Agents and countersigned by any of said Registrars, or any successor or additional Transfer Agent or Registrar appointed by the Corporation; and to record and to countersign new certificates accordingly when they shall have been signed by said officers of this Corporation and to deliver the new certificates to the Registrar of this Corporation in the same city for registration and countersignature,

making the total authorization to date of said Transfer Agents, including the additional issue mentioned in paragraph "THIRD" hereof and all previous authorizations, cover:

CLASS

SHARES

Common

Two Million (2,000,000)

(2) That each of said Registrars be, and it hereby is, authorized and directed, from time to time, upon the cancellation of certificates for a like number of shares of the same class signed by the President or a Vice President, and the Treasurer or an Assistant Treasurer or Secretary or an Assistant Secretary of this Corporation, to register transfers of

(a) the certificates, if any, registered pursuant to the foregoing paragraph "THIRD" of these resolutions,

~~and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding,~~

~~including certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding, and the certificates of stock of this Corporation, if any, heretofore issued and now outstanding,~~

(b) certificates theretofore registered and delivered upon transfers by any of said Registrars, or any successor or additional Registrar appointed by this Corporation, and countersigned by any of said Transfer Agents, or any successor or additional Transfer Agent appointed by this Corporation; and to register and to countersign new certificates accordingly when they shall have been signed by said officers of this Corporation and countersigned and delivered to them for such purpose by the Transfer Agent in the same city, provided, however, that no Registrar shall be under any duty whatever in connection with the names in which certificates are issued or the correctness of any transfer from one name to another;

making the total authorization, to date, of said Registrars, including the additional issue mentioned in paragraph "THIRD" hereof and all previous authorizations, cover:

CLASS

SHARES

Common

Two Million (2,000,000)

* Paragraph (b) to be voided if no stock has been previously issued.

Exhibit 74

(3) That each Transfer Agent and Registrar shall be fully protected in conclusively relying upon any certificate as to the number of shares, if any, now outstanding, or other information or data concerning the certificates of stock representing such shares furnished to it by any prior Transfer Agent and Registrar respectively or by an officer of this Corporation.

(4) The certificates of stock may be delivered at the option of the Transfer Agents and Registrars, either by the Registrar to the transferee or his agent, or returned to the Transfer Agent for delivery to the transferee or his agent.

FIFTH: That said Transfer Agents may establish such rules and regulations governing the issuance and transfer of the certificates of stock as may seem advisable to them, and as may not be inconsistent with the provisions of these resolutions and may open and keep such stock or transfer book or books as may be required by law or for their own convenience in the performance of said agency. It is the intention and purpose of these resolutions that said The National Bank of Kentucky shall act as the principal Transfer Agent of this Corporation, and maintain consolidated stockholders ledgers, which will be a record of all of the certificates issued and cancelled by itself and the Co-Transfer Agent respectively; and it will be expected to furnish the stockholders data for annual meetings, dividends or other purposes upon a written request of the President or Vice President, Secretary or Assistant Secretary of the Company.

SIXTH: It is the intention and purpose of these resolutions that certificates of the stock of this Corporation shall be interchangeably transferable in the cities of Chicago, Illinois, p26-1-8

and Louisville, Kentucky. The fact of the recording, registration and countersignature of new certificates, whether by way of an original issue or upon a transfer, shall be advised daily by mail by the Transfer Agent countersigning them to the other Transfer Agents and by the Registrar registering and countersigning them to the other Registrars, and each Transfer Agent and Registrar shall be fully protected and held harmless by this Corporation in relying on any such advice, and in its failure or refusal to transfer or register respectively any certificates countersigned by another Transfer Agent or Registrar when it shall not have received such notice of the issue or registry and countersignature of such certificates.

SEVENTH: That specimens or facsimiles of the signatures of the officers of this Corporation not authorized to sign stock certificates as aforesaid, or heretofore authorized to sign stock certificates which are outstanding, be lodged forthwith with each of the Transfer Agents and Registrars to be used by them for the purpose of comparison with signatures appearing on the certificates of stock of this Corporation presented to them or any of them for issue, transfer or registration respectively. When any officer of this Corporation shall no longer be vested with authority to sign for the Corporation, written notice thereof shall immediately be given to each of the Transfer Agents and Registrars and until receipt of such notice the Transfer Agents and Registrars shall be fully protected and held harmless in recognizing and acting upon certificates bearing the signature of such officer or signatures believed by them or any of them in good faith to be such genuine signature.

EIGHTH: That from time to time additional officers may be appointed by resolutions of the Board of Directors of this Corporation not inconsistent with its By-Laws to sign certificates of stock on behalf of this Corporation, and in every such case certified copies of the resolutions of this Board effecting such appointment, and specimen signatures of such officers, shall forthwith be lodged with each of the Transfer Agents and Registrars.

NINTH: That the authority of the said Transfer Agents and the Registrars respectively shall also extend to the authentication by such Transfer Agents and Registrars of any certificate or certificates of stock which may be issued by the authority of this Corporation, evidenced by a certified copy of a resolution of the Board of Directors of this Corporation, in lieu of a lost or destroyed certificate or certificates of stock. A satisfactory indemnity bond shall be required in such amount as the Directors may designate or as may be provided by the By-Laws of this Corporation, and in any event for not less than the value of such certificate, wherein this Corporation, the Transfer Agents and the Registrars shall be named as the obligees. The bond shall be in a form and amount and with surety or sureties satisfactory to this Corporation, the Transfer Agents and the Registrars.

TENTH: That when any Transfer Agent or Registrar deems it expedient, it may apply to any officer of this Corporation or to counsel for this Corporation, or to its own counsel, for instructions and advice and for any action taken in accordance with such instructions or advice this Corporation will fully indemnify, protect and hold harmless such Transfer Agent and such Registrar from any and all liability. None of the Transfer Agents and Registrars shall be in any manner liable for any act or omission of any other Transfer Agent and Registrar.

ELEVENTH: That each of the Transfer Agents and Registrars shall be entitled to the usual and customary compensation for all services rendered in the execution of its duties, and to reimbursement for all reasonable expenses incurred in the performance of such duties, including fees of its counsel for advice rendered in connection with such agency, and the proper officers of this Corporation are hereby authorized to pay all bills therefor when rendered.

TWELFTH: That the Transfer Agents and Registrars may employ agents or attorneys in fact, and shall not be answerable for the default or misconduct of any agent or attorney appointed by them in pursuance hereof, if such agent or attorney shall have been selected with reasonable care; nor shall any Transfer Agent or Registrar be liable for anything whatever in connection with this agency, except its willful misconduct. This Corporation shall indemnify and hold harmless each Transfer Agent and Registrar for any act done by it in good faith in reliance upon any instrument, order or stock certificate believed by it to be genuine and to be signed, countersigned or executed by any person or persons authorized to sign, counter-sign or execute the same.

THIRTEENTH: That the authority of the Transfer Agents and Registrars, and each of them as such Transfer Agent and Registrar, shall also extend to the issue and registration of such additional shares of stock as may be authorized by this Corporation within the limits of its charter or certificates of incorporation or any amendments thereto.

FOURTEENTH: That the President or Vice President and the Secretary or an Assistant Secretary of this Corporation be, and they hereby are, directed to certify copies of these resolutions under the seal of this Corporation and to lodge a certified copy with each Transfer Agent and Registrar, together with:

(1) specimens of certificates of each class of stock covered by these resolutions in the form adopted by this Corporation; (2) specimen signatures of all of the officers of this Corporation duly authorized to sign or countersign any stock certificates hereafter issued; (3) specimens of facsimiles of the signatures of all of the officers of this Corporation, heretofore authorized to sign or whose signatures appear upon stock certificates now outstanding, if any; (4) a certified copy of the Charter or Certificate of Incorporation of this Corporation, including all of the amendments thereto; (5) a certified copy of the By-Laws of this Corporation, as at present in force, and to furnish each Transfer Agent and Registrar certified copies of any amendments that may, from time to time be made to the Charter or Certificate of Incorporation or By-Laws, and (6) an opinion of Counsel for this Corporation as to (a) the validity of its organization and (b) its authority to issue the shares of stock herein authorized to be transferred and registered.

We the undersigned, _____ Vice President,
and _____ Secretary of
The Banco Kentucky Company do hereby certify that said Corporation is duly
(Name of Corporation)
organized and existing under the laws of the State of Delaware; that at a meeting of
the Board of Directors of said Corporation duly held and convened according to the By-Laws of said
Corporation on the _____ day of _____, 1929, a
quorum being present and voting thereon, the foregoing resolutions were unanimously adopted, and
that the foregoing is a true, full and correct copy of such resolutions as they appear on the records of
said Corporation.

We further certify that the signatures of the officers referred to in said resolutions and authorized thereby to sign certificates of stock for and in behalf of said Corporation are as follows:

President James B. Brown will sign

Vice President John Stites will sign

Vice President Richard Bean will sign

Secretary T. T. Zurschmiede will sign

Treasurer _____ will sign

Asst. Secretary _____ will sign

Asst. Treasurer _____ will sign

and that said officers have been duly elected and qualified and are now serving in their respective official capacities.

We further certify that the seal impressed upon this certificate is the official or corporate seal of this Corporation.

We further certify that nothing set out in these resolutions and authorized thereby is contrary to the terms of the Charter or By-Laws of this Corporation or any amendments thereto.

Hereto attached are the following documents initialed by the undersigned, to wit:

(1) Copy of the Charter or Certificate of Incorporation or Re-Organization of the Corporation and all amendments thereto, certified to by the Secretary of State, marked "Exhibit A."

(2) Copy of the By-laws and Regulations of the Corporation, together with all amendments thereto, marked "Exhibit B."

(3) Specimen certificates of stock of the Corporation in the forms duly adopted by it, marked "Exhibit C."

(4) An opinion of _____ counsel for this Corporation as to (a) the validity of this Corporation's organization and (b) its authority to issue the shares of stock called for by the foregoing resolutions, marked "Exhibit D."

The office and place of business of said Corporation is located at _____

IN WITNESS WHEREOF, we have hereunto signed our names in our official capacities and affixed the seal of the Corporation this _____ day of September, 1929.

President

(CORPORATE SEAL)

Secretary

EXHIBIT "J"

September 27, 1929

KNOW ALL MEN BY THESE PRESENTS, That for and in consideration of the acceptance by The Chicago Stock Exchange of the undersigned as a satisfactory registrar of the capital stock of The BancoKentucky Company

the undersigned by its board hereby agrees that it will not register, except as hereinafter provided, the stock of said company to a greater amount than two million shares

which amount is now already registered, and that it will not hereafter register any additional amount of said stock until it shall have first been notified by said Exchange that such additional stock has been added to the list of said Exchange.

The National Bank of Kentucky

[Signature]
by _____

National Bank of Kentucky

To _____
Pursuant to a resolution or vote of the Board of Directors of _____

The BancoKentucky Company the said company hereby authorizes you as its registrar for the common stock

to sign a contract of the tenor of the foregoing draft as submitted by The Chicago Stock Exchange.

The BancoKentucky Company

[Signature]
by _____
in _____

September 27, 1929

Dated _____, 19____

State of Kentucky

EXHIBIT "J"

PRESENT

Chicago, September 27, 1929, 19.....

KNOW ALL MEN BY THESE PRESENTS, That for and in consideration of the acceptance by
The Chicago Stock Exchange of the undersigned as a satisfactory registrar of the capital stock of
The Danco-Kentucky Company

the undersigned by its.....herby agrees that it will not register,
except as hereinafter provided, the stock of said Company to a greater amount than.....

which amount is not already registered, and that it will not hereafter register any additional amount
of said stock until it shall have first been notified by said Exchange that such additional stock has been
added to the list of said Exchange.

CONTINENTAL ILLINOIS BANK AND TRUST COMPANY

By [Signature]
in Assistant Secretary

To Continental Illinois Bank and Trust Company

Pursuant to a resolution or vote of the Board of Directors of.....
The Danco-Kentucky Company.....the said Company hereby authorizes
you as its registrar for the Common Stock
.....to sign a contract of the tenor of the foregoing draft, as
submitted by The Chicago Stock Exchange.

The Danco-Kentucky Company

{Corporate
Seal}

[Signature]
in Secretary-Treasurer

Dated September 27, 19 29

Shaw 9-28-29

Exhibit 74

PROJECTED BALANCE SHEET - AS OF OCTOBER 1, 1929.
THE BANCOKENTUCKY COMPANY.

CAPITAL	20,000,000.00
SURPLUS	<u>30,000,000.00</u>
TOTAL	50,000,000.00

CASH	12,000,000.00	
INVESTMENT	28,750,000.00	(National Bank of Kentucky- Louisville Trust Co.
TREASURY STOCK	<u>9,250,000.00</u>	
TOTAL	50,000,000.00	

~~Security - Plans, The Hancock Kentucky Co.~~

Exhibit 74

National Bank of Kentucky

✓
THE FOLLOWING REPRESENTS THE COMBINED EARNINGS OF
THE NATIONAL BANK OF KENTUCKY AND THE LOUISVILLE TRUST
COMPANY AVAILABLE FOR DIVIDEND PURPOSES.

CL 241-2

YEAR - 1924	1,093,000.00
1925	1,205,000.00
1926	1,253,000.00
1927	1,212,000.00
1928	1,213,000.00

I hereby certify that to the best of my
knowledge and belief the above statement of earnings is
true.

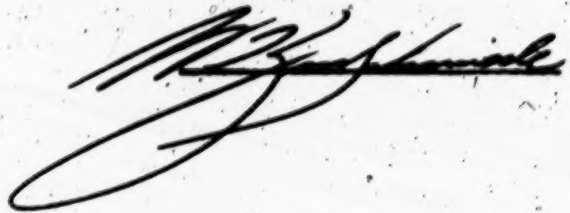


Exhibit 75

FLA...TSFF
EXHIBIT
OCT 18 1929
OFFICIAL

Pl 2.4 ①

October
Second
1929

Mr. W. T. Sutcliffe, Secretary,
The Manufacturers Company,
Louisville, Kentucky.

Dear Sirs:

Referring to the application of your Company to list on The Chicago Stock Exchange 2,000,000 shares of Common stock, (\$20 par value, of which 1,000,000 shares are to be admitted to trading upon notice, said application having been submitted to us through Chapman and Cutler, Chicago.

Please be advised that at a meeting of the Governing Committee held today the above stock was admitted to the list in the form of temporary stock certificates, with further authority to admit the proposed stock certificates upon official notice of their availability to be admitted to trading on notice.

Acknowledgment is hereby made of check for \$20,000 in payment of the listing fee.

Attached hereto are several blank forms which you will kindly use in notifying the Exchange of the declaration of dividends. These notices must be filed with the Exchange ten days prior to the stock of record date.

Very truly yours,

WAS:ME
Enclosures.

Assistant to Secretary.

C.C. -- Mr. Ralph F. Hunt,
Chapman and Cutler,
Chicago, Illinois.

Exhibit 76

**The
Banco Kentucky
Company**

PLAINTIFF
EXHIBIT No. 76
OCT 13 1878
Paul & Easton
OFFICIAL REPORTER

76
1733

Louisville, Kentucky

Exhibit 76

March 10, 1930.

TO THE SHAREHOLDERS:

The BancoKentucky Company was incorporated July 16, 1929, and controls the following banks and trust companies:

National Bank of Kentucky,
The Louisville Trust Company,
of Louisville, Ky.

The Brighton Bank and Trust Company,
The Pearl-Market Bank and Trust Company,
of Cincinnati, Ohio

The Peoples Liberty Bank and Trust Company,
The Central Savings Bank and Trust Company,
of Covington, Ky.

On completion of the exchange of its shares for shares of the above banks and trust companies there will be outstanding 1,750,000 shares (\$10.00 par value) of an authorized issue of 5,000,000 shares.

The Company was originally capitalized for 2,000,000 shares \$10.00 par common stock. On January 14, 1930, the stockholders ratified and approved an increase to 5,000,000 shares to provide for expansion.

The earnings for the quarter ending December 31, 1929, were at the rate of 13.1% per annum, out of which the initial quarterly dividend of 2% or 20c per share was paid January 1, 1930.

Your corporation and its banks, since December 31, 1929, have liquidated all bills payable and now have no indebtedness.

The BancoKentucky Company has propositions pending at this time, which, when consummated will more than double the total resources of the Company and materially increase its earnings.

J. B. Brown
PRESIDENT

1736

Exhibit 76
THE BANCOKENTUCKY COMPANY
DIRECTORS

81
Banco

WILLIAM BLACK,
President B. F. Avery & Sons

1060 DR. OSCAR E. BLOCH,
Physician and Surgeon

2600 CHARLES H. BOHMER,
President The Security Bank

2800 GEORGE O. BOOMER,
President and Treasurer Pittsburgh Fuel Co.

39600 JAMES B. BROWN,
President National Bank of Kentucky

R. LEE CALLAHAN,
President Callahan and Sons

39200 Rk
Callahan & Sons

1235 ANTHONY J. CARROLL,
Attorney

9.2 Lane Sr - 125

11410 GEORGE M. CLARK,
President Ohio Falls Iron Co.

800 SAMUEL W. COONS,
Chairman of Board, Standard Oil Company of Kentucky

10 ALLEN P. DODD,
Attorney

allen road 900

10700 STUART E. DUNCAN,
President Peaslee Gaulbert Corp.

800 JOSEPH H. DURHAM,
Chairman Board Franklin Title and Trust Co.

1800 C. OSCAR EWING,
President D. H. Ewing's Sons

640 JAMES GARNETT,
Attorney

5000 WALTER H. GIRDLER,
President Girdler Corporation

800 T. KENNEDY HELM,
Attorney

1620 BAYLOR O. HICKMAN,
Vice President Ewald Iron Company

1737

Exhibit 76

• G. C. HIEATT.
President Consolidated Realty Company

120 SAUNDERS P. JONES.
President Jones-Dabney Company

740 MILBURN P. KELLEY.
President Louisville Bedding Company

2420 WALTER I. KOHN.
Capitalist

5760 CHARLES C. MENGEL.
President The Mengel Company

1400 J. WM. MILLER.
President Grocers Baking Company

8200 ✓ THOMAS J. MINARY.
Chairman Board, Louisville Rwy. Co.

900 DAVID C. MORTON.
President Ballard & Ballard

3820 EDWARD J. O'BRIEN, JR.,
Manager E. J. O'Brien & Co.

60 yd. lead
1600 sh

• BEN ROBERTSON.
President Reliance Varnish Company

640 ARTHUR M. RUTLEDGE.
Attorney

14000 ✓ WILLIAM S. SPEED.
President Louisville Cement Company

2500 SAM H. STONE.
President Sam H. Stone & Company

1650 E. LELAND TAYLOR.
President Wright and Taylor

1550 HORACE A. TAYLOR.
Capitalist

1000 ROBERT F. VAUGHAN.
Attorney

21450 HENRY VOGT.
President Henry Vogt Machine Company

OFFICERS

9800
1700

JAMES B. BROWN, President
C. F. JONES, Vice President
W. T. ZURSCHMIEDE, Secretary-Treasurer

1738

Exhibit 76

THE BANCOKENTUCKY COMPANY

BALANCE SHEET

DECEMBER 31, 1929

ASSETS

Investments	\$34,643,376.21
Bills Receivable	2,000,000.00
Cash	3,012,478.14
	<hr/>
	\$39,655,854.35

LIABILITIES

Capital	\$15,326,690.00
Surplus and Profits	23,329,164.35
Bills Payable	1,000,000.00
	<hr/>
	\$39,655,854.35

Exhibit 76
COMBINED STATEMENT
 OF
THE BANCOKENTUCKY COMPANY BANKS
DECEMBER 31, 1929

ASSETS

Loans	\$ 82,638,975.78
Securities	18,061,935.19
Real Estate, etc.	2,671,695.07
Cash	17,296,064.40
TOTAL	\$120,668,670.44

LIABILITIES

Capital	\$ 7,560,000.00
Surplus and Profits	7,120,336.78
Circulation	2,071,330.00
Real Estate Mortgage Bonds	4,212,600.00
Money Borrowed	5,123,335.69
Deposits	94,581,067.97
TOTAL	\$120,668,670.44

EARNINGS

For the quarter ending December 31, 1929
 Of The BancoKentucky Company and its pro rata part
 of the earnings of its banks and trust companies.

TOTAL INCOME	\$ 1,655,511.18
Less:	
Expenses and taxes	\$ 456,101.66
Interest Paid	698,133.27
	<u>\$1,154,234.93</u>
	1,154,234.93

Net Income for quarter ending Dec. 31, 1929 \$ 501,276.25

Exhibit 76

NATIONAL BANK OF KENTUCKY

LOUISVILLE, KY.

• DIRECTORS

JOHN S. AKERS,
Vice Pres. National Bank of Kentucky

HENRY J. ANGERMEIER,
Vice Pres. National Bank of Kentucky

✓ JAMES B. BROWN,
President National Bank of Kentucky

✓ R. LEE CALLAHAN,
President Callahan and Sons

✓ ANTHONY J. CARROLL,
Attorney

✓ GEORGE M. CLARK,
President Ohio Falls Iron Co.

✓ SAMUEL W. COONS,
Chairman of Board, Standard Oil Co. of Ky.

✓ STUART E. DUNCAN,
President Peaslee Gaulbert Corp.

✓ JOSEPH H. DURHAM,
Chairman Board, Franklin Title & Trust Co.

JAMES J. HAYES,
Vice Pres. National Bank of Kentucky

✓ BAYLOR O. HICKMAN,
Vice Pres. Ewald Iron Co.

C. F. JONES,
Vice Pres. National Bank of Kentucky

✓ SAUNDERS P. JONES,
Pres. Jones Dabney Varnish Co.

✓ CHARLES C. MENGEL,
President The Mengel Company

✓ THOMAS J. MINARY,
Chairman Board Louisville Rwy. Co.

✓ EDWARD J. O'BRIEN, JR.,
Manager E. J. O'Brien Company

HENRY D. ORMSBY,
Vice Pres. National Bank of Kentucky

✓ WILLIAM S. SPEED,
President Louisville Cement Company

HENRY VOGT,
President Henry Vogt Machine Company

1741-1

Exhibit 76

STATEMENT

NATIONAL BANK OF KENTUCKY

DECEMBER 31, 1929

ASSETS

Loans	\$37,646,035.57
Bonds and Securities	6,870,411.14
Real Estate	35,000.00
Cash	10,150,186.65
<hr/>	
TOTAL	\$54,701,633.36

LIABILITIES

Capital	\$ 4,000,000.00
Surplus and Profits	3,235,673.27
Circulation	2,071,330.00
Money Borrowed	4,248,835.69
Deposits	41,145,794.40
<hr/>	
TOTAL	\$54,701,633.36

1742

Exhibit 76

LOUISVILLE TRUST COMPANY

LOUISVILLE, KY.

DIRECTORS

RICHARD BEAN,
President, Louisville Trust Co.

MILBURN P. KELLEY,
Pres. Louisville Bedding Co.

WILLIAM BLACK,
Pres. B. F. Avery & Sons

WALTER I. KOHN,
Capitalist

DR. OSCAR E. BLOCH,
Physician and Surgeon

BEN. J. METCALFE,
Vice Pres. Louisville Trust Co.

CHARLES H. BOHMER,
Ch'm Board, The Security Bank

J. WM. MILLER,
Pres. Grocers Baking Co.

GEORGE O. BOOMER,
Pres. and Treas. Pittsburgh Fuel Co.

DAVID C. MORTON,
Pres. Ballard & Ballard Co.

R. R. BOSWELL,
Vice Pres. Louisville Trust Co.

JOHN B. PIRTLE,
Vice Pres. Louisville Trust Co.

JAMES B. BROWN,
Pres. National Bank of Ky.

BEN ROBERTSON,
Pres. Reliance Varnish Co.

ALLEN P. DODD,
Attorney

ARTHUR M. RUTLEDGE,
Attorney

NICHOLAS H. DOSKER,
Vice Pres. Louisville Trust Co.

JOHN STITES,
Ch'm Board Louisville Trust Co.

C. OSCAR EWING,
Pres. D. H. Ewing's Sons

SAM. H. STONE,
Pres. S. H. Stone & Co.

JAMES GARNETT,
Attorney

E. LELAND TAYLOR,
Vice Pres. Semonin Goodman Co.

WALTER H. GIRDLER,
Pres. Girdler Corporation

HORACE A. TAYLOR,
Capitalist

ANGEREAU GRAY,
Vice Pres. Louisville Trust Co.

ROBERT F. VAUGHAN,
Attorney

T. KENNEDY HELM,
Attorney

C. C. HIEATT,
Pres. Consolidated Realty Co.

E. WEINSTOCK,
Vice Pres. Louisville Trust Co.

Exhibit 76

STATEMENT

LOUISVILLE TRUST COMPANY

DECEMBER 31, 1929

ASSETS

Loans	\$12,659,642.09
Securities	3,970,990.53
Real Estate Mortgages	5,006,713.53
Bank Building, etc.	1,368,393.08
Other Real Estate	93,034.58
Cash	2,082,989.91
TOTAL	\$25,181,763.72

LIABILITIES

Capital	\$ 1,750,000.00
Surplus	1,506,269.11
Reserves	97,407.94
Mortgage Bonds Outstanding	4,212,600.00
Money Borrowed	200,000.00
Deposits	17,415,486.67
TOTAL	\$25,181,763.72

Exhibit 76

THE BRIGHTON BANK & TRUST COMPANY

CINCINNATI, OHIO

DIRECTORS

C. H. M. ATKINS,
Pres. The Warner Elevator Mfg. Co.

GEORGE BENTEL,
Contractor

JAMES B. BROWN,
Pres. The Banco Kentucky Company

CHAS. EISEN,
Capitalist

MAURICE L. GALVIN,
Attorney

J. J. HEIDACHER,
Vice Pres. Brighton Bank & Tr. Co.

G. E. HUMMEL,
The David Hummel Building Co.

M. E. LYONS,
Pres. J. H. Day & Co.

G. M. MOSLER,
Pres. Brighton Bank & Tr. Co.

EDWARD NAGEL,
Henry Nagel & Son

RICHARD A. POWELL,
Attorney

GEORGE SCHMIDT,
Retired

M. C. WEIGLEIN,
Retired

FRED E. WESSELMANN,
Attorney

Exhibit 76

STATEMENT

THE BRIGHTON BANK & TRUST COMPANY

CINCINNATI, OHIO

DECEMBER 31, 1929

ASSETS

Loans	\$10,216,797.01
Securities	2,572,041.27
Banking Houses	300,000.00
Cash	2,572,392.93
TOTAL	<u>\$15,661,231.21</u>

LIABILITIES

Capital	\$ 500,000.00
Surplus and Profits	437,814.06
Deposits	14,723,417.15
TOTAL	<u>\$15,661,231.21</u>

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Exhibit 76

THE PEARL-MARKET BANK AND TRUST COMPANY

CINCINNATI, OHIO

DIRECTORS

ABE BLOOM,
Retired

JAMES B. BROWN,
Pres. The Banco Kentucky Company

MAURICE L. GALVIN,
Attorney

WM. D. GRUBER,
Cincinnati Cloak & Suit Co.

J. J. HEIDACHER,
Vice Pres. Pearl Market Bk. & Tr. Co.

JOSEPH A. HELMERS,
Vice Pres. and Cashier Pearl Market Bk. & Tr. Co.

POLK LAFFOON,
Vice Pres. Union Gas & Elec. Co.

JOHN W. LEWIS, JR.,
McAplin Company

P. LINCOLN MITCHELL,
F. A. Schmidt Co.

G. M. MOSLER,
Pres. Pearl Market Bank & Tr. Co.

WALTER A. RYAN,
Attorney

OSCAR SCHWARTZ,
Schwartz Realty Company

G. S. WEIL,
Retired

SIDNEY WEIL,
Cincinnati Baseball Club

Exhibit 76

STATEMENT

THE PEARL-MARKET BANK AND TRUST COMPANY

CINCINNATI, OHIO

DECEMBER 31, 1929

ASSETS

Loans	\$ 5,338,615.19
Securities	2,461,628.78
Real Estate Mortgages	3,875,332.37
Bank Building, etc.	525,437.52
Cash	1,242,763.44
TOTAL	<u>\$13,443,777.30</u>

LIABILITIES

Capital	\$ 600,000.00
Surplus and Profits	1,283,151.57
Money Borrowed	674,500.00
Deposits	10,886,125.73
TOTAL	<u>\$13,443,777.30</u>

Exhibit 76

THE PEOPLES LIBERTY BANK AND TRUST CO.

COVINGTON, KY.,

DIRECTORS

JOHN J. BELL,
Interior Decorator

JAMES B. BROWN,
*President The Banco Kentucky
Co.*

CHAS. E. CLARK,
Attorney

J. N. CUNI,
Trust Officer, Peoples Liberty

J. A. DOWNARD,
Capitalist

GEO. E. ENGEL,
Vice Pres. Peoples Liberty

FRANK R. EVANS,
Cashier Peoples Liberty

MAURICE L. GALVIN,
Attorney

T. S. HAMILTON,
Capitalist

GEO. L. HILL,
Grocer

HERBERT JACKSON,
Attorney

EDWARD C. KELLEY,
Plumber

POLK LAFFOON,
V. P. Union Gas & Elec. Co.

RED MACKIE,
*Moeschl Edwards Corrugating
Co.*

F. L. MICHAELS,
Pres. Michaels Art Bronze Wks.

ANTHONY MONDIEK,
Retired

HARRY M. PENNY,
Advance Millwork Co.

H. W. PERCIVAL,
Real Estate and Insurance

EDWIN W. PIEPER,
Capitalist

JEROME B. RESPESS,
Stock-Farm

JAS. A. RYAN,
Physician

H. W. SCHLEUTKER,
Wholesale Grocer

H. A. SCHROETTER,
Real Estate and Insurance

JOHN H. SCHULTE,
Pres. Central Sav. Bk. & Tr. Co.

C. D. SEILER,
Pres. Seiler Motor Car Co.

W. H. TABELING,
Dry Goods Company

F. F. THORPE,
Pres. John R. Coppin Co.

F. D. VAN WINKLE,
Post Glover Elec. Co.

L. B. WILSON,
President Peoples Liberty

RAY JOHNSON,
Pres. Johnson Battery Co.

Exhibit 76

STATEMENT

THE PEOPLES LIBERTY BANK AND TRUST CO.

DECEMBER 31, 1929

ASSETS

Loans	\$ 6,704,557.01
Securities	1,192,420.08
Banking House, etc.	349,829.89
Cash	793,590.53
TOTAL	\$ 9,040,397.51

LIABILITIES

Capital	\$ 650,000.00
Surplus and Profits	371,919.73
Deposits	8,018,477.78
TOTAL	\$ 9,040,397.51

Exhibit 76

CENTRAL SAVINGS BANK & TRUST CO.

COVINGTON, KY.

DIRECTORS

JOHN L. BRADY,

Master Car Builder, L. & N. R. R. Co.

JAMES B. BROWN,

President The Banco Kentucky Company

FRANK BROERING,

Vice Pres. Central Savings Bank & Trust, Co.

H. S. CALCUTT,

Supt. Dining Car Department C. & O. Ry.

MAURICE L. GALVIN,

Attorney

R. E. HENNESSY,

Tobacco Broker

H. B. MACKOY,

Attorney

GEORGE C. RILEY,

Bond Broker

JOHN H. SCHULTE,

President Central Savings Bank & Trust Co.

GEORGE C. STAHEL,

Retired

JOSEPH B. THEISEN,

Secretary-Treasurer Central Savings Bank & Tr. Co.

CHARLES W. ZWEIGART,

Retired

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under which (a) an independent unit bank, (b) a member of a group and (c) a branch can operate profitably, measured as to

Population of the community,
Volume of deposits,
Volume of loans and investments,
Volume of other business, if any?

5. Is there a need for any financial services which your form of organization has enabled you to supply, but which the independent banks because of their isolation and size could not? If so, what are they?

III. Management and supervision

1. To what extent does your holding company share in the management of banks? Has it a staff devoted to supervising them and to coordinating their activities?
2. To what extent are the local directors and management free to determine policies and carry on operations? If they are given a free hand how would you prevent a local group from adopting policies inharmonious with those of the group as a whole?
3. What proportion of the present directors of the local banks live in the communities where the banks are located?
4. What changes of personnel in the staffs and directorates of banks were made when they were acquired by your group, or reasonably soon thereafter? What is the usual policy as regards retention of local staffs and directorates? If you have acquired banks which formerly were in difficulties, were you obliged to retain the same managing officers so as to hold community good will?
5. Are all the members of the group audited by a central auditing force? If so, to whom is the auditing force responsible? Do auditors or special inspectors investigate loans from a credit standpoint?

IV. Policies and operations

1. What are you doing in general to attain uniformity in the activities, policies, and practices of the banks in your group?

2. What method, if any, is used to coordinate the lending policies of the banks, so as to bring about some degree of uniformity?
3. Has a uniform policy for investments been worked out, or does each bank make its own investments without advice or directions from the controlling agency?
4. What essential changes, if any, have been made in the lending policies of the banks since they were taken over? How is this reflected in their loans and investments? Has the ratio of aggregate loans and discounts (not investments) to deposits changed materially in any of your banks since they were taken over? If so, how much?
5. Has your group actually achieved a diversity of loans not possible for the unit banks before their association in the group? Explain.
6. What changes have been made in rates charged on loans by your banks since they entered your group? What is the usual rate charged by your banks on commercial loans? On agricultural loans?
7. How do the rates charged by members of your group compare with the rates of the independent unit banks in the same communities?
8. Do all your banks charge the same interest rates? If not, what factors determine the rates and why the differences?
9. Do your banks have any minimum size for loans? If so, what is it? Is this any different from the practice of independent unit banks in the same communities?
10. Do all your banks pay the same rate of interest on deposits? If not, what factors determine the rates and why the differences?
11. What changes have been made in rates paid on deposits by the banks which have entered your group? What is the usual rate paid by your banks on checking accounts? On savings deposits? On time certificates?
12. How do the rates paid on deposits by members of your group

Exhibit 76

STATEMENT

CENTRAL SAVINGS BANK & TRUST CO.

DECEMBER 31, 1929

ASSETS

Loans	\$ 1,191,283.01
Securities	994,443.39
Cash	454,140.94
TOTAL	\$ 2,639,867.34

LIABILITIES

Capital	\$ 60,000.00
Surplus and Profits	188,101.10
Deposits	2,391,766.24
TOTAL	\$ 2,639,867.34

Exhibit 77

A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY
Louisville, Kentucky

SUMMARY OF INTERLOCKING DIRECTORS
NATIONAL BANK OF KENTUCKY, LOUISVILLE TRUST COMPANY AND BANCOKENTUCKY COMPANY
YEARS 1927 to 1930 INCLUSIVE

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Exhibit 77

SUMMARY OF INTERLOCKING DIRECTORATES

NATIONAL BANK OF KENTUCKY, LOUISVILLE TRUST COMPANY AND RANCO KENTUCKY COMPANY

YEARS 1927 to 1929 INCLUSIVE

Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany	Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany	Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany	Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany	Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany	Elected to Board of Directors National Bank of Kentucky	Elected to Board of Directors Louisville Trust Com- pany
January 11, 1927	June 14, 1927	January 10, 1928	January 11, 1928	January 10, 1928	January 11, 1928	January 10, 1928	January 11, 1928	January 10, 1928	January 11, 1928	January 10, 1928	January 11, 1928
John A. Atherton	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
James B. Brown	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
E. Lee Callahan	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Anthony J. Carroll	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
George E. Clark	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Samuel E. Gantt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Stuart E. Hanson	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Joseph E. Hartman	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Naylor O. Hickman	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Alexander P. Humphrey	Yes	Yes (1)	Yes	Yes	Yes	Yes	Yes	Yes	Yes (1)	Yes	Yes
Samuel P. Jones	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Frederick Linn	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Charles O. Mangal	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Thomas J. Mearns	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Edward J. O'Brien Jr.	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Richard S. Reynolds	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
William S. Spauld	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Anthony V. Thomson	Yes (2)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Henry Vogt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
John S. Ware	May 27, 1927	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
James J. Wynn	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Henry E. Gandy	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Henry J. Angewater	May 20, 1927	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Charles F. Jones	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Geor. E. Hock	May 27, 1927	Jan. 11, 1927	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Charles E. Schaefer	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
William S. Crawford	Yes (2)	Yes (2)	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Allen P. Todd	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Edwin E. Brummett	Yes	Yes	Yes (1)	Yes	Yes (1)	Yes	Yes	Yes	Yes	Yes	Yes
George L. Overback	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
James Garbutt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Angewater Hry	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
F. Kennedy Hahn	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Clarence E. Hieatt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
William P. Kelly	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Walter J. Lahn	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
S. Clay Lewis	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
John S. Pirtle	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Wm. S. Quinn	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Arthur E. Rutledge	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
William Short	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes

Exhibit 77

Page 2.

	Elected to Board of Directors National Bank of Kentucky		Elected to Board of Directors National Bank of Kentucky		Elected to Board of Directors National Bank of Kentucky		Elected to Board of Directors National Bank of Kentucky	
	Trust Com- pany	Trust Com- pany	Trust Com- pany	Trust Com- pany	Trust Com- pany	Trust Com- pany	Trust Com- pany	Trust Com- pany
John Stites	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
E. B. Howell					April 19, 1929	Yes	Yes	Yes
Richard Dunn					Yes	Yes	Yes	Yes
William Black					April 26, 1929	Yes	Yes	Yes
George C. Sumner					Yes	Yes	Yes	Yes
Nicholas Foster					April 19, 1929	Yes	Yes	Yes
C. Oscar Hixson					April 26, 1929	Yes	Yes	Yes
Walter E. Birdler					Yes	Yes	Yes	Yes
Sam J. Heston					Yes	Yes	Yes	Yes
J. William Miller					Yes	Yes	Yes	Yes
David C. Horton					Yes	Yes	Yes	Yes
Sam Robertson					Yes	Yes	Yes	Yes
Sam E. Stone					Yes	Yes	Yes	Yes
E. Roland Taylor					Yes	Yes	Yes	Yes
Harvey E. Taylor					Yes	Yes	Yes	Yes
Robert E. Vaughan					Yes	Yes	Yes	Yes
Samuel Weinstein					Yes	Yes	Yes	Yes

(1) Resigned July 12, 1927
(2) Died April 20, 1927
(3) Resigned December 12, 1927

(1) Died January 22, 1928

(1) Died July 22, 1929
(2) Resigned February 8, 1929
(3) Resigned January 10, 1930
(4) Resigned May 17, 1929

(1) Resigned July 22, 1929
(2) Died May 9, 1930

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FEDERAL RESERVE BANK
OF
ST. LOUIS

July 22, 1930

Mr. A. T. Burchmiede, Cashier
National Bank of Kentucky,
Louisville, Ky.

Dear Mr. Burchmiede:-

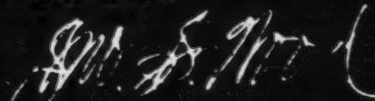
The Committee on Branch, Group and Chain Banking of the Federal Reserve Board, has prepared a schedule for collecting information regarding the operation of group banking which it is anxious to have submitted to the more important groups in the several Federal Reserve districts.

The Committee wishes to secure as much information as possible bearing on the actual operations of group banking systems and such information as is available regarding their merits as contrasted with other forms of banking organizations. To this end cooperation of the controlling agencies of the groups is solicited. However, this is purely a request and in no sense a requirement, and we will be greatly obliged for any help you may feel disposed to give in the undertaking.

In view of the universal interest in this general subject and the importance given to the development of group banking systems, it occurred to the Committee that those responsible for their operations will doubtless welcome the opportunity to state their views and to give the benefit of their experience.

I enclose a copy of the schedule for your consideration and can assure you of my personal appreciation, as well as that of the Committee, for any information you may give.

Very truly yours,



Federal Reserve Agent.

1. Name of bank
2. Location of bank and name of bank
3. Describe fully the structure of your group, indicating where the ultimate controlling authority lies and through what channels this authority is exercised.
4. General powers of the holding company or controlling agency. (Submit as exhibit copy of charter, articles of incorporation, by-laws.)
5. Capital structure:
Classes of stock, par value and book value of each class, number of shares of each class authorized and issued, voting power of each class and the number of stockholders of each class.
6. Stock distribution:
What proportion of the stock is held in the city where the holding company or controlling agency is located? In the cities and towns where the banks of the group are located? In the state of the holding company or controlling company? What proportion held by stock exchange houses and houses of issue and distribution?
7. Has any stock of the corporation been issued for cash, either by public offer or otherwise? If so, state amount of cash so realized and furnish prospectus of offering.
8. What liability, if any, have the stockholders of the holding company for the debts of the company in excess of their share ownership? If none, is there any other provision safeguarding the double liability attaching to the shares of banks owned. Has the protection offered bank creditors by double liability been impaired by transferring ownership to the holding company?

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9. List the officers and directors of the holding company and their principal business affiliations.
10. Furnish statement of the resources and liabilities of the holding company.

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13. What methods have you used to determine the values upon which exchange of stock or purchase has been made?
14. Describe the method of handling slow and doubtful assets of banks acquired by you, and indicate what success you have had in liquidating such assets.

II. Economic background

1. Outline briefly the development of your group, indicating what considerations and conditions were most influential. Has the development been largely a matter of choice with you, or has it been impelled by social and economic changes? If the latter, please describe them as specifically as possible.
2. Presumably many formerly independent enterprises (stores, factories, etc.) operating in your region have been absorbed in recent years by larger companies, with the result that the local banking connections have been disturbed. If so, can you give a representative list of such cases? Are your banks seriously affected by the tendency?
3. Have you taken over any weak or unprofitable banks that are now being operated successfully? If so, to what do you attribute the fact that they are now successful?
4. Have you established any banks in communities which would probably not support independent unit banks? Have such banks been profitable? What advantage have such banks that enables them to succeed where the independent banks would not?
5. Have you been operating any banks at a loss in the expectation that community growth would make them profitable? If so, describe the conditions.
6. Describe the economic character of the territory covered by your organization, indicating what diversification of business is secured by your system.
7. According to your experience what is the minimum condition

compare with those of the independent banks in the same communities?

13. In case the credit demands on a particular bank are in excess of the funds available, what assistance is rendered by the controlling interest or by other banks of the group? Describe in some detail the mechanism and process by which this assistance is rendered.
14. Through the process of shifting funds from one bank to another as the needs determine are you able to meet all the credit demands on the various banks without going outside your group, or do you sometimes borrow from the Federal reserve bank or your metropolitan correspondents?
15. What is the maximum ratio of capital to deposits among your banks? The minimum? The average?
16. Do you make up a daily or weekly summary of the condition of all banks in your group? If so, please attach a copy of the form used for such summary.
17. Have you a fixed rule as to the proportion of deposits that must be carried as cash in vault by your banks? If it varies for different banks, give the reason therefor. For your entire group how much cash in vault is carried in proportion to deposits?
18. What, if any, economies have been effected in the operation of your banks since they were acquired? If possible, give specific evidences of such economies in

Salaries and payroll,
Forms, supplies and equipment,
Other costs - specify
19. How do the costs of operating the banks in your group compare with the costs of the same institutions when operating as independent unit banks?
20. Have you prepared a combined profit and loss statement for all your banks? If so, please attach a copy.

21. How many of your banks do a personal trust business? Taking the banks as a whole, how important are the trust operations in your system? Give figures to illustrate. What supervision of trust operations do you exercise?
22. How many of your banks sell securities? Are your banks important outlets for the sale of securities? Do you originate issues, either directly or through an affiliate?
23. Do your banks lend on the stock of the other members of the group as collateral or on the stock of the holding company? Do you think such loans should be prohibited?
24. When an independent bank enters your group, how are correspondent relations affected? Do members as a rule close all correspondent accounts except with other members of the group? Taking the group as a whole are balances carried by the system with outside correspondents greater or less than they would be if there were no group?
25. What effect, in your opinion, would the general development of group banking have upon correspondent relationships as they have developed under the independent unit banking system?
26. When a member of the Federal Reserve System joins your group, are its relations with its Federal reserve bank modified in any way? What effect does membership in the group have upon borrowing or rediscounting with the Federal reserve bank?
27. What effect would the general development of group banking have upon the Federal Reserve System?
28. Describe your method of clearing checks, indicating particularly whether your units clear with one another, or whether checks on other banks of your group are collected through the Federal reserve banks and/or correspondents.

V. Public relations

1. Is there any general prejudice in your territory against chain, group, or branch banking?

2. Do your banks offer any services to the public in addition to those offered by them before they were taken over? What are they?
3. Have there been any changes in the credit policies of any of your banks since they were acquired, with the result that certain types of borrowers find it more difficult to get credit? If so, have you had an undue amount of protest from these quarters with perhaps some propaganda against your group system?
4. What in general is the size and type of community served by your banks? In what size communities do the branches, if any, of your banks operate?
5. If the law permitted, would the management prefer to organize this group into a branch banking system? If not, would your banks organize branches in the smaller communities?
6. What in your opinion is the major cause of failure of so many banks in small rural communities? Will independent unit banks be able to operate successfully in most of these communities in the future? If not, do you think group banks can safely furnish deposit and credit facilities to such communities or can they be more satisfactorily taken care of by branch banking systems?
7. Has the Comptroller of the Currency or the State banking department been consulted at any time with respect to the formation of your group or its policies and activities?
8. Do you think that bank holding companies such as yours should be under the supervision of either the Comptroller of the Currency or the State banking department?

Exhibit 79

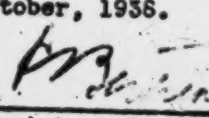
BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

--600--

I, L. P. Betha, Assistant Secretary of the Board of Governors of the Federal Reserve System (formerly the Federal Reserve Board), do hereby certify that the photostatic copies, which are attached hereto, of the following letters and documents are true and correct copies of letters and documents among the records of the Board of Governors of the Federal Reserve System:

1. Letter dated July 18, 1930, from J. H. Riddle, Secretary, Committee on Branch, Group and Chain Banking, to Mr. Rolla Wells, Federal Reserve Agent, Federal Reserve Bank of St. Louis, St. Louis, Missouri;
2. Schedule of information on group banking systems;
3. Letter dated August 7, 1930, from Mr. John S. Wood, Federal Reserve Agent, Federal Reserve Bank of St. Louis, to Mr. J. H. Riddle, Secretary, Committee on Branch, Group and Chain Banking, Federal Reserve Board, Washington, D. C.;
4. Letter dated August 2, 1930, from W. T. ZurSchmiede, Secretary-Treasurer of the Banco Kentucky Company, Louisville, Kentucky, to Mr. John S. Wood, Federal Reserve Agent, Federal Reserve Bank of St. Louis, and its inclosures.

IN WITNESS WHEREOF, I have hereunto set my hand and caused the Seal of the Board of Governors of the Federal Reserve System to be affixed at the city of Washington, District of Columbia, on this 12th day of October, 1936.



Assistant Secretary,
Board of Governors of the Federal Reserve System

July 18, 1930.

Mr. Rella Wells,
Federal Reserve Agent,
Federal Reserve Bank of St. Louis,
St. Louis, Missouri.

Dear Mr. Wells:

The Committee on Branch, Group and Chain banking has prepared a schedule for collecting information regarding the operation of group banking systems and would like to have it submitted to the more important groups of the country.

It is the desire of the Committee to secure as much information as possible as to the actual operations of group banking systems and such information as is available regarding their merits as compared with other forms of banking organizations. In the collection of this information the cooperation of the controlling agencies of the groups is solicited. This is purely a request, however, and in no sense a requirement. The purpose of the schedule is to insure the specific information which is desired and to insure the collection of comparable data in some systematic form from the various institutions.

In view of the universal interest in this subject and the importance given to the development of group banking systems, those responsible for their operations will doubtless welcome the opportunity to state their views and to give the Committee the benefit of their experience.

Following its usual procedure the Committee would like you to present the schedule to the group systems in your district. The method of submission is a matter of your own discretion. In most cases it may be sufficient to send the schedule by mail, but in some cases better results might be secured by a personal visit from some representative of the Federal Reserve Bank to the head of the group system. When these schedules are completed and returned to you, perhaps you may wish to look them over and supplement them with any special information or views which you may have.

Mr. Rolla Wells #2

July 18, 1930.

A number of copies of the schedule are enclosed, and if additional copies are desired they can be readily supplied. I suggest it be submitted to the institutions in your district listed below or to any others which you think would be productive of results. As a rule the names on the list are holding companies, but in a few cases the names of banks which control other banks directly were included.

First National Corp., Louisville, Ky.
Fogers Caldwell, Memphis and Nashville, Tenn.
Bank of Kentucky Corp., Louisville, Tenn.

Very truly yours,

J. H. Huddle,
Secretary, Committee on Branch,
Group and Chain Banking.

Enc.

SCHEDULE OF INFORMATION
ON
GROUP BANKING SYSTEMS

I. Organization and history

1. Name of holding company or controlling agency.
2. Location of head office, state of incorporation, date of incorporation and law under which organized.
3. Describe fully the structure of your group, indicating where the ultimate controlling authority lies and through what channels this authority is exercised.
4. General powers of the holding company or controlling agency. (Submit as exhibit copy of charter, articles of incorporation, by-laws.)
5. Capital structure:
Classes of stock, par value and book value of each class, number of shares of each class authorized and issued, voting power of each class and the number of stockholders of each class.
6. Stock distribution:
That proportion of the stock is held in the city where the holding company or controlling agency is located? In the cities and towns where the banks of the group are located? In the state of the holding company or controlling company? That proportion held by stock exchange houses and houses of issue and distribution?
7. Has any stock of the corporation been issued for cash, either by public offer or otherwise? If so state amount of cash so realized and furnish prospectus of offering.
8. What liability, if any, have the stockholders of the holding company for the debts of the company in excess of their share ownership? If none, is there any other provision safeguarding the double liability attaching to the shares of banks owned. Has the protection offered bank creditors by double liability been impaired by transferring ownership to the holding company?

- 2 -

9. List the officers and directors of the holding company and their principal business affiliations.

10. Furnish statement of the resources and liabilities of the holding company.

13. What methods have you used to determine the values upon which exchange of stock or purchase has been made?
14. Describe the method of handling slow and doubtful assets of banks acquired by you, and indicate what success you have had in liquidating such assets.

III. Economic background

1. Outline briefly the development of your group, indicating what considerations and conditions were most influential. Has the development been largely a matter of choice with you, or has it been impelled by social and economic changes? If the latter, please describe them as specifically as possible.
2. Presumably many formerly independent enterprises (stores, factories, etc.) operating in your region have been absorbed in recent years by larger companies, with the result that the local banking connections have been disturbed. If so, can you give a representative list of such cases? Are your banks seriously affected by the tendency?
3. Have you taken over any weak or unprofitable banks that are now being operated successfully? If so, to what do you attribute the fact that they are now successful?
4. Have you established any banks in communities which would probably not support independent unit banks. Have such banks been profitable? What advantage have such banks that enables them to succeed where the independent banks would not?
5. Have you been operating any banks at a loss in the expectation that community growth would make them profitable? If so, describe the conditions.
6. Describe the economic character of the territory covered by your organization, indicating what diversification of business is secured by your system.
7. According to your experience what is the minimum condition

under which (a) an independent unit bank, (b) a member of a group and (c) a branch can operate profitably, measured as to

Population of the community,
Volume of deposits,
Volume of loans and investments,
Volume of other business, if any?

6. Is there a need for any financial services which your form of organization has enabled you to supply, but which the independent banks because of their isolation and size could not? If so, what are they?

III. Management and supervision

1. To what extent does your holding company share in the management of banks? Has it a staff devoted to supervising them and to coordinating their activities?
2. To what extent are the local directors and management free to determine policies and carry on operations? If they are given a free hand how would you prevent a local group from adopting policies inharmonious with those of the group as a whole?
3. What proportion of the present directors of the local banks live in the communities where the banks are located?
4. What changes of personnel in the staffs and directorates of banks were made when they were acquired by your group, or reasonably soon thereafter? What is the usual policy as regards retention of local staffs and directorates? If you have acquired banks which formerly were in difficulties, were you obliged to retain the same managing officers so as to hold community good will?
5. Are all the members of the group audited by a central auditing force? If so, to whom is the auditing force responsible? Do auditors or special inspectors investigate loans from a credit standpoint?

IV. Policies and operations

1. What are you doing in general to attain uniformity in the activities, policies, and practices of the banks in your group?

2. What method, if any, is used to coordinate the lending policies of the banks, so as to bring about some degree of uniformity?
3. Has a uniform policy for investments been worked out, or does each bank make its own investments without advice or directions from the controlling agency?
4. What essential changes, if any, have been made in the lending policies of the banks since they were taken over? How is this reflected in their loans and investments? Has the ratio of aggregate loans and discounts (not investments) to deposits changed materially in any of your banks since they were taken over? If so, how much?
5. Has your group actually achieved a diversity of loans not possible for the unit banks before their association in the group? Explain.
6. What changes have been made in rates charged on loans by your banks since they entered your group? What is the usual rate charged by your banks on commercial loans? On agricultural loans?
7. How do the rates charged by members of your group compare with the rates of the independent unit banks in the same communities?
8. Do all your banks charge the same interest rates? If not, what factors determine the rates and why the differences?
9. Do your banks have any minimum size for loans? If so, what is it? Is this any different from the practice of independent unit banks in the same communities?
10. Do all your banks pay the same rate of interest on deposits? If not, what factors determine the rates and why the differences?
11. What changes have been made in rates paid on deposits by the banks which have entered your group? What is the usual rate paid by your banks on checking accounts? On savings deposits? On time certificates?
12. How do the rates paid on deposits by members of your group

compare with those of the independent banks in the same communities?

13. In case the credit demands on a particular bank are in excess of the funds available, what assistance is rendered by the controlling interest or by other banks of the group? Describe in some detail the mechanism and process by which this assistance is rendered.
14. Through the process of shifting funds from one bank to another as the needs determine are you able to meet all the credit demands on the various banks without going outside your group, or do you sometimes borrow from the Federal reserve bank or your metropolitan correspondents?
15. What is the maximum ratio of capital to deposits among your banks? The minimum? The average?
16. Do you make up a daily or weekly summary of the condition of all banks in your group? If so, please attach a copy of the form used for such summary.
17. Have you a fixed rule as to the proportion of deposits that must be carried as cash in vault by your banks? If it varies for different banks, give the reason therefor. For your entire group how much cash in vault is carried in proportion to deposits?
18. What, if any, economies have been effected in the operation of your banks since they were acquired? If possible, give specific evidences of such economies in

Salaries and payroll,
Forms, supplies and equipment,
Other costs - specify
19. How do the costs of operating the banks in your group compare with the costs of the same institutions when operating as independent unit banks?
20. Have you prepared a combined profit and loss statement for all your banks? If so, please attach a copy.

21. How many of your banks do a personal trust business? Taking the banks as a whole, how important are the trust operations in your system? Give figures to illustrate. What supervision of trust operations do you exercise?
22. How many of your banks sell securities? Are your banks important outlets for the sale of securities? Do you originate issues, either directly or through an affiliate?
23. Do your banks lend on the stock of the other members of the group as collateral or on the stock of the holding company? Do you think such loans should be prohibited?
24. When an independent bank enters your group, how are correspondent relations affected? Do members as a rule close all correspondent accounts except with other members of the group? Taking the group as a whole are balances carried by the system with outside correspondents greater or less than they would be if there were no group?
25. What effect, in your opinion, would the general development of group banking have upon correspondent relationships as they have developed under the independent unit banking system?
26. When a member of the Federal Reserve System joins your group, are its relations with its Federal reserve bank modified in any way? What effect does membership in the group have upon borrowing or rediscounting with the Federal reserve bank?
27. What effect would the general development of group banking have upon the Federal Reserve System?
28. Describe your method of clearing checks, indicating particularly whether your units clear with one another, or whether checks on other banks of your group are collected through the Federal reserve banks and/or correspondents.

V. Public relations

1. Is there any general prejudice in your territory against chain, group, or branch banking?

2. Do your banks offer any services to the public in addition to those offered by them before they were taken over? What are they?
3. Have there been any changes in the credit policies of any of your banks since they were acquired, with the result that certain types of borrowers find it more difficult to get credit? If so, have you had an undue amount of protest from these quarters with perhaps some propaganda against your group system?
4. That in general is the size and type of community served by your banks? In what size communities do the branches, if any, of your banks operate?
5. If the law permitted, would the management prefer to organize this group into a branch banking system? If not, would your banks organize branches in the smaller communities?
6. What in your opinion is the major cause of failure of so many banks in small rural communities? Will independent unit banks be able to operate successfully in most of these communities in the future? If not, do you think group banks can safely furnish deposit and credit facilities to such communities or can they be more satisfactorily taken care of by branch banking systems?
7. Has the Comptroller of the Currency or the State banking department been consulted at any time with respect to the formation of your group or its policies and activities?
8. Do you think that bank holding companies such as yours should be under the supervision of either the Comptroller of the Currency or the State banking department?

FEDERAL RESERVE BANK
OF
ST. LOUIS

Aug. 7, 1930

Mr. J. H. Riddle, Secretary,
Committee on Branch, Group and Chain Banking,
Federal Reserve Board,
Washington, D. C.

Dear Mr. Riddle:-

Complying with request in your letter of July 18, we forwarded the Committee's "Schedule of information on group banking systems" to five groups in this district with letter explaining the Committee's purpose and asking that they supply the information called for.

Two of the groups addressed have replied, and I am enclosing herewith their letters.

I will be pleased to forward any additional responses as they arrive.

Very truly yours,

Amo. S. Wood
Federal Reserve Agent.

Exhibit 79

The Bank of Kentucky Company

Louisville, Kentucky

FEDERAL RESERVE BANK

AUG 4 AM 9 01

AUG 4 PM 4 01

August 2, 1930.

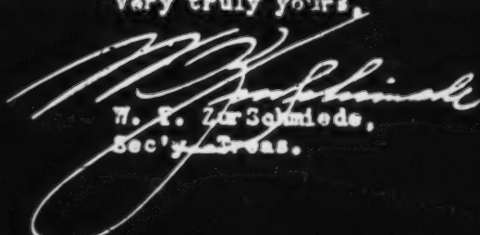
Mr. John S. Wood,
Federal Reserve Agent,
Federal Reserve Bank of St. Louis,
St. Louis, Mo.

Dear Mr. Wood:-

Enclosed herein find answers to your inquiries
and copy of By-laws and Charter.

Caldwell and Company are furnishing direct, list
of banks in which they have an interest.

Very truly yours,


W. F. Zerk,
Sec'y & Treas.

WTZ:CE
enc'l.

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THE BANCO KENTUCKY COMPANY

I.

1. The Banco Kentucky Company.
2. Louisville, Kentucky - July 16, 1929 - Delaware.
3. The ultimate controlling authority lies with The Banco Kentucky Company. For practical operation this authority is exercised through its president to the chief executives of the constituent Banks.
4. Charter and By-laws appended.
5. Common stock only - \$10.00 per value - 5,000,000 shares authorized - 2,500,000 shares issued; about 5,500 stockholders.
6. 49% held in Louisville - 53% held in cities where banks are located - none held by stock exchange houses.
7. Yes. Approximately 400,000 shares were sold by the Company at \$25.00 per share or \$10,000,000. No prospectus was furnished.
8. Stockholders have no liability other than their share ownership. Protection offered bank creditors has not been impaired by transferring ownership to the holding company.
9. James B. Brown, President.
Chas. F. Jones, Vice-President.
W. T. ZurSchniede, Secretary-Treasurer.
See annual statement for list of directors.
10. Statement May 1st, appended.
- 11.
- 12.
13. Book value as determined by special examinations and earnings.
- 14.

II.

1. Development has been largely a matter of choice.
2. Have not lost any volume of business in this manner.
3. No.
4. No.
5. No.

6. Banks owned are located in Louisville, Cincinnati, Covington, Ashland and Paducah, all of which are growing cities.
7. We have not had occasion to make such a survey.
8. Such occasion, so far, has not developed.

III.

1. At the present time a staff is being created, which will supervise the management of the banks.
2. All major transactions are submitted to the Company for approval.
3. The directors live in the communities of their banks with very few exceptions.
4. No changes in personnel of staffs have been made. We have not acquired any banks that were in trouble.
5. A central auditing force is now being formed.

IV.

- 1 - 9. We expect to have the policies of the banks uniform where possible and will accomplish this through the managing and auditing staffs.
10. No. The rates are governed by the prevailing rate in the community.
11. 2% on Checking - 3% Savings and Certificates.
12. Usually the same.
13. \$1.00 for \$5.00 - \$1.00 for \$10.00 - \$1.00 for \$5.50.

The remainder of your inquiries cannot be answered intelligently, because we are just beginning to reach the point of controlling the operations of the banks from a practical standpoint and these matters have not come to our attention in a practical way.

11. List of affiliated or controlled banks*

- 3 -

Name of bank	Location		Population of city	Date of acquisition	No. of branches if any		No. of shares issued	Per cent of issued shares owned by controlling agency	Book value		Price paid per share, if cash	Basis of exchange, if not cash	Paid-in capital	Surplus	Total deposits	Loans and investments
	City	State			In city	Outside city			At time of acquisition	At present						
St. Louis Bank	St. Louis	Mo	330000	9-14-29	1		40000	97%			50.00	2 for 1	400000	3735000	1145000	44516000
St. Louis Bank	St. Louis	Mo			3		17500	97%			50.00		175000	1604000	1413000	21636000
St. Louis Bank	St. Louis	Mo		5-1-30	-	-	3000	90%			350.00	8 for 1 cash	300000	384000	1974000	24540000
St. Louis Bank	St. Louis	Mo	44000	10-1-29	2		00000	80%			750.00	Stock or cash	600000	1283000	10886000	11674000
St. Louis Bank	St. Louis	Mo		10-28-24	3		50000	90%			900.00		500000	454000	14749000	12137000
St. Louis Bank	St. Louis	Mo	4000	12-28-24	-	-	6500	28%			250.00	Cash	650000	372000	8018000	7896000
St. Louis Bank	St. Louis	Mo	59000	12-13-24	14		600	10%			000.00	Cash	600000	188000	2341000	2185000
St. Louis Bank	St. Louis	Mo	75000	4-2-30			8000	90%			100.00	8 for 1	800000	321000	4638000	4666000
St. Louis Bank	St. Louis	Mo	25000	3-13-30			7500	95%			150.00	18 for 1	1500000	267000	2494000	1903000

*All information listed on this schedule should be as of a current or recent date except where otherwise stated.
 *Each bank's stock, purchase of stock or purchase of assets.

Branches any Outside city	No. of shares issued	Per cent of issued shares owned by controlling agency	Book value		Price paid per share, if cash	Basis of ex- change, if not cash	Paid-in capital	Surplus	Total deposits	Loans and investments	Number of members of F.R. at time of acquisition	Number of members of F.R. at present	Method of acquisition**
			At time of acquisition	At present									
	40000	97%			50.00	2 for 1	4000000	3735000	4145000	4456000	member	member	Exchange of stock
	17500	87%			50.00	2 for 1	1750000	1604000	1741500	21636000	member	member	of stock and cash
	3000	60%			35.00	100% cash	300000	384000	1979000	2254000	member	member	
	60000	80%			75.00	Stock or cash	600000	1283000	10886000	11624000	member	member	
	50000	40%			40.00		500000	454000	14749000	12137000			
	6500	28%			25.00	Cash	650000	372000	8018000	7846000	member	member	
	600	10%			40.00	Cash	600000	138000	2341000	2185000	member	member	
	8000	80%			100.00	8 shares for 1	8000000	321000	4638000	4666000	member	member	Exchange of stock
	7500	95%			50.00	15 shares for 1	1500000	267000	2494000	1903000			

except where otherwise stated.

12. List of other affiliated or controlled corporations, including securities companies, holding companies, insurance, mortgages, safe deposit, real estate companies, investment trusts, etc.

Caldwell's Co

*Exchange B
stocks*

*For example, stock trusted for bank stockholders, direct ownership, ownership by or through another affiliate, etc.

Exhibit 79

*Officers and Directors—
Certificate of Incorporation—
and By-Laws—
of
THE BANCO KENTUCKY COMPANY
Louisville, Ky.*

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OFFICERS

JAMES B. BROWN, President
 JOHN SITES, Vice President
 RICHARD BEAN, Vice President
 W. T. ZURSCHMEDE, Sec'y and Treas.

DIRECTORS

JOHN S. AKERS	SAMUEL P. JONES
HENRY F. ANGELMILLER	MILTON P. KELLEY
RICHARD BEAN	WALTER F. KOGIN
WILLIAM BLACK	BRYANARD LUMON
DOUGLAS E. BOOTH	S. CLAY LIGONS
CHARLES H. BOHMER	CHARLES C. MENGER
GEORGE D. BOHMER	BEN J. MCGILL
R. R. BOSWELL	J. WM. MILLER
JAMES B. BROWN	THOMAS J. MINARY
R. LEE CATHAM	DAVID C. MORTON
ANTHONY J. CARROLL	EDWARD J. O'BRIEN, Jr.
GEORGE M. CLARK	HENRY D. ORMSBY
SAMUEL W. COONS	JOHN B. PHILLIP
ALLEN P. DODD	BEN R. RICHMOND
NICHOLAS H. DOSKER	ARTHUR M. RUTLEDGE
STUART E. DUNN	WILLIAM SHOOT
JOSEPH H. DURHAM	WILLIAM S. SPEED
C. OSCAR EWING	JOHN SITES
JAMES GARNETT	SAM H. STONE
WALTER H. GIBBELL	E. LEVAND TAYLOR
ANGELMILLER GRAY	HORACE A. TAYLOR
JAMES J. HAYES	ROBERT E. VAUGHAN
T. KENNEDY HEIM	HENRY VOGLT
BAYLOR O. HICKMAN	E. WEINSTOCK
C. C. HILAT	

CERTIFICATE OF INCORPORATION

OF

THE BANCOKENTUCKY COMPANY

Incorporated under the Laws of Delaware

Name	FIRST: The name of this corporation is THE BANCOKENTUCKY COMPANY.
Offices	SECOND: Its principal office in the State of Delaware is to be located in the City of Dover, in the County of Kent, in the State of Delaware. The name of its resident agent is the United States Corporation Company, whose address is No. 19-21 Dover Green in said city.
Nature of Business and Powers	THIRD: The nature of the business, or objects or purposes proposed to be transacted, promoted or carried on are: The acquiring by purchase or otherwise of all or any portion of the capital stock, property, assets and franchises of any or all corporations transacting business in any State of the United States; the purchasing, holding, selling, assigning, transferring, mortgaging, pledging or otherwise disposing of shares of the capital stock of or any bonds, securities or evidence of indebtedness created by or issued by any other corporation or corporations organized under the laws of any State of the United States or of any foreign country and while the owner thereof to exercise all the rights, powers and privileges of ownership; the purchasing or otherwise acquiring of any promissory notes or other evidences of indebtedness executed by any person, firm, association or corporation; the financing, managing or operating of any commercial or manufacturing business or enterprise; the underwriting of any issue of stocks, bonds, debentures or other securities issued by any corporation; the charging of fees or commissions for
Buying and Selling stocks or bonds	
Buying notes, etc.	
Aiding Business Enterprises	
Underwriting of stocks and bonds	

Advice or Assistance to Persons, Firms, Corporations, Associations, etc.

any or all services rendered or advice or assistance given to any person, firm, association or corporation; acquiring and paying for in cash, stock or bonds of this corporation or otherwise, the good will, rights, assets and property of any person, firm, association or corporation and to undertake or assume the whole or any part of the obligations or liabilities of any person, firm or corporation.

Registrar or Transfer Agent

The corporation shall have power to act as registrar or transfer agent for any other association or corporation and to act as agent in general for any other person, firm, association or corporation.

Power to issue bonds, debentures, etc.

The corporation shall have full power to issue bonds, debentures or obligations of this corporation from time to time, for any of the objects or purposes of the corporation and to secure the same by mortgage, pledge, deed of trust or otherwise. The corporation shall have full power to purchase, hold, sell and transfer the shares of its own capital stock; provided it shall not use its funds or property for the purchase of its own shares of capital stock when such use would cause any impairment of its capital; and provided, further, that shares of its own capital stock belonging to it shall not be voted upon directly or indirectly.

Power to guarantee obligations of others

The corporation shall have full power to guarantee the obligations of any other person, firm, association or corporation and to become surety therefor and to become joint maker, endorser or acceptor of any such obligations and to charge fees and commissions for such services.

Power to acquire, hold and sell Real Estate

The corporation shall have full power without restriction or limit as to amount to purchase or otherwise acquire, hold, own, mortgage, sell, convey or otherwise dispose of real and personal

Exhibit 79

property of every class and description in any of the States of the United States, or any foreign country, subject to the laws of such States or foreign countries.

General Powers

In general, the corporation shall have full power to carry on any other business in connection with the foregoing whether commercial, manufacturing, financial or otherwise, and to have and exercise all the powers conferred by the laws of Delaware upon corporations formed under the act hereinafter referred to and to do any or all of the things hereinbefore set forth to the same extent as natural persons might or could do.

The foregoing clauses shall be construed both as objects and powers and it is hereby expressly provided that the foregoing enumeration of specific powers shall not be held to limit or restrict in any manner the powers of this corporation.

Capital

FOURTH: The total authorized capital stock of this corporation is Two Million (2,000,000) shares of common stock, each share of the par value of Ten Dollars, amounting in the aggregate to Twenty Million (\$20,000,000) Dollars and being a total authorized capital stock of Twenty Million (\$20,000,000) Dollars.

FIFTH: The minimum amount of capital with which the corporation will commence business is One Thousand (\$1,000.00) Dollars.

SIXTH: The names and places of residence of each of the incorporators are as follows:

NAME	RESIDENCE
M. S. Cook	DOVER, DELAWARE
A. L. Raughley	DOVER, DELAWARE
J. M. Townsend	DOVER, DELAWARE

**Perpetual
existence**

SEVENTH: This corporation is to have perpetual existence.

**Fully paid
stock**

EIGHTH: The private property of the stockholders shall not be subject to the payment of corporate debts to any extent whatever.

NINTH: Subject to the provisions of the "General Corporation Law" the number of Directors of the corporation shall be determined as provided in the By-Laws.

**Board of
Directors
and
By-Laws**

TENTH: In furtherance, and not in limitation of the powers conferred by statute, the Board of Directors is expressly authorized:

To make and alter the By-Laws of this corporation, to fix the amount to be reserved as working capital over and above its capital stock paid in, to authorize and cause to be executed mortgages and liens upon the real and personal property of this corporation.

**Books of
Company**

From time to time to determine whether and to what extent, and at which times and places, and under what conditions and regulations, the accounts and books of this corporation, (other than the stock ledger), or any of them, shall be open to inspection of stockholders; and no stockholder shall have any right of inspecting any account, book or document of this corporation except as conferred by statute, unless authorized by a resolution of the stockholders or directors.

**Executive
Committee**

If the By-Laws so provide, to designate two or more of its number to constitute an executive committee, which committee shall for the time being, as provided in said resolution or in the By-laws of this corporation, have and exercise any or all of the powers of the Board of Directors in the management of the business and affairs

of this corporation, and have power to authorize the seal of this corporation to be affixed to all papers which may require it.

**Board's
Power to
sell, lease,
or exchange
property
and assets
of Corpora-
tion**

Pursuant to the affirmative vote of the holders of at least a majority of the stock issued and outstanding, having voting power, given at a stockholders' meeting duly called for that purpose, or when authorized by the written consent of at least a majority of the holders of the voting stock issued and outstanding, the Board of Directors shall have power and authority at any meeting to sell, lease or exchange all of the property and assets of this corporation, including its good will and its corporate franchises, upon such terms and conditions as its Board of Directors deem expedient and for the best interests of the corporation.

This corporation may in its By-Laws confer powers upon its directors in addition to the foregoing, and in addition to the powers and authorities expressly conferred upon them by the statute.

**Place of
meeting of
stockholders**

Both stockholders and directors shall have power, if the By-Laws so provide, to hold their meetings, and to have one or more offices within or without the State of Delaware, and to keep the books of this corporation (subject to the provisions of the statutes), outside of the State of Delaware at such places as may be from time to time designated by the Board of Directors.

**Amend-
ments**

ELEVENTH: This corporation reserves the right to amend, alter, change or repeal any provision contained in this Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation.

Exhibit 79

WE, THE UNDERSIGNED, being each of the incorporators hereinbefore named for the purpose of forming a corporation to do business both within and without the State of Delaware, and in pursuance of the General Corporation Law of the State of Delaware, being Chapter 65 of the Revised Code of Delaware, and the acts amendatory thereof and supplemental thereto, do make and file this Certificate, hereby declaring and certifying that the facts herein stated are true, and accordingly have hereunto set our hands and seals this the 16th day of July, A. D., 1929.

M. S. COOK (SEAL)

A. L. RAUGHLEY (SEAL)

J. M. TOWNSEND (SEAL)

In the presence of:

L. C. HUTCHINS

as to all.

STATE OF DELAWARE }
COUNTY OF KENT } ss:

BE IT REMEMBERED, that on this 16th day of July, A. D., 1929, personally came before me, C. H. Jarvis, a Notary Public in and for the County and State aforesaid, M. S. Cook, A. L. Raughley and J. M. Townsend, parties to the foregoing Certificate of Incorporation, personally known to me to be such and severally acknowledged the said Certificate to be the act and deed of the signers respectively, and that the facts therein stated are truly set forth.

GIVEN under my hand and seal of office the day and year aforesaid.

C. H. JARVIS
Notary Public.

**BY-LAWS
OF
THE BANCO KENTUCKY COMPANY**

Office **SECTION 1:** The principal office of the corporation outside of the State of Delaware shall be located in Louisville, Jefferson County, Kentucky, and all meetings of stockholders may be held at such office.

Annual Meeting **SEC. 2:** The annual meeting of the stockholders shall be held on the second Tuesday in January of each year, at which time there shall be chosen such number of persons as may be determined by the stockholders to be Directors of the corporation for the ensuing year. A notice of such meeting shall be mailed to each stockholder at his post office address appearing on the records of the corporation at least ten days prior to the date of the meeting.

Special Meetings **SEC. 3:** Special meetings of stockholders may be called at any time by the President, or it shall become the duty of the President to call special meetings upon the written request of stockholders owning at least fifty per cent of the capital stock of the corporation.

Quorum **SEC. 4:** At all stockholders' meetings, stockholders owning at least fifty-one per cent of the capital stock of the corporation, issued and outstanding, and present either in person or by proxy, shall be necessary to constitute a quorum.

Eligibility of Stockholders to Vote **SEC. 5:** At all meetings of stockholders, the right of any stockholder to vote shall be governed and determined by the transfer records, only such persons being entitled to vote who appear as stockholders upon the records of the corporation. Votes may be cast either in person or by proxy.

Vacancies

SEC. 6: In case of a vacancy or vacancies by death, resignation or otherwise, occurring in the Board of Directors between annual meetings, the remaining Director or Directors shall fill the vacancy or vacancies by choosing as many persons as may be necessary to fill the vacancies.

Resignations

SEC. 7: Any Director may resign his office at any time, and the resignation shall take effect from the time of its acceptance by the Board of Directors.

**Election
of Officers**

SEC. 8: The Directors shall immediately after their election hold a meeting at which they shall choose from among their number a President and they shall also choose one or more Vice Presidents and a Secretary and Treasurer who may or may not be members of the Board and such other officers as may be necessary for the proper conduct of the business. The offices of Secretary and Treasurer may be filled by the same person and all of such officers shall hold their office at the pleasure of the Board of Directors.

**Rules
Regulations**

SEC. 9: The Directors may adopt such rules and regulations for the conduct of their business and the management of the affairs of the corporation as they may deem proper, not inconsistent with the laws of Delaware or these by-laws.

**Directors'
Meetings**

SEC. 10: The Directors may hold their meetings at the principal office of the corporation in Louisville, Kentucky. Notice of each meeting shall be given by mailing a notice thereof to each Director not less than three days before said meeting.

**Duties of
President**

SEC. 11: The President shall preside at all meetings of the Directors and shall perform such other duties as he may be directed to perform by the Directors and he shall have general supervision of the business and affairs of the corporation.

**Duties of
Vice Pres.**

SEC. 12: The Vice Presidents shall perform such duties and have such authority as the Board of Directors may determine.

**Duties of
Treasurer**

SEC. 13: The Treasurer shall deposit the money and securities belonging to the corporation in such bank or banks as may be selected by the Board of Directors. The Treasurer shall also keep such books of account as the Directors may direct and a report of the financial condition of the corporation shall be made by the Treasurer to the President or Board of Directors whenever so requested and a report of like character shall be submitted by the Treasurer at each annual meeting. The officers shall be required to execute such bond as may be required by the Directors.

**Duties of
Secretary**

SEC. 14: The Secretary shall record all the votes of the stockholders and Directors in a book to be kept for that purpose. He shall record all transfer of stock and cancel and preserve all certificates of stock transferred. He shall also keep a list of the stockholders of the corporation showing their places of residence and number of shares of stock held by them respectively from the time when they became the owners of such shares. He shall also keep the seal of the corporation and affix the same to all certificates of stock and such other instruments requiring the seal as may be directed by the Board of Directors. The Secretary shall also keep such other books and perform such other duties as may be assigned to him.

**Payments
how made**

SEC. 15: Payments on behalf of the corporation shall only be made by check of the corporation signed by such officers or other persons as may be designated by the Board of Directors.

**Certificates
signed**

SEC. 16: All certificates of stock shall be signed by the President or a Vice President and by the Secretary or an Assistant Secretary and be attested by the corporate seal.

**Stock
Transfers**

SEC. 17: Certificates of stock may be transferred or pledged by an endorsement to the proper effect in writing on the back of the certificate and delivery of such certificate by the transferor to the transferee; provided, that until notice given of such transfer to the Secretary of the corporation and the surrender of the certificate of stock for cancellation, and the issue of a new certificate, the corporation may regard and treat the transferor as being still the owner of the stock.

Seal

SEC. 18: The seal of the corporation shall be circular in form with the words "THE BANCO-KENTUCKY COMPANY" on the circumference.

**Fiscal
Year**

SEC. 19: The fiscal or business year of the Corporation shall begin on the first day of January and end on the thirty-first day of December of each year.

Dividends

SEC. 20: Dividends may be declared annually or more frequently if the Directors shall so direct, from the surplus or net profits of the corporation.

Agencies

SEC. 21: The corporation may establish such branch offices or agencies in any State of the United States or any foreign country as may be directed by the Board of Directors.

1794 79

**Amend-
ments**

Sec. 22: These by-laws may be amended at any directors' meeting by a vote of a majority of the whole Board of Directors. They may also be amended at any stockholders' meeting by a vote of stockholders owning not less than fifty-one per cent of the capital stock issued and outstanding.

PAID 10/21/30

CALDWELL & COMPANY

BANKERS

INVESTMENT BONDS

NASHVILLE TENNESSEE

October 21, 1930.

Mr. Charles F. Jones, Vice-President,
Banco Kentucky Company,
Louisville, Kentucky.

My dear Mr. Jones:

As you probably know, the Federal Reserve Board is conducting investigations in regard to branch banking, group banking and bank holding companies and several days ago the Atlanta Reserve Bank's Agent, Mr. J. R. McCravy, Jr., called on us at Nashville to secure detailed information on the Banco Kentucky Company setup and also the banks in Arkansas in which we are interested through the ownership of the Home Insurance Companies of Little Rock. I asked Mr. McCravy if the Banco Kentucky Company would not be approached for this information by the St. Louis Reserve Bank as the major part of your interests are in this bank's district, and he advised me that the Atlanta Bank had been assigned the Banco Kentucky Company and also the Arkansas banks. Mr. McCravy requested us to forward to you schedule which the Atlanta Bank would like to have filled out for the Federal Reserve Board and I am enclosing same herewith. If the information requested has already been prepared for the St. Louis Reserve Bank, of course it will not be necessary to duplicate same in this instance.

With kind regards, I am

Very truly yours,

Thos. W. Goodloe.
Buying Department.

TWG:PR.
Encl.

F. R. Board
Form No. 5386d
Nov. 1929

Changes in Group or Chain Banking

Federal Reserve District

No. 6

Name and Address of management or
controlling interest in banking chain or group ROGERS CALDWELL, NASHVILLE, TENN.

DEGREE OF CONTROL	Date effective	Name and location of banks added to or withdrawn from chain or group	Member or non- member	Capital and Profits	Loans and Investments
				(in thousands of dollars (if omitted))	
		Bank of Tennessee, Memphis, Tenn.	Non	500	353
		4th & 1st National Bank, Nashville, Tenn.	Mem	4 000	6 000
		Holston Union National Bank, Knoxville, Tenn.	Mem	750	998
		Union National Bank & Tr. Co., Memphis, Tenn.	Mem	5 500	8 127
		Memphis Union Bank & Tr. Co., Memphis, Tenn.	Non	700	1 712
		Exchange National Bank, Little Rock, Ark.	Mem	400	302
		Nashville Trust Co., Nashville, Tenn.	Non	800	1 181
		American Bank & Trust Co., Memphis, Tenn.	Non	100	71
		Alexander's Bank & Trust Co., Alexandria, Tenn.	Non	25	5
		South Knoxville Bank, Knoxville, Tenn.	Non	35	2
		Holston Trust Co., Knoxville, Tenn.	Non	250	66
97%	9-11-29	National Bank of Ky., Louisville, Ky.	Mem	4 000	5 235
97%	1-11-30	Louisville Trust Co., Louisville, Ky.	Mem	1 760	1 604
90%	8-1-30	Seaboard Bank, Louisville, Ky.	Non	300	382
80%	10-1-29	Fourth Market Bank & Trust Co., Cincinnati, Ohio	Mem	600	1 285
90%	10-29-29	Brighton Bank & Trust Co., Cincinnati, Ohio	Mem	500	454
98%	12-28-21	Bankers Life & Tr. Co., Covington, Ky.	Non	650	372
90%	12-13-29	Central Savings Bank & Tr. Co., Covington, Ky.	Non	60	168
90%	4-2-30	Ashland National Bank, Ashland, Ky.	Mem	800	321
95%	3-13-30	First National Bank, Paducah, Ky.	Mem	150	267

Maurice L. Galvin
Frank H. Tracy
J. Paul Dougherty
Lewis Gray
Robt. G. Brownman

Law Offices
Galvin & Tracy
1812-1813 First National Bank Bldg.
Cincinnati

February 21, 1930.

Chas. F. Jones, Esq.,
National Bank of Kentucky,
5th & Main Sts., Louisville, Ky.

Dear Mr. Jones:

I have been elected a director of the Central Savings Bank and Trust Company of Covington, and it will be necessary for the Banco Company to put five shares of Central Bank & Trust Company stock in my name so that I may qualify as a director. Will you please have this attended to and oblige.

Very truly yours,

Maurice L. Galvin

February 24, 1960

Mr. Maurice L. Galvin
1812 First National Bank Bldg.,
Cincinnati, Ohio

Dear Mr. Galvin:--

Your letter of February 21st, addressed
to Mr. Jones, has been handed me for attention.

We are having the Central Savings Bank
& Trust Company issue five shares of their stock in your
name, which will qualify you as a Director in that Bank
and have instructed them to present the certificate to you
for endorsement - returning to us when this has been
accomplished.

With kindest regards, Dear Sir,

Very truly yours,

W. F. DON SCHMIDT
SNO-Y-THAS

Exhibit 83.

Garvin P. Galvin
Frank H. Tracy
J. Paul Geophagen
Lewis Levy
Rebt. A. Brunsman

Law Offices
Galvin P. Tracy
1812-1813 First National Bank Bldg.
Cincinnati

April 15, 1930.

Tom Zurschneide, Esq.,
5th & Main Streets,
Louisville, Ky.

Dear Sir:

I am sending you herewith dividend check of the Central Savings Bank and Trust Co., payable to my order, for \$30.00, which I have endorsed to the order of Banco-Kentucky Co.

Please acknowledge receipt and oblige.

Very truly yours,

EXHIBIT 54

Law Offices
Arthur F. Toney
1822-1823 First National Bank Bldg
Cincinnati

April 18, April 24, 1900

Mr. Maurice J. Galvin,
1818 First National Bank Bldg,
Cincinnati, Ohio

Mr. Maurice J. Galvin, herewith dividend check of the Cen-
tral Trust and Savings Bank, payable to my order, for
Cincinnati, Ohio, which is addressed to the order of Hancock-Kentucky Co.

Dear Mr. Galvin: Please receipt and oblige.

We acknowledge receipt of your
letter of April 18th enclosing check, payable to your
order and endorsed, which represents dividend, due
April 18th, on five (5) shares Central Trust and
Savings Bank and Trust Company capital stock in your name and
held by us.

Very truly yours,

W. F. Toney
Attorney at Law

1800

Maurice L. Galvin
Frank. W. Tracy
J. Paul Dougherty
Lewis Levy
Robt. G. Aronson

Law Offices,
Galvin & Tracy,
1812-1813 First National Bank Bldg.
Cincinnati

October 17, 1930.

Tom Zurschmiede, Esq.,
Cashier National Bank of Kentucky,
5th & Main, Louisville, Ky.

Dear Sir:

I am sending you herewith check for \$30.00, dividend of the Central Bank and Trust Company for stock standing in my name, belonging to Banco-Kentucky Company, which I have endorsed to it.

I am also sending you herewith check for \$375.00, being semi-annual dividend on stock of the Union Central Life Insurance Co., belonging to Banco-Kentucky, standing in the name of Mr. Crabbs.

Will you please acknowledge receipt of these checks and oblige.

Very truly yours,

1801

Exhibit 86

PLAINTIFFS
EXHIBIT NO. 86
OCT 1 1935
Paul C. [illegible]
OFFICIAL REPORTER

March 7th, 1930

Mr. Jesse Weil, Vice-President,
First National Bank
Paducah, Kentucky

Dear Mr. Weil:--

At a meeting of the Board of Directors of THE BANCOKENTUCKY COMPANY, held March 7th, 1930, the plan for exchange of stock of THE BANCOKENTUCKY COMPANY for the stock of the First National Bank of Paducah, Kentucky, was unanimously approved by the Board on the following basis:

Twenty-seven thousand (27,000) shares of THE BANCOKENTUCKY COMPANY at twenty-five (\$25.00) dollars per share, making a total of six hundred seventy-five thousand (\$675,000.00) dollars for the entire capital stock of the First National Bank of Paducah, Kentucky - par value one hundred fifty thousand (\$150,000.00) dollars; or, ninety (\$90.00) dollars per share for each share of twenty (\$20.00) dollars par value; or, four hundred fifty (\$450.00) dollars per share for each share of one hundred (\$100.00) dollars par value.

It is understood that while this proposition is made for all the stock of the First National Bank of Paducah, Kentucky, the trade will be effective whenever fifty-one (51%) per cent par value of the outstanding stock has accepted and made the exchange.

This offer is binding on us for a period of thirty days from March 10th, 1930.

Very truly yours,

JAMES B. BROWN
PRESIDENT

JBE-D

Exhibit 87

Kshland National Bank
Kshland, Kentucky

JNO. E. BUCKINGHAM, PRESIDENT

PLAINTIFF'S
EXHIBIT
OCT 1
OFFICIAL REPORTER

March 31, 1930

Mr. J. B. Brown, President
The Banco-Kentucky Company
Louisville, Kentucky

Dear Mr. Brown:

I am sending you herewith letter to be sent out to our stockholders tomorrow afternoon. We trust you will approve of this form of letter. We feel that it is the proper way in which to present it to our people and that their reaction to this slant of the transaction will be favorable.

The information will be released for publication in tomorrow afternoon's Independent, and presuming that you might be interested in having a copy of the paper, we will mail one to you.

In your conversation last night you suggested that I come to Louisville one day this week. If Thursday night would suit your convenience, please advise me and I will arrange to see you at that time.

With best wishes, I am

Yours very truly,

Jno. E. Buckingham

President

JEB:A

Exhibit 87

ASHLAND NATIONAL BANK

ASHLAND, KENTUCKY

April 1, 1930.

To the Stockholders of the Ashland National Bank:

An invitation has been extended to the Ashland National Bank by the Banco-Kentucky Company to become a member of a group of banks being formed by it in Kentucky and nearby states.

The Banco-Kentucky was organized for the purpose of forming such a group.

It is proposed to effect this association between the Ashland National Bank and Banco-Kentucky by an exchange of stock on the basis of eight shares of Banco-Kentucky stock for one share of Ashland National Bank stock.

This proposed association and exchange of stock has received the careful consideration of your executive officers and directors and is unhesitatingly approved by them and they recommend that you exchange your stock on the basis proposed. A resolution to that effect was adopted at the regular meeting of the Board of Directors held today.

Banco-Kentucky stock is listed on the Chicago and Louisville stock exchanges and is traded in daily, thereby affording for it a ready market. Its par value is \$25.00 per share. Recent sales have been around \$25.00 per share. Its present established dividend rate is \$1.00 per share annually, payable quarterly.

An exchange on the basis proposed will afford you the same return that you are now receiving.

All of the stock of the Ashland National Bank can be exchanged on the basis stated above. Fifty-one per cent must be deposited to make the plan effective.

You may exchange all, any part, or none of your stock, as you may determine.

Should you elect to retain your stock and not exchange it, we can assure you that there will be no change in this bank's attitude toward you.

Should the plan become effective, there will be no change in the bank's management. The same officers and directors will continue to manage its affairs. The bank will be conducted along the same lines as heretofore, but with enlarged facilities for doing business and in better position to serve its patrons and the needs of this community.

Should you desire to take advantage of the opportunity presented and exchange your stock, your certificates, assigned in blank and properly witnessed, must be deposited with the Ashland National Bank before the close of banking hours on April 10th, 1930.

If by that time fifty-one per cent of the stock has been so deposited certificates of Banco-Kentucky stock representing eight shares for each share of Ashland National Bank stock deposited by you will be delivered to you, when received from the Banco-Kentucky Company.

If by that time fifty-one per cent of the stock has not been deposited your certificates will be returned to you unless time for receiving deposit of stock is extended, which may be done by your Board of Directors.

Your assignment of Ashland National Bank stock must include your stock in the Ashland National Company. Bank stock that does not carry Ashland National Company stock will only be entitled to receive seven and one-half shares of Banco-Kentucky stock in exchange for each share of bank stock.

If you desire further information, the officers of the bank will be glad to have you call at the bank and discuss this matter with them.

JOHN E. BUCKINGHAM

JOHN RUSSELL

T. A. FIELD

R. R. REVILL

Executive Officers.

Ashland National Bank

Ashland, Kentucky

JNO. E. DUCKINHAM, President

November 5, 1930

Mr. James B. Brown, President
The BancoKentucky Company
Louisville, Kentucky

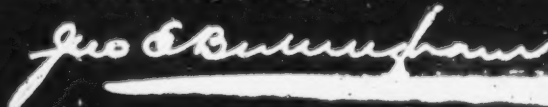
Dear Mr. Brown:

I am writing you concerning slump in the market for BancoKentucky stock. We are besieged with inquiries from stockholders who converted their Ashland National Bank stock into Banco. Being unable to give them any satisfactory explanation of the slump in the price of Banco stock, we are writing you in hopes that you may be able to give us something more than the usual explanation we have been giving them, - that it is due to general market conditions.

This does not seem to satisfy them. They feel that Banco stock has slumped more in proportion to other bank stocks and figure that the slump grows out of deal with Caldwell & Company. This situation is not only embarrassing but is hurtful to our business. On this account we trust that you will write us a letter giving us such information as might prove beneficial.

With best wishes, I am

Yours very truly,



President

JED:LA

Exhibit 89

SECURITY BANK

Louisville
Kentucky

April 3, 1930

To The Stockholders Addressed:

At a meeting of the Board of Directors held on _____, a proposition was submitted to the Board for the purchase of all of the outstanding capital stock of your Company by THE BANKOKENTUCKY COMPANY at a price of three hundred fifty (\$350.00) dollars per share, the terms being -

UPON DELIVERY OF STOCK:

- (a) Fourteen (14) shares of THE BANKOKENTUCKY COMPANY capital stock, par value ten (\$10.00) dollars per share, for each share Security Bank stock of the par value of one hundred (\$100.00) dollars; or -
- (b) Ten (10) shares of THE BANKOKENTUCKY COMPANY capital stock, par value ten (\$10.00) dollars per share, and one hundred (\$100.00) dollars cash, for each share Security Bank stock of the par value of one hundred (\$100.00) dollars.

The capital stock of THE BANKOKENTUCKY COMPANY to be taken in exchange is on the basis of twenty-five (\$25.00) dollars per share.

After due consideration of said proposition by your Board of Directors, it was unanimously resolved to recommend the acceptance thereof; and, in accordance therewith, we are enclosing herewith letter of transmittal to which attach your stock - indicating the manner of payment you want for your stock and deliver same to this bank.

Under this plan THE BANKOKENTUCKY COMPANY must receive at least fifty-one (51%) per cent of the outstanding capital stock of your Company.

Kindly let this matter have your prompt consideration.

Very truly yours,

The Security Bank
Louisville, Ky

I, the undersigned, do hereby agree to exchange
shares of the capital stock of the Security Bank under the proposition
of The Bancor-Kentucky Company, and do hereby agree to accept in payment
thereof at the rate of \$350.00 per share, for each share of \$100.00
par value.

- (a) Fourteen (14) shares of THE BANCOR-KENTUCKY COMPANY
capital stock, par value ten (\$10.00) dollars per
share, for each share Security Bank of the par
value of one hundred (\$100.00) dollars per share.
- (b) Ten (10) shares of THE BANCOR-KENTUCKY COMPANY
capital stock, par value ten (\$10.00) dollars per
share and one hundred (\$100.00) dollars cash, for
each share Security Bank stock of the par value
of one hundred (\$100.00) dollars per share.

(Sign here).....

P.S. - Indicate above the manner in which you want payment for your
stock by putting an X mark opposite (a) or (b). Attach your
stock to this acceptance properly endorsed and deliver it to
the Bank, receiving receipt therefor.

Maurice L. Galvin
Frank A. Tracy
J. Paul Geophagen
John L. Reed
Lewis Levy

Law Offices
Galvin & Tracy
1212-1213 First National Bank Bldg.
Cincinnati

November 23, 1929.

Charles F. Jones, Esq.,
National Bank of Kentucky,
5th & Main Sts., Louisville, Ky.

Dear Sir:

I am sending you herewith certificate IC34 for 50 shares of
Brighton Bank & Trust Company, placed in my name by Banco-Kentucky Com-
pany so that I may qualify as a director. The stock belongs to the
Banco-Kentucky Company.

Please acknowledge receipt and oblige.

Very truly yours,

Maurice L. Galvin

Maurice L. Galvin
Frank. H. Tracy
J. Paul Vaughan
John T. Rich
Lewis Levy

Law Offices
Galvin & Tracy
1812-1813 First National Bank Bldg
Cincinnati

December 16, 1929.

Chas. F. Jones, Esq.,
Cashier National Bank of Kentucky,
5th & Main St., Louisville, Ky.

Dear Sir:

I am sending you herewith check for \$52.50, payable to my order, being dividend on stock standing in my name in the Brighton Bank & Trust Co. I have endorsed this check to the order of Bancokentucky and am sending same to you herewith, as the dividend on this stock belongs to Bancokentucky.

Will you please acknowledge receipt and oblige.

Very truly yours,

Maurice L. Galvin

18c

Exhibit 90 3

December 18, 1929

Mr. Maurice L. Galvin,
1812 First National Bank Bldg.,
Cincinnati, Ohio

Dear Mr. Galvin:—

We have today received your
letter of December 14th, addressed to Mr. Jones,
enclosing Certificate No. 201 for fifty (50) shares
capital stock, The Pearl-Market Bank and Trust
Company, endorsed in blank, which represents your
director's qualifying shares in this Company.

With kindest regards, I

remain,

Very truly yours,

W. F. LUN FURNISH
STOCK-TEXAS

D

1810

James G. Gentry
John G. Gentry
John G. Gentry
John G. Gentry

1815-1816
Cincinnati

Cincinnati, Ohio, Dec. 14, 1920.

Chas. F. Jones, Esq.,
National Bank of Kentucky,
5th & Main Sts., Louisville, Ky.

Dear Mr. Jones:

I am sending you herewith certificate No. 291
for 50 shares of Pearl Market Bank and Trust Co.,
in my name, which I have endorsed in blank. This stock be-
longe to Banco-Kentucky, and is in my name to qualify me as a
director.

Will you please acknowledge receipt and oblige.

Very truly yours,

Manuel G. Gentry

Maurice L. Galvin
Frank M. Tracy
J. Paul Dougherty
John L. Smith
Louis Gray

January 2, 1933.

W. T. BarSchmidt, Esq.,
Sec. The BancKentucky Co.,
8th & Main Sts., Louisville, Ky.

Dear Sir:

I am sending you herewith three separate dividend checks, two from the Pearl Market Bank & Trust Co., one from the Brighton Bank & Trust Co., payable to my order, endorsed to the order of The BancKentucky Co., these dividends belonging to The BancKentucky Co., the checks being as follows, to-wit:

Pearl Market Bank & Trust Company, regular dividend \$18.00, extra dividend \$22.50;
Brighton Bank & Trust Co., \$18.00

Please acknowledge receipt and oblige.

Very truly yours,

Maurice L. Galvin

~~183~~

✓ 37
Extra 4½%

183

Marion L. Galt
Frank H. Galt

1812-1813 First National Bank
Cincinnati

April 1, 1938

Tom Lurschniede, Esq.,
National Bank of Kentucky,
5th & Main St., Louisville, Ky

Dear Sir:

Mr. Maurice L. Galt,
1812 First National Bank Cincinnati three months ago
to my attention as follows:

Dear Mr. Galt,
P. O. Box 1812, Cincinnati, Ohio
Letter of April 1st enclosing three checks
to your order and enclosed for
P. O. Box 1812, Cincinnati, Ohio
(a) National Bank of Kentucky
(b) First National Bank of Cincinnati
(c) First National Bank of Cincinnati

Please acknowledge

Yours, I remain,

PLAINT
EXHIBIT NO. 90-6
OCT 18 1938

1812

Law Offices,
Gabriel & Tracy
1212-1213 First National Bank Bldg.
Cincinnati

Tom Zurschneide, Esq.,
National Bank of Kentucky,
5th & Main St., Louisville, Ky.

I am sending you herewith three separate checks, payable to my order, as follows:

- I. Brighton Bank & Trust Co. -\$15.00
 2. Pearl Market Bank & Trust Co., regular dividend-\$15.00
 3. " " " " " , extra dividend \$15.00

These checks are for dividends on stock from the Brighton Bank and Pearl Market Bank standing in my name, belonging to Banco, and I have endorsed each of the checks to the order of Banco-Kan-Tucky Company.

Please acknowledge receipt and oblige.

Very truly yours,

Very truly yours,
Maurice L. Galvin

Exhibit 90 8.

Maurice L. Galvin
Frank H. Tracy
J. Paul Geoghegan
Lewis Levy
Robt. G. Brunsman

Law Offices
Galvin & Tracy
1812-1813 First National Bank Bldg.
Cincinnati

March 25, 1932.

Tom Zurschneide, Esq.,
National Bank of Kentucky,
5th & Main Sts., Louisville, Ky.

Dear Tom:

I am sending you a check for the right to print
Company for \$15.00 payable to you, being extra dividend on the
Brighton Bank & Trust Co. stock received March 14th. I have en-
dorse this check to the order of Bank of Kentucky, and am enclosing
same to you herewith.

Very truly yours,

Maurice L. Galvin

Maurice L. Galvin
Frank H. Tracy
J. Paul Goughman
Lewis Long
R. G. Brown

Law Offices,
Galvin & Tracy
1812-1813 First National Bank Bldg.
Cincinnati

Davis

April 11, 1930.

Thomas Zurschmeide, Esq.,
Cashier National Bank of Kentucky,
5th & Main Sts., Louisville, Ky.

Dear Sir:

I am sending you herewith my check for \$30.00 being dividend received by P. Lincoln Mitchell on stock standing in his name in the Pearl Market Bank & Trust Co. belonging to Banco-Kentucky. Mr. Mitchell gave me the cash for this dividend and asked me to send check to you.

Very truly yours,

Maurice L. Galvin

July 2, 1930.

Mr. Maurice L. Galvin, Att'y.,
1812 First National Bank Bldg.,
Cincinnati, Ohio.

Dear Mr. Galvin:-

Wish to acknowledge receipt of your letter of July 1st, enclosing two dividend checks for \$15.00 each on the Brighton Bank & Trust Co., and two dividend checks for \$15.00 each on the Pearl-Market Bank & Trust Co., representing 50 shares of stock in these two Banks standing in your name.

Very truly yours,

W. T. Burdick,
Soc'y.-Treas.

D:CB

1817

Maurice L. Galvin
Frank H. Tracy
J. Paul Dougherty
Lewis Long
John C. Brownman

Law Offices
Galvin & Tracy
1812-1813 First National Bank Bldg.
Cincinnati

October 1, 1930.

Tom Zurschweide, Esq.,
Commerical Bank of Kentucky,
511 Main St., Louisville, Ky.

Dear Sir:

I enclose herewith four dividend checks, two
of the Pearl Market Bank and two of the Brighton Bank, each
payable to me for \$15.00, which I have endorsed to the order of
Barco-Kentucky Company.

Very truly yours,

THE BRIGHTON BANK & TRUST CO.

CINCINNATI, OHIO

GUSTAVE H. MOSLER
PRESIDENT

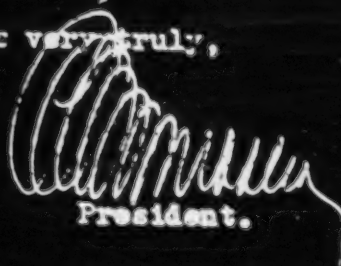
April 1, 1930.

The Banc of Kentucky Company,
Louisville, Ky.

Gentlemen:

Enclosed please find two checks for \$15.00 each,
same representing the regular and extra dividend on the
qualifying shares of Pearl Bank & Trust Company
stock standing in my name.

Yours very truly,



President.

encl.

P. S. I am also enclosing similar checks payable to
R. Heidacher and endorsed by him.

April 24, 1930

Mr. Gustave W. Mosler, President,
The Brighton Bank and Trust Co.,
Cincinnati, Ohio

Dear Mr. Mosler:-

We acknowledge receipt of your letter of April 1st enclosing two checks for \$15.00 each, payable to your order and endorsed over to us, representing the regular and extra dividend on the qualifying shares of Pearl Street Bank and Trust Company stock in your name; also, similar checks of Mr. Weidacher, endorsed over to us.

Thanking you and with kindest regards,
I remain,

Very truly yours,

W. T. DE CHATEL
SECRETARY

32
January 14th, 1930

Mr. Joseph A. Helms, Executive Vice-President,
The Pearl-Market Bank and Trust Company
Cincinnati, Ohio

Dear Mr. Helms: -

I am sending to you herein, for cancellation and reissuance, Certificate No. 226 for three hundred forty (340) shares capital stock, THE PEARL-MARKET BANK & TRUST COMPANY, registered in the name of THE BARCKENKUTKY COMPANY, duly endorsed, with signature witnessed.

Please reissue the above certificate, as follows:

JOHN W. LEWIS	-	50 Shares
POLE LAFPOON	-	50 Shares
MR. LINCOLN MITCHELL	-	50 Shares
GUSTAVE M. MOBLER	-	50 Shares
J. J. WEIDACHER	-	50 Shares
JAMES D. BROWN	-	50 Shares
THE BARCKENKUTKY COMPANY	-	40 Shares

We assume you carry the addresses of the above on your books.

The six fifty share certificates issued represents Directors qualifying shares and we would, therefore, appreciate your having all of these gentlemen (with the exception of Mr. Brown, which can be done here) endorse their certificate and have signature witnessed.

When this has been accomplished, kindly return the entire seven certificates, or three hundred forty (340) shares to us at your very earliest convenience.

If there are any charges in connection with the above transfer, kindly advise and we will send check to cover.

Thanking you and, with kindest regards, I remain,

Very truly yours,

57
1 Incl
Registered

W. T. BUN SCHMIDT
SEC'Y-TREAS

1832

April 11, 1909

Mr. F. Lincoln Mitchell,
c/o The Pearl-Market Bank and Trust Co.,
Cincinnati, Ohio

Dear Mr. Mitchell: -

We hold, issued in your name, certificates for fifty (50) shares of the capital stock of the Pearl-Market Bank and Trust Company, representing your Director's Qualifying Shares in that Bank.

The regular dividend of 3% and an extra dividend of 3% on the above stock was paid April 1st.

We would very much appreciate your forwarding to us these checks, duly endorsed.

Thanking you and with kindest regards, I remain,

Very truly yours,

W. F. BURNHAM
PRESIDENT

April 11, 1909

Mr. John W. Lewis,
c/o The Pearl-Becket Bank and Trust Co.,
Cincinnati, Ohio

Dear Mr. Lewis: -

We hold, issued in your name, certificate for fifty (50) shares of the capital stock of The Pearl-Becket Bank and Trust Company, representing your Director's Qualifying Shares in that Bank.

The regular dividend of \$1 and an extra dividend of \$1 on the above stock was paid April 1st.

We would very much appreciate your forwarding to us these checks, duly endorsed.

I remain,

Thanking you and with kindest regards,

Very truly yours,

W. F. McVICKER
PRESIDENT

The Pearl Market Bank & Trust Co.

SEVENTH & RACE STREETS

CAPITAL SIX HUNDRED THOUSAND DOLLARS
SURPLUS & PROFITS OVER ONE MILLION DOLLARS

SAMUEL LEHMAN, President

JOSEPH A. HELMERS, Cashier

WALTER A. RYAN, Vice Pres.
CHAS. J. STERN, Asst. Pres.
GEO. F. HARDING, Asst. Cashier
LAUR. MITTER, Jr. Asst. Cashier



R. W. STREICHER, Asst. Cashier
LARRY S. HELMERS, Asst. Cashier
HUMBERT BAER, Asst. Cashier
HOWARD J. CASEY, Asst. Cashier

MEMBER FEDERAL RESERVE SYSTEM

BRANCHES
PEARL & MAIN STREETS
READING RD & ROCKDALE AVE

CINCINNATI, OHIO

January 17, 1930

BancoKentucky Co.,
Louisville, Ky.

Gentlemen:

Attn: Mr. T. Zinschmied, Secy-Treas.

Replying to your letter of January 14th, enclosing certificate number 190 for 340 shares of the capital stock of The Pearl-Market Bank and Trust Company, registered in the name of The BancoKentucky Company, in lieu of which we have issued the following certificates. As per your instructions, we have had all of these certificates endorsed and witnessed.

Cert. #316	John W. Lewis	50 shrs.
" #317	Polk Laffoon	50 "
" #318	P. Lincoln Mitchell	50 "
" #319	Gustave M. Mosler	50 "
" #320	J. J. Heidacher	50 "
" #321	James B. Brown	50 "
" #322	The BancoKentucky Co.	40 "

*all OK
in 8*

We ask that you kindly furnish us with a resolution authorized by your Board of Directors for the transfer of this stock.

We also kindly ask that you let us have 60¢ in stamps to cover the transfer tax.

Kindly acknowledge receipt of the above certificates, and oblige

Yours very truly,

HB RM
encl
insured
register 276728

HUMBERT BAER
Asst. Cashier

1825

Exhibit 96

THE LOUISVILLE NATIONAL COMPANY

UNIFIED WITH
THE LOUISVILLE NATIONAL BANK AND TRUST COMPANY
ESTABLISHED 1897

LOUISVILLE, KENTUCKY

EDWARD G. GIBBS, PRESIDENT
WILLIAM H. GIBBS, EXECUTIVE VICE PRESIDENT
JOHN J. GIBBS, VICE PRESIDENT

E. HENNING, VICE PRESIDENT
JOHN V. COLLIS, VICE PRESIDENT
L. H. HENNING, SECRETARY

J. W. WINTERS, TREASURER
S. A. DUNSON, ASSISTANT SECRETARY
C. F. LEATHERS, ASSISTANT TREASURER

INVESTMENT SECURITIES DEPARTMENT

JOHN G. GIBBS, MANAGER
S. A. DUNSON, ASSISTANT

February 1, 1929

PLAIN-TEXT
EXHIBIT
OCT 18 1936
OFFICIAL REPORTER

Mr. Chas. F. Jones,
or
Mr. John Akers,
National Bank of Kentucky,
Louisville, Kentucky.

Gentlemen:-

We have just bought a block of the stock of the National Bank of Kentucky at \$45.00 a share from the Hiatt crowd.

This Company can handle some of it but, of course, we can borrow only \$125,000.00 from The Louisville National Bank & Trust Co., as that is our legal limit.

This Company would like to borrow \$100,000.00, on its plain unsecured note, from you for thirty days. Of course, we will lodge with you personally, 40 shares of stock and we want you to let us draw that down and resell this stock to new stockholders.

A few days ago Mr. J. B. Brown heard of what we were trying to do and offered to help us on the transaction.

This is our suggestion of the method. Will you kindly let us have as early an answer as practicable?

Very truly yours,

RB-LC

President.

Exhibit 97

THE BANCO KENTUCKY COMPANY capitalized at \$20,000,000, with a surplus of \$30,000,000, was recently organized in Louisville for the purpose of buying, holding and controlling banks in Louisville and surrounding cities. Stockholders of National Bank of Kentucky-Louisville Trust Company Unified stock exchanged their stock for stock in the new company at the ratio of one for two shares. The remaining stock was privately subscribed for by individuals and several large banking houses.

The Banco Kentucky Company is the largest company of its kind operating in the South and it is expected that the acquisition of large banks in this section will be announced shortly. The company recently acquired control of the Brighton Bank & Trust Company and the Pearl-Market Bank & Trust Company of Cincinnati. These banks will be merged and operated as the Brighton-Pearl-Market Bank & Trust Company. Gustave M. Mosler, President of the Brighton Bank, will head the combined Brighton-Pearl-Market institutions. The \$10 par value shares have been listed on the Chicago Stock Exchange and on the Louisville Stock Exchange. The present resources of the new institution are around \$215,000,000. Mr. James B. Brown, President of the National Bank of Kentucky, is president of the new company.

The dividend policy of the Banco Kentucky Company has not yet been announced.

Banco Kentucky stock has advanced rapidly in the Louisville and Chicago markets and has been traded in large volume since Banco Kentucky certificates were issued October 1st. The stock should be bought as an investment to be held over a period of time rather than as a speculation, for several points' profit. For the patient holder the stock has tremendous potential value.

CV
clipping from market letter of EW Hayes
97
1827

Chicago Statistics Company

ROOM 420-137 SO. LA SALLE ST.
CHICAGO, ILL.

November 5, 1929

*File
11/5/29*

The Bancor Kentucky Company
Louisville
Kentucky

Attention: J. B. Brown, President

Gentlemen:

We have been informed by the Chicago Stock Exchange that you have within the past few months listed the Common Stock of your Company upon our local market. We compile and publish, with the cooperation of the Chicago Stock Exchange, a Manual which includes an analysis of every listed security. Our next edition will go to press within a short time and will contain an analysis of your Company.

Accordingly, we are enclosing herewith two tentative copies of the material prepared by us. We should appreciate your checking this material and returning one copy to us either with your approval or with such changes as you deem advisable, at your earliest convenience. We should also appreciate receiving any figures regarding your Company which you might have available at this time.

We feel that it is to your advantage to have the opportunity to examine these figures before we publish them and we are certain that you appreciate the necessity for a careful and complete check of our compilation.

Your kind cooperation with us in this work, we assure you, will be greatly appreciated.

Very truly yours,

CHICAGO STATISTICS COMPANY

J. L. Bett
J. L. Bett
Secretary

THE HANCOCK TRUST COMPANY

INCORPORATED - In Delaware, July 18, 1929, to acquire a controlling interest in the NATIONAL BANK of Kentucky-Louisville Trust Company and in other state and national banks located primarily in Kentucky, Ohio, and Indiana.

MANAGEMENT - J. B. Brown, Pres.; John Hites and Richard Dean, V.P.'s; W. T. Durechinski, Sec'y & Treas.

GENERAL OFFICE - Louisville, Kentucky.

BRANCHES - At Chicago - Harris Trust and Savings Bank.

BRANCHES - At Chicago - Continental Illinois Bank and Trust Company.

ANNUAL MEETING - Second Tuesday in January.

PAYMENT - Before December 31.

CAPITALIZATION

COMMON STOCK - \$10 Par Value. Auth., 2,000,000 shs.; Outst., ^{1,750,000} shs.

CONDENSED COMPARATIVE STATISTICS

The Company, having recently been formed, has not published any statement other than Net Worth as of October 1, 1929, aggregating \$40,700,000.

PRICE RANGE FOR YEARS ENDING DECEMBER 31

Common Stock - High

Low

Present Dividend Rate -

Latest Ex-Dividend Date -

October 10, 1929 - Acquired 90% of the stock of The Brighton Bank & Trust Company, Cincinnati, Ohio.

October 10, 1929 - Acquired 80% of the stock of The Pearl Market Bank & Trust Company, Cincinnati, Ohio.

Exhibit 99

23A

**PARIS AND PEARL
CINCINNATI BANKS ADDED
TO BANCO KENTUCKY CHAIN**

as cited in The Herald

CINCINNATI--The Brighton Bank and Trust Company and the Pearl Market Bank and Trust Company here have been acquired by the Banco Kentucky, in which James B. Brown, Louisville, Ky. publisher has a controlling interest.

The two banks will become a part of a Middle West chain of banks which Brown is forming after the model of great Canadian banks which have many branches.

The two local banks will merge into what is probably to be known as the Brighton-Pearl Market Bank and Trust Company. Another Cincinnati bank is said to be under consideration in the development of the Middle West chain. Present plans call for the extension of the system in Chicago and Indianapolis. Eventually other cities in Ohio, Indiana, Kentucky and Illinois will be included in the chain.

*This was sent to
Mr. Brown.*

Exhibit 99

102
Pais France, Ede.
New York Head-

CHICAGO STATISTICS COMPANY

INCORPORATED

732 Sherman Street
CHICAGO

January 31, 1930

The BancorKentucky Company
X National Bank of Kentucky
Louisville, Kentucky

Gentlemen:

We are submitting herewith statistics which we intend to insert in the next edition of the "Manual of Securities Listed on The Chicago Stock Exchange" which will go to press within the next ten days.

We are particularly anxious that you check all details at this time inasmuch as we are making a complete revision of the statistics contained in our book. Your cooperation in returning our material to us within the next few days either with your approval or with such changes as you deem advisable will be greatly appreciated.

If any figures are available as of a later date than those which we have included, please send them to us. Annual reports are particularly valuable in the compilation of our publication. We should also welcome any suggestions toward increasing the value of our work.

The previous issues of our Manual have been accorded an excellent reception among the investing public in this locality. It is the belief of the members of The Chicago Stock Exchange and of ourselves that the work we are attempting to do in this territory will be valuable not only from the point of view of increasing brokerage business but also from the point of view of the companies concerned inasmuch as it will develop a broader market for their securities.

As you are undoubtedly aware, The Chicago Stock Exchange is cooperating with us in every way and has asked each company whose securities are listed to supply us with all of the necessary information.

We wish to thank you in anticipation of your prompt attention to this matter.

Very truly yours,

CHICAGO STATISTICS COMPANY

J. L. Bott
J. L. Bott
Secretary

JLB/B

1. 1990年12月15日，在“中国—东盟”领导人非正式会议上，中国领导人正式提出建立中国—东盟自由贸易区。

COMMON STOCK—\$50 per share. Aml. 1,000,000 shares; Cum. 1,000,000 shares.

PRICE RANGE FOR YEARS UNDER \$100,000 IN

Common stock..... 2000

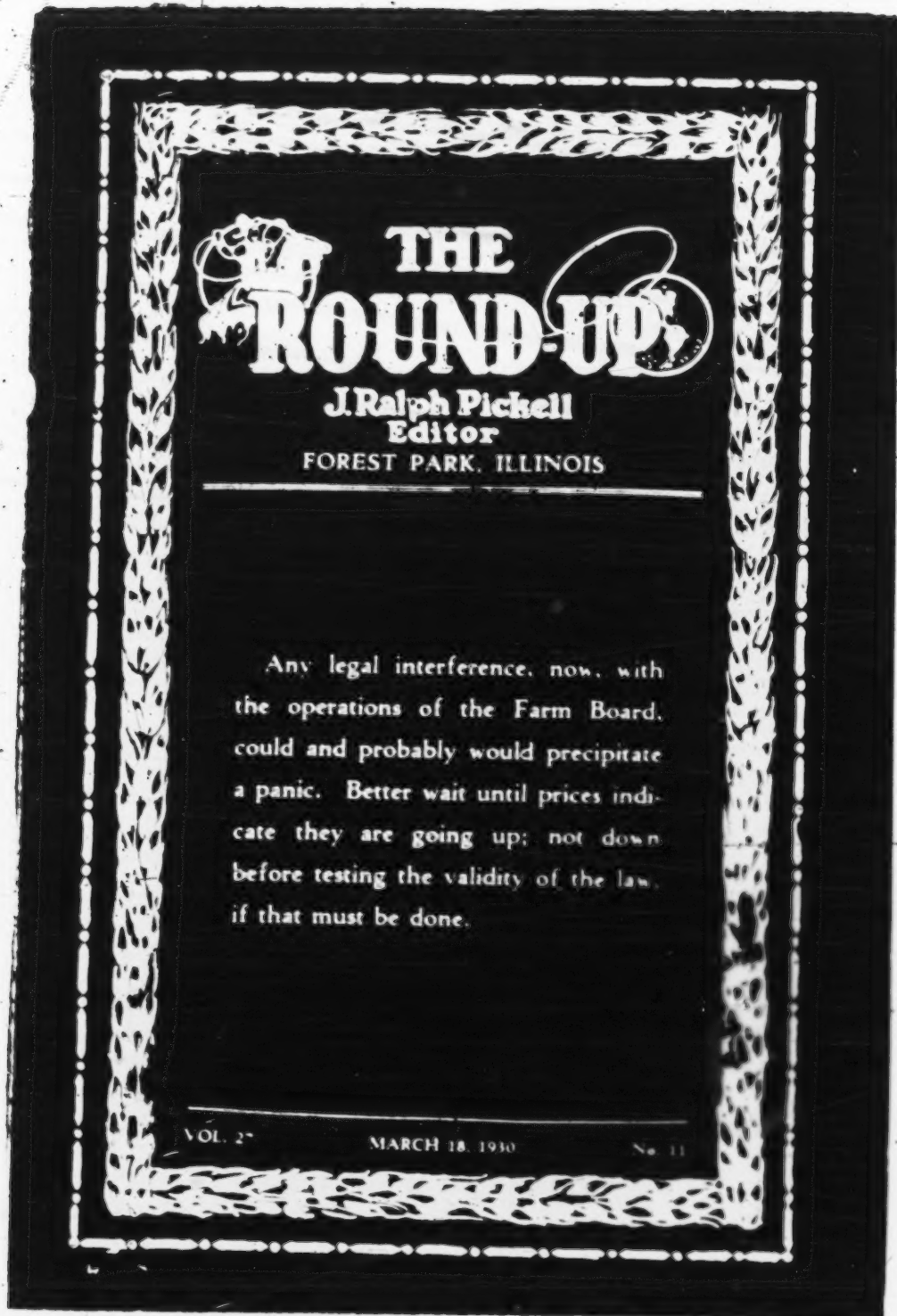
Present dividend rate—
Last year's dividend rate—

via Bureau
 2 June 60
 substantial
 the Paper
 coming from
 Bureau
 state in
 26

18 1/2

1st dividend for quarter ending December
31-1929 was 70¢ per share, payable
Jan 2-1930 - to stockholders record
December 23-1929

Exhibit 101



Any legal interference, now, with the operations of the Farm Board, could and probably would precipitate a panic. Better wait until prices indicate they are going up; not down before testing the validity of the law, if that must be done.

VOL. 2

MARCH 18, 1930

No. 11

1844

of breeding stock has followed the crash in lambs; that will come next summer unless the market recuperates when it goes on a new crop basis.

WOOL: So far as manufacturers are concerned wool trade will continue on a hand to mouth basis. Until the tariff puzzle is cleared up there will be little doing. The low point may have been uncovered, but no one in the trade is ready to take an unequivocal bullish stand. Like wheat, wool is an international proposition, differing only in one respect, that this is an importing country. A world's surplus is the chief bearish factor at present. Mills are picking up packages of wool here and there, but are content to await tariff and government action. If the Government pool decides to carry any considerable quantity of the new clip along into the latter part of the year to advance prices weavers may be forced to compete on that portion of the clip in dealers hands, exerting a stabilizing influence, temporarily at least. What will happen when the pool starts unloading is anybody's guess. Meanwhile values continue firm at the bottom of the slump. Foreign markets show little change and are firm if anything. London sales open March 18th and will be watched with interest. Dealers are contracting some wool in the West and if the pool operators are veracious they will book about 100 million pounds of the new clip. Dealers are apprehensive, in view of the gyrations of the Farm Board with respect to wheat, that something similar will develop in wool, keeping the trade in a condition of uncertainty.

HIDES: Prices are holding firm, both in the spot and futures market. Variations have been fractional and whenever holders make a half-cent concession they can sell hides. Big packers are well sold up owing to light slaughter. That no tariff will be put on hides is a cinch bet, so that no uncertainty exists on this subject. Country hides have shared the firmness of packer stocks and frigorifico markets are holding up well. Leather business is not entirely satisfactory. New England shoe factories are operating more machinery than recently, but complain of lack of a backlog of orders. Basic conditions do not warrant expectancy of any sharp advance on hide values.

LARD: Considering light current make, low stocks and a considerable volume of weekly exports lard deserves more speculative interest. Hog slaughter is falling far below that of the corresponding period of 1929 and that does not mean normal lard production. The March statement of lard stocks by the Department of Agriculture shows only 112 million pounds in all positions, compared with 173 million pounds a year ago and a 5-year March average of 120 million pounds. The February gain was only 20 million pounds and that is usually a month of heavy production. Stocks of other edible fats are heavy and prices low, relegating lard to a by-product position. March butter stocks were 46 million pounds, against 11 million pounds a year ago and 17 million pounds on a five year average for the month. Low butter prices have probably stimulated consumption as stocks diminished 15 million pounds in February.

STOCK FORECASTS

STOCKS BancoKy Company a bank holding company organized in September, 1929, as a result of the deposit of the capital stock of the National Bank of Kentucky, that old and well seasoned institution which was founded back in 1834, and the Louisville Trust Co., which

is the combined organization of the original Louisville Trust Co. and the Louisville National Bank, with the latter institution losing its identity through the merger. The stockholders of the National Bank of Kentucky and the Louisville Trust Co., both of Louisville, Ky., received in exchange for their bank stock, shares of the capital stock of BancorKy Company on a basis of 2 shares of BancorKy for one share of the bank stock. The exchange was made on the basis of \$25 a share for BancorKy.

Since the company began operations, the acquisition was made of two of the leading progressive banks in Cincinnati, Ohio, the Brigham Bank & Trust Co., and the Pearl Market Street Bank & Trust Co. Both these banks have very good records, with earnings ranging from \$35 to \$40 a share annually. Directly across the Ohio River from Cincinnati, acquisition was made of the two leading banks in Covington, Ky. It is expected that these institutions will be physically merged in their respective locations, which will bring about certain economies that will result in larger earnings. Already a very large increase in deposits was noted at the Covington, Ky., institution when the larger manufacturers withdrew deposits from financial institutions in Cincinnati and deposited them in their own home town, feeling that the local institution is now financially strong enough and big enough to thoroughly satisfy their banking needs, since it is now being backed by the powerful BancorKy group.

It is the ambitious plan of the company to make further acquisitions of strong profitable metropolitan banking institutions throughout the middle-west and in such important centers as Chicago, Ill., Indianapolis, Ind., St. Louis, Mo., Memphis, Tenn., Nashville, Tenn., Atlanta, Ga., and Knoxville, Tenn., and in its finality will control resources well in excess of one billion dollars.

One must bear in mind that all worthwhile achievements are not accomplished overnight. The act of purchasing a strong and profitable metropolitan bank is not an easy task. Of course, anything can be bought if there is no objection as to price, but to make an astute purchase of a good thing, is the work of a genius. In this particular regard, BancorKy Company is extremely fortunate in having at its head a man, although not yet nationally known, yet is rivaled in achievement only by the great A. P. Giannini, that outstanding financial wizard of the west coast who fought so valiantly for the principles of branch banking and bank holding corporations. Mr. James E. Brown, president of BancorKy Company in my estimation is a financial genius. He, like Giannini, comes from the bottom of the ladder, and by the hard knocks of experience blazes the way to success. His achievements while up to this time have been more or less confined to his own state, have been remarkable. His ability along financial lines has won for him a place he so well deserves, and that is very strongly in evidence by the fact, that at this time, Mr. Brown is director in the Louisville and Nashville Railroad, the Louisville Gas and Electric Co., American Turf, Southern Bell Telephone Co., Standard Oil of Kentucky and President of the National Bank of Kentucky.

I have elaborated somewhat on the management of BancorKy company for the reason that a bank holding corporation that has to do with banks, is not looked upon as the ordinary operation of a manufacturing concern or kindred organization, but much depends on its

management and popular feeling toward the directorate from a morale standpoint.

While the organization is too young to give a comparative earnings statement, it is well to know, however, that the combined earnings of the original two institutions that go to make up BancoKy under the same management, will show better than 35 percent annually to the stockholders, including cash dividends, stock dividends and price appreciation for the past five years, and on the basis of \$20 for the present BancoKy stock, a return of better than 47 percent for the past three years.

At present there are 2,000,000 shares of \$10 par value stock outstanding. On the basis of \$25 a share for which the stock was sold this gives the company \$20,000,000 capital and a reserve of \$30,000,000. In January of this year the company was authorized to increase the number of shares from 2,000,000 to 5,000,000 and no stock can be sold at less than \$25 per share. This additional increase will be used to make additional acquisitions of banks as outlined previously. As soon as this authorized increase in shares is disposed of the company will then have a capital of \$50,000,000 and reserve of \$75,000,000.

Dividends on the present outstanding stock is at the rate of eighty cents a share annually or twenty cents a share quarterly. At the price of twenty dollars a share the stock yields four percent, which in my opinion is quite an attractive yield for this type of security. I have reason to believe that the dividend will be increased to twenty-five cents a share in the very near future. In that event stock bought at twenty dollars a share will yield five percent.

This stock sold for a high of 34½ and a low of 18½. Current market price 20@21. While I have always confined my recommendations to those stocks listed on the New York Stock Exchange where a broad and open market prevails, yet I feel that BancoKy has such wonderful prospects for the future that commitments made around the present level will certainly return handsome profits to those who have a little patience. Do not expect a quick turn as it is not that type stock, but rather make your commitment from a standpoint of high class investment purposes and stay with it. It is a stock that over a period of years will take care of you.

I SAW THE NEW WORLD

By Selby Maxwell

They have found a new world out in space, as you have probably read in the papers. It is a world some fourteen thousand miles in diameter, and weighing about seven times as much as the earth does, and so far from the sun that daylight out there is no brighter than dim moonlight is here. This planet is forty-five times as far from the sun as the earth is. Its year is about 240 of our years long, that is to say, it takes this world nearly two and a half

centuries to go around the sun once.

The planet is of course not really new, it is only new to us. It has been there since the beginning of time, and we have seen its effect in our weather cycles for many centuries. It does not affect our daily lives as much as some of the nover ones, like Saturn with its war cycle of some 35 years, or Jupiter, with its huge sunspot cycle, or the sun itself with its cycle of 27 days, but the effect is there, and it is plain enough

EXHIBIT 102

April 3, 1933.

Mr. S. T. Coughlin,
Care of
The National Business Weekly,
Boston, Mass.

Dear Sir:

I am writing you in regard to the
Birmingham Bank & Trust Company, which is a
subsidiary of the Birmingham Bank & Trust Company of
Birmingham, Alabama.

The Birmingham Bank & Trust Company is a
subsidiary of the Birmingham Bank & Trust Company of
Birmingham, Alabama. The Birmingham Bank & Trust Company
of Birmingham, Alabama, is a subsidiary of the
Birmingham Bank & Trust Company of Birmingham, Alabama.

All of our holdings are located in Kentucky and Ohio.

Yours very truly,

W. R. Coughlin
Secretary

Exhibit 102

HEAD OFFICE
100 NASSAU ST. NEW YORK
CIRCULATION OFFICE
100 NASSAU ST. NEW YORK

BARRON'S

The National Financial Weekly

C. W. BARRON, PUBLISHER
100 NASSAU ST. NEW YORK

CHICAGO OFFICE
200 N. LA SALLE ST. ROOM 1000
CLEVELAND OFFICE
215 EASTON BLDG. 10
DETROIT OFFICE
100 HURON BLDG. 10
PHILADELPHIA OFFICE
100 BANCORP BLDG. 10
PITTSBURGH OFFICE
100 BANCORP BLDG. 10
SAN FRANCISCO OFFICE
100 BANCORP BLDG. 10
WASHINGTON OFFICE
100 NATIONAL PRESS BLDG. 10

BOSTON

April 1, 1930

Worcester, Mass.,
Boston, Mass.

Dear Sirs:
I am writing you in regard to a concern-
in the territories of your corporation:

In order to eliminate certain
group-union or organizations in your territories, we are
desirous of obtaining as soon as possible the follow-
ing information regarding your company:

- (1) Capital resources controlled
- (2) Number of banks in group
- (3) The three largest banks controlled
- (4) The territory covered.

Kindly enclose your reply for the attention
of the writer.

Very truly yours,

W. C. Barron

WCB:1

Exhibit 103

OFFICES
NEW YORK
CHICAGO
DETROIT
ST. LOUIS
CINCINNATI
NEW ORLEANS
BIRMINGHAM
KNOXVILLE
NASHVILLE
CHATTANOOGA

OFFICE OF
ROBERT CALDWELL
PRESIDENT

CALDWELL & COMPANY

BANKERS
INVESTMENT BONDS
UNION STREET AT FOURTH AVENUE
NASHVILLE, TENNESSEE

January 29th, 1930.

Mr. James B. Brown, President,
National Bank of Kentucky,
Louisville, Kentucky.

My dear Mr. Brown:-

I have been wondering lately whether it would be feasible to consider a consolidation between the Banco-Kentucky Corporation and the banks in which we are interested. If I could discuss the matter with you I have a suggestion to make which, in my opinion, might be very helpful to the market situation on Banco-Kentucky stock. As you know, the banks in which we are interested already have deposits of above \$150,000,000 and I believe that a combination of our interests would make one of the most formidable situations in the country.

I am leaving tonight for St. Louis to attend the annual meeting of the Missouri State Life Insurance Company and if you think this suggestion is worthy of our getting together you might wire me there care of the Missouri State Life Company and I could come back by way of Louisville.

With assurance of my regard and looking forward to discussing this matter with you, I remain,

Very truly yours,

[Handwritten signature: Robert Caldwell]

RC/L

103

1840

210

EXHIBIT
JAN 31 1938
103
OFFICIAL REPORTER

Exhibit 104

PLAINTIFF
EXHIBIT No. 104
OCT 18 1938
Paul E. Carpenter
OFFICIAL REPORTER

August 14, 1930.

Caldwell and Company,
Nashville,
Tennessee.

Dear Sirs:-

Attention: Mr. Thomas W. Goodloe.

Enclosed herein find our check #1884 for
\$180,000.00, representing dividend on stock in your name
as of June 27th.

In order to clear our records, will you
kindly endorse same and return to the writer.

Very truly yours,

W.F. Zurschmiede,
Cashier.

WTZ:OK
enc'l.

104
1841

211

Exhibit 105

CALDWELL & COMPANY

BANKERS

INVESTMENT BONDS

UNION STREET AT FOURTH AVENUE

NASHVILLE, TENNESSEE

OFFICES IN
PRINCIPAL CITIES

September 4th
1930

OCT 18 1930
Paul C. Carpenter
OFFICIAL REPORTER

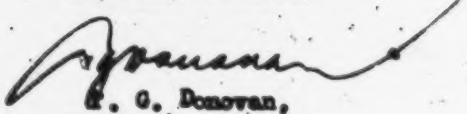
The Banco Kentucky Company,
Louisville, Kentucky.

Gentlemen:-

On August 25th, 1930, we returned your check
No. 1864, made payable to Caldwell & Company for \$180,000.00
and asked that you acknowledge receipt.

We would appreciate acknowledgement at your
convenience.

Yours very truly,
CALDWELL & COMPANY


E. G. Donovan,
Secretary.

TGD :

1842

212

Exhibit 106

PLAINTIFF
EXHIBIT No. 106
OCT 18 1938
Paul S. Engelman
OFFICIAL REPORTER

September 5, 1930.

Mr. T. O. Donovan, Secretary,
Caldwell & Company,
Nashville, Tenn.

Dear Mr. Donovan:-

Replying to yours of September 4th, we have-
by wish to acknowledge receipt of check for \$180,000.00 of
The Space-Kentucky Company payable to and endorsed by Caldwell
and Company.

Very truly yours,

W. T. Zurschmiede,
Sec'y.-Treas.

WTZ:CH

106

1843

213

69-14 LOUGHER STREET
PENNEY HILLS
NEW YORK

April 3rd, 1930.

Banco Kentucky Co.,
Louisville, Kentucky.

Gentlemen:

I am interested in the stock of
your Company and would be obliged if you
could please let me have a copy of your
last annual report containing a Balance
Sheet and Statement of Profits and Income.

Yours faithfully,
Ralph Bullick
RALPH BULLICK.

584

6735
100

April 7, 1930.

Mr. Ralph Bullick,
88-14 Loubet Street,
Forest Hills,
New York City, N. Y.

Dear Sir:

Replying to yours of April 3rd, with to advise that the Banco-Kentucky Company has only operated since October 1, 1929. The net earnings for the first quarter, ending December 31, 1929, applicable to the stock of the Banco-Kentucky Company is \$501,276.25. The stock outstanding December 31, 1929, was 1,532,669 shares, \$10.00 par value. During this quarter the company had not had sufficient time to effect any savings through the inter-operations of the various banks.

We now control the following banks -

National Bank of Kentucky and Louisville Trust Company.
Louisville, Kentucky.

Brighton Bank & Trust Company and Pearl Market Bank & Trust Co.
Cincinnati, Ohio.

Peoples-Liberty Bank & Trust Company and the Central Savings
Bank & Trust Company. Covington, Kentucky.

First National Bank - Paducah, Kentucky.

We presently will acquire control of the Security
Bank, Louisville, Ky. and the Ashland National Bank, Ashland, Ky.

The dividend paid for quarters ending December 31, 1929,
and March 31, 1930, was 20 cents per share.

Trusting this information will be of service to you,
we are,

Yours very truly,

U.S. Surchniade,
Secty. & Treas.

574
WRA/M

CORPORATION INCOME TAX RETURN
For Calendar Year 1960

1886.79

COMPUTATION OF TAX

[Handwritten signatures and marks are visible on the form]

[illegible]

110 1

December 11, 1920.

First National Corporation,
111 West Monroe St.,
Chicago, Ills.

Attention Mr. Tritmule.

Dear Sirs :

Replying to yours of the 7th. wish
to advise this company owns practically the entire
capital stock of the following banks :

National Bank of Kentucky-Louisville Trust Company, Louisville, Ky. --- Combined capital - \$	5,750,000 .00
Brighton Bank and Tr. Co. Cincin, O. "	500,000 .00
Pearl Market Bank & Tr. Co. Cincin, O. "	500,000 .00
Central Savings Bank & Tr. Co. Covington, Ky.	50,000 .00

Your name has been placed on our
mailing list to receive future reports of this
company.

Yours very truly,

W. T. Zurschmiede.
Sec'y-Treas.

1813

BOSTON
BALTIMORE
PHILADELPHIA
HARTFORD

NEW YORK
PITTSBURGH
CLEVELAND
ST. LOUIS

THE FIRST NATIONAL CORPORATION
OF BOSTON

111 West Monroe Street
Chicago

December 7, 1929

J. Barschleben, Esq., Secretary & Treasurer,
Bank Kentucky Company,
221 West Market Street,
Louisville, Kentucky.

Dear Sir:

In order that our files may be complete,
we should appreciate a list of the banks in which
your company is interested and whatever other in-
formation is readily available concerning the set-
up of the Bank Kentucky Company.

We thank you in advance for your co-
operation in this matter and hope that we may be
able to reciprocate at some time in the future.

Very truly yours,

John T. Smith
Assistant Manager.

December 9, 1929

The Greenhall Company,
361 Fifth Avenue,
New York, N. Y.

Dear Sirs:-

Attention: Mr. A. F. Greenhall.

Replying to yours of December 2nd, wish to advise
that The BancorKentucky Company owns -

Nat'l. Bank of Ky. - The Loc. Trust Co. Louisville, Kentucky	Combined capital - \$8,750,000
Pearl Market Bank & Trust Company, Cincinnati, Ohio.	Capital - \$500,000.00
Brighton Bank & Trust Company, Cincinnati, Ohio.	Capital - 500,000.00
Central Savings Bank & Trust Company, Covington, Kentucky	Capital - 60,000.00

This Company owns practically all of the stock of
these banks and their trust companies.

Very truly yours,

THE BANCOR KENTUCKY COMPANY,

By _____
Secty. in Trans.

WT7:CK

SA

THE GREENHALL COMPANY
BANK & INSURANCE STOCKS

TEL MURRAY HILL 2890
2870

EXHIBIT 111-7

551 FIFTH AVENUE

NEW YORK

December 2, 1929

Banco Kentucky Co.
Louisville, Ky.

Gentlemen:-

We are interested in the progress of group, chain, and branch banking in the United States, a movement in which the Banco Kentucky Co. plays such an important part. We be favored with a list of the banks under your control, or with which you are affiliated, and may we also be placed on your mailing list to receive information as to your future acquisitions.

Thanking you, we are

Yours very truly,

THE GREENHALL COMPANY INC.

A. F. Greenhall
A. F. Greenhall

AGC:1

1371

PRESIDENT AND GEN'L MGR.

J. FRANK HUTCHESON,

SECRETARY

S. C. SCOTT,

TREASURER

The Automobile Business & Finance

DEVOTED SPECIALLY TO
TRIMMERS, PAINTERS AND JOBBERS
IN TRIMMING SUPPLIES

PUBLISHED WEEKLY BY
THE SPONSORMAN PUBLISHING COMPANY
129 OPERA PLACE, CINCINNATI, OHIO

December 6, 1929.

Barco Kentucky,
Louisville, Ky.

Gentlemen:

Will you please send me any literature or information which
you may have concerning your Corporation?

I notice that it is listed on the Chicago Stock Exchange, and
I wonder why it is not listed on the Curb, or the New York Stock Exchange.

Thanking you for any information, I am

Yours very truly,

J Frank Hutchison

120 Wallace Ave.,
Covington, Ky.

JFH:30

Handwritten notes and calculations at the bottom of the page, including a large sum of \$160.00 and various smaller figures and scribbles.

112-1
SUG-D

30075-72848
December 11th, 1929

Mr. J. Frank Butcheson,
120 Wallace Ave.,
Covington, Ky

Dear Mr. Butcheson:-

Replying to your letter of December 9th,
wish to advise that the operation of this Company began on
September 19th, 1929. We have not as yet issued a statement.

As you probably know, THE BAROCKENTUCKY
COMPANY owns approximately 90% of the unified capital stock -
National Bank of Kentucky - The Louisville Trust Company,
capitalized at \$8,780,000.00; a majority of the outstanding
stock of The Pearl-Market Bank and Trust Company and the
Brighton Bank and Trust Company, both of Cincinnati, Ohio;
also The Central Savings Bank and Trust Company of Covington,
Kentucky.

The stock is listed on the Chicago
Stock Exchange and we have not felt the need of listing it
elsewhere for the present.

If you will refer to the New York Curb
or the New York Stock Exchange, you will find that very few
bank stocks are listed.

We are glad to give you the information
requested.

Very truly yours,

W. Y. LUN FURNISH
30075-72848

L-D

Exhibit 113-1

ESTABLISHED 1850

J. Kennard & Sons Carpet Company

LINOLEUMS - MATTINGS

CARPETS - RUGS

FURNITURE - CURTAINS

400 WASHINGTON AVENUE

SAINT LOUIS

HUGH RODMAN
1417 WEST 29TH ST.
OKLAHOMA CITY, OKLA.

I - 17-27

Lancaster, Kentucky.

Louisville.

Dear Sirs.

Please advise me what per cent your Lancaster stock will pay the investor based on cost to him (\$5.00)

I hold receipts for one hundred and sixty shares, forty of this were on exchange basis.

The information I ask for is what will you pay on the market value of twenty five dollars per share.

Yours very truly.

George Rodman

1871

October 21, 1939.

Mr. Hugh Rodman,
1447 West 29th. St.,
Oklahoma City, Oklahoma.

Dear Sir :

Replying to yours of the 14th. I wish to advise that the dividend policy of this Company has not been determined. The bank shares, for which stock of this Company was given in exchange, paid a dividend of \$ 1.00 per year on a par value of \$ 10.00 per share. Two shares of this Company's stock was given in exchange for one share of the Bank (unified stock). We are positive the first quarterly dividend will be no less than 20¢ per sh. or on the basis of 80¢ per annum which would be the equivalent of the dividend on the Bank shares.

Therefore, the yield, on the basis of an 80¢ dividend will be 3.2%, using \$ 25.00 as cost price.

Yours very truly,

W.T. Kurtzschiede.
Sec'y-Treas.

EXHIBIT 114-7

October 22, 1929

Milton D. Reiner & Co.,
74 Trinity Place,
New York, N. Y.

Attention: Mr. Edward A. Barry

Gentlemen:--

Replying to your favor of the 14th instant, with reference to THE BANCOKENTUCKY COMPANY, wish to advise that we are not in position, at this time, to give you the items requested, as the Company has only operated since September 19th.

THE BANCOKENTUCKY COMPANY was incorporated on July 16th, 1929 for the purpose of owning and controlling Banks and Trust Companies. This Company offered to the stockholders of the National Bank of Kentucky and The Louisville Trust Company, both local institutions, two shares of its stock in exchange for each share held. As a result, it owns ninety-six per cent of the stock of the National Bank of Kentucky and The Louisville Trust Company; or, approximately 568,000 shares of the par value of ten dollars per share.

In addition, the stockholders of the National Bank of Kentucky and The Louisville Trust Company were given the right to purchase shares of stock in THE BANCOKENTUCKY COMPANY at \$25.00 per share; which offer resulted in a subscription and payment of 480,000 shares of stock; thus giving THE BANCOKENTUCKY COMPANY cash of \$12,000,000.00.

The Company recently purchased approximately 88% of the stock of the Brighton Bank and Trust Company and The Pearl-Market Bank and Trust Company of Cincinnati, Ohio.

The combined deposits of these four institutions aggregate at this time approximately \$81,000,000.00; the total resources \$128,000,000.00 and trust estate assets of approximately \$100,000,000.00.

THE BANCOKENTUCKY COMPANY intends to purchase other banks in large cities. We are positive we can considerably increase the earnings of the banks in our group, which already are exceptionally good. We have about six thousand stockholders on our books at this time - the total issue being two million shares of the par value of \$10.00 per share.

Very truly yours,

W. F. ZUN SCHMIDT

2-D

P.S.-There is enclosed booklet and circular letter to stockholders which will give Certificate of Incorporation, by-laws and other information.

MILTON E. REINER & COMPANY

MEMBERS NEW YORK CURB MARKET

74 TRINITY PLACE

TELEPHONE WHITENALL 6888

MILTON E. REINER

IRVING REINER

EDWARD E. BARRY

NEW YORK, Oct. 14, 1929.

The Hancock Kentucky Company,
Louisville,
Ky.

Gentlemen:

We are in receipt of
an inquiry from a client of ours who
is interested in the securities of
your Company.

Will you kindly forward
to us the latest available balance
sheet and earning statement of your
Company.

Also, if possible, will
you kindly advise us as to the approxi-
mate number of stockholders of your Com-
pany, and furnish us with any additional
information that you may be able to give
us at this time.

Thanking you in advance
for your attention to this matter, we are

Very truly yours,

Milton E. Reiner

EE /DJ

Exhibit 115

115
OCT 18 1938
OFFICIAL REPORTS

Aug. 28, 1930.

Mr. T. A. Field, V.P.,
Ashland National Bank,
Ashland, Ky.

Dear Mr. Field:-

I am enclosing herewith Oath of Director signed
by Mr. James B. Brown and duly acknowledged before a notary.

As you probably know, Mr. Brown is now a director
of all the banks that are in the Bank of Kentucky group and the
Treasury Department has questioned the legality of his serving
on the Board of so many banks and this may have to be changed
later. However, we will let it stand this way until we hear
from Washington.

With kind personal regards,

Yours very truly,

Vice President.

CPJ:SEA

115
1858
230

Exhibit 116

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Statement Showing Comparison of Quarterly Net Earnings, Loans Charged Off
Less Recoveries and Net Additions to Reserve for Taxes With Dividends
Paid as Shown by Books of National Bank of Kentucky**

April 1, 1927 to September 30, 1928

*Plaintiff's
Exhibit No 116*

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Exhibit 116

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

TELEPHONE
CADILLAC 4820

HUGH A. WHITE, CPA
EDWIN H. BOWER, CPA
HARRY M. PREVO, CPA
L. B. McLAUGHLIN, CPA

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A. M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have examined certain
of the books and records of

The National Bank of Kentucky
for the period from April 1, 1927 to September 30, 1930.

We have made an analysis of the operations of the bank for the
above period as shown in the undivided profits and reserve for taxes
accounts. We have prepared and appended hereto a statement showing a
comparison of quarterly net earnings, loans charged off less recoveries
and net additions to reserve for taxes, with dividends paid as shown
by the books of the National Bank of Kentucky for the quarters ended
June 30, 1927 to September 30, 1930, inclusive.

Our examination was limited to an analysis of the undivided
profits account and the reserve for taxes account and did not include
a detailed verification of the amounts shown in these accounts.

Very truly yours,

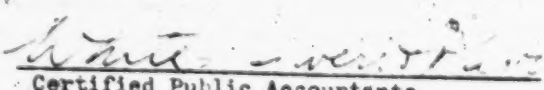

Certified Public Accountants

Exhibit 116

Statement Showing Comparison of Quarterly Net Earnings, Loans Charged Off Less Recoveries and Net Additions to Reserve for Taxes With Dividends Paid as Shown by Books of National Bank of Kentucky

April 1, 1927 to September 30, 1930

Quarter Ended	Quarterly Net Earnings	Loans Charged Off Less Recoveries, etc.	Addition to Reserve for Taxes Less Taxes Paid	Net Profit Less	Dividends Paid	Excess or Deficiency of Net Earnings Over Dividends Paid
June 30, 1927	\$ 166,940.17	\$ 2,551.53	\$ 62,881.17	\$ 216,789.76	160,000.00	\$ 56,789.76
Sept. 30, 1927	170,999.86	134,340.70	44,758.18	61,581.34	160,000.00	78,618.86
Dec. 31, 1927	217,996.10	184,022.77	52,973.33	106,922.60	160,000.00	53,077.60
Mar. 31, 1928	122,834.12	22,536.47	23,036.46	123,346.10	160,000.00	36,643.90
June 30, 1928	127,564.32	173,710.78	61,926.64	18,772.18	160,000.00	194,227.82
Sept. 30, 1928	133,782.75	1,726.89	44,493.41	180,062.05	160,000.00	20,062.05
Dec. 31, 1928	174,718.42	108,194.94	24,389.32	90,909.80	160,000.00	69,090.20
Mar. 31, 1929	156,080.47	1,947.22	21,366.89	179,414.56	160,000.00	19,414.56
June 30, 1929	127,100.13	8,869.74	89,678.86	180,907.26	160,000.00	20,907.26
Sept. 30, 1929	143,944.21	117.81	46,753.43	192,679.88	160,000.00	32,679.88
Dec. 31, 1929	182,482.89	1,646.71	21,822.70	202,728.88	160,000.00	42,728.88
Mar. 31, 1930	138,028.90	199,742.66	21,778.94	39,887.72	160,000.00	199,887.72
June 30, 1930	127,264.26	138,080.18	39,863.74	29,037.81	160,000.00	180,862.19
Sept. 30, 1930	138,096.78	1,825.76	39,247.73	179,170.27	160,000.00	19,170.27
	<u>\$2,127,785.17</u>	<u>\$46,540.37</u>	<u>\$ 854,542.73</u>	<u>\$1,729,094.78</u>	<u>\$2,240,000.00</u>	<u>\$ 500,805.77</u>

Exhibit 117

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Bills Payable, Rediscounts and
Securities Sold Under Repurchase Agree-
ment of National Bank of Kentucky for
Period From**

January 1, 1929 to November 15, 1930

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plant & Co. Exhibit No 117

Exhibit 117

WHITE, BOWER & PREVO

CERTIFIED PUBLIC ACCOUNTANTS

DETROIT-CHICAGO

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY M. PREVO, C.P.A.
L. B. McLAUGHLIN, C.P.A.

TELEPHONE
CADILLAC 4880

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A. M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have
examined certain books of account and records of

National Bank of Kentucky

and herewith present a statement of the borrowings of the
Bank on an average daily basis for the months indicated
during the period from January 1, 1929 to November 16, 1930.

Very truly yours,

White, Bower & Prevo
Certified Public Accountants

Exhibit 117

Bills Payable, Rediscounts and Securities
sold under Repurchase Agreement of National
Bank of Kentucky for period from January 1, 1929
to November 16, 1930, showing for each month
the number of days on which borrowings existed
and the average amount of such borrowings.

	<u>Year 1929</u>		<u>Year 1930</u>	
	<u>Number days in- debted</u>	<u>Average Daily Borrowings</u>	<u>Number days in- debted</u>	<u>Average Daily Borrowings</u>
January	31	\$ 6,968,217	31	\$ 3,854,976
February	28	3,830,018	28	669,964
March	31	6,759,677	31	322,681
April	30	10,846,997	30	1,215,000
May	31	12,546,262	31	2,489,355
June	30	12,254,192	30	2,755,500
July	31	11,844,319	31	2,163,710
August	31	11,693,459	31	1,855,161
September	30	11,829,834	30	1,550,000
October	31	12,970,808	31	2,578,226
November	30	15,026,711	16	3,179,354
December	31	7,215,526		

Exhibit 118

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Deficiency in Legal Reserve and Borrowings
of National Bank of Kentucky During Period**

January 1, 1929 to July 15, 1929

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit No 118

Exhibit 118

WHITE, BOWER & PREVO

CERTIFIED PUBLIC ACCOUNTANTS

DETROIT-CHICAGO

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY M. PREVO, C.P.A.
L. B. McLAUGHLIN, C.P.A.

TELEPHONE
CADILLAC 4820

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A.M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have made an examination of certain books of account and records of

National Bank of Kentucky

pertaining to legal reserves and borrowings for the period from January 1, 1929 to July 15, 1929.

We have prepared and appended hereto a statement showing the amount of deficiency in the legal reserves and the borrowings of the National Bank of Kentucky for each day from January 1, 1929 to July 15, 1929 on which the legal reserve was below the legal requirements, as shown by the daily statement record.

The borrowings of the bank include bills payable, re-discounts and securities sold subject to repurchase agreement.

The amounts shown on the schedule may be summarized as follows:

Month	Days of Deficiency in Legal Reserves	Average Amount of Deficiency in Legal Reserves *	Average of Borrowings*
January 1929	9	\$349,907.00	\$6,390,116.00
February 1929	12	266,887.00	3,656,479.00
March 1929	10	214,342.00	5,834,082.00
April 1929	10	326,917.00	10,173,807.00
May 1929	13	336,897.00	12,263,043.00
June 1929	12	526,290.00	11,679,273.00
July 1 & 15, 1929	6	453,503.00	11,155,345.00

* Only for days on which there was a deficiency in the legal reserve.

Very truly yours,

1866

White, Bower & Prevo
Certified Public Accountants

Exhibit 118

Statement of Deficiency in Legal Reserve and Borrowings of National Bank of Kentucky on Dates Indicated During Period From January 1, 1929 to July 15, 1929

<u>Date</u>	<u>Deficiency In Legal Reserve</u>	<u>Borrowings</u>
Jan. 4, 1929	\$ 342,330.00	\$ 5,940,217.48
Jan. 7, 1929	250,050.00	5,984,061.51
Jan. 8, 1929	245,160.00	6,076,731.50
Jan. 11, 1929	308,250.00	5,101,009.50
Jan. 14, 1929	80,210.00	6,198,781.17
Jan. 15, 1929	401,910.00	6,412,423.84
Jan. 18, 1929	625,070.00	8,328,383.90
Jan. 25, 1929	826,910.00	5,859,719.42
Jan. 26, 1929	71,380.00	6,809,719.42
Feb. 1, 1929	156,470.00	4,804,149.75
Feb. 2, 1929	36,170.00	5,298,149.75
Feb. 5, 1929	285,200.00	4,683,619.75
Feb. 8, 1929	53,330.00	4,589,479.20
Feb. 11, 1929	772,810.00	2,420,930.76
Feb. 13, 1929	155,370.00	2,841,930.76
Feb. 18, 1929	356,810.00	3,856,445.34
Feb. 19, 1929	261,750.00	5,751,445.34
Feb. 20, 1929	23,880.00	4,231,445.34
Feb. 26, 1929	610,440.00	2,245,075.83
Feb. 27, 1929	254,740.00	2,235,075.83
Feb. 28, 1929	115,680.00	2,940,000.00
Mar. 4, 1929	28,180.00	3,525,000.00
Mar. 5, 1929	554,070.00	2,400,000.00
Mar. 6, 1929	275,250.00	2,400,000.00
Mar. 11, 1929	23,350.00	4,180,000.00
Mar. 12, 1929	478,800.00	3,950,000.00
Mar. 13, 1929	193,410.00	4,550,000.00
Mar. 19, 1929	335,050.00	7,900,000.00
Mar. 21, 1929	36,230.00	9,163,880.16
Mar. 26, 1929	128,190.00	10,135,968.92
Mar. 27, 1929	90,890.00	10,135,968.92
Apr. 1, 1929	165,310.00	10,635,940.28
Apr. 2, 1929	510,370.00	9,885,940.28
Apr. 5, 1929	9,450.00	10,115,940.28
Apr. 9, 1929	736,150.00	8,807,835.28

Exhibit 118

<u>Date</u>	<u>Deficiency In Legal Reserve</u>	<u>Borrowings</u>
Apr. 10, 1929	\$ 110,380.00	\$ 9,803,634.61
Apr. 13, 1929	88,540.00	10,284,759.61
Apr. 23, 1929	674,830.00	11,037,289.94
Apr. 25, 1929	80,970.00	11,464,809.81
Apr. 29, 1929	420,070.00	9,571,284.51
Apr. 30, 1929	473,100.00	10,130,632.84
May 2, 1929	3,310.00	11,416,369.59
May 3, 1929	78,840.00	11,161,369.59
May 6, 1929	109,380.00	10,099,169.01
May 7, 1929	114,800.00	10,648,169.01
May 10, 1929	275,270.00	11,483,205.68
May 13, 1929	223,920.00	12,108,721.12
May 17, 1929	809,670.00	14,463,532.86
May 18, 1929	324,370.00	14,480,734.30
May 20, 1929	64,440.00	14,335,634.30
May 24, 1929	412,360.00	13,345,272.84
May 27, 1929	40,900.00	12,538,616.02
May 28, 1929	825,900.00	12,031,076.02
May 31, 1929	1,096,760.00	11,287,696.55
June 3, 1929	33,630.00	11,872,571.35
June 4, 1929	100.00	11,798,996.51
June 7, 1929	7,300.00	11,561,254.65
June 11, 1929	471,000.00	10,615,265.12
June 13, 1929	14,000.00	11,026,946.92
June 14, 1929	39,900.00	11,500,931.77
June 17, 1929	1,367,220.00	11,507,811.74
June 18, 1929	1,972,385.00	11,816,677.82
June 20, 1929	278,830.00	13,404,193.72
June 25, 1929	944,000.00	12,066,643.66
June 28, 1929	989,670.00	10,327,493.41
June 29, 1929	92,270.00	12,662,493.41
July 2, 1929	545,800.00	11,669,570.27
July 5, 1929	1,073,190.00	11,076,330.26
July 9, 1929	793,200.00	10,456,623.91
July 11, 1929	9,580.00	11,217,589.43
July 12, 1929	173,720.00	11,180,435.43
July 15, 1929	125,530.00	11,361,621.01

Exhibit 120

A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY

EXHIBIT 120
OCT 18 1938
OFFICIAL REPORT

Calculation of the Proportionate Ownership of National
Bank of Kentucky Stock Represented by Ownership of
Each Share of Banco Kentucky Company and the
Proportionate Part of Assessment on
National Bank of Kentucky Stock
Allocated to the Ownership of
Each Share of Banco Kentucky
Company Stock.

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit No. 120

Exhibit 120

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY M. PREVO, C.P.A.
L. S. McLAUGHLIN, C.P.A.

TELEPHONE
CADILLAC 4888

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1933

Mr. A. M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions we prepared and have appended hereto a statement setting forth the basis for the calculation of the proportionate ownership of National Bank of Kentucky stock represented by ownership of each share of BancoKentucky Company and the proportionate part of assessment on National Bank of Kentucky stock allocated to the ownership of each share of BancoKentucky Company stock.

Very truly yours,

White, Bower & Prev
Certified Public Accountants.

Exhibit 120

Calculation of the Proportionate Ownership of National Bank of Kentucky Stock Represented by Ownership of each Share of BancoKentucky Company and the Proportionate part of Assessment on National Bank of Kentucky Stock Allocated to the Ownership of each Share of BancoKentucky Company Stock.

Shares of BancoKentucky Company (Par \$10) Outstanding	2,072,468
Shares of National Bank of Kentucky (Par \$100) held by Trustees	39,820
Shares of Louisville Trust Company (Par \$100) held by Trustees	17,235
Shares of Trustees Participation Certificates (Par \$10) outstanding	570,550
Shares of Trustees Participation Certificates held by BancoKentucky Company	540,484

One share Trustees Participation Certificates (Par \$10) equals: {

.0697923 shares of National Bank of Kentucky (Par \$100)	(39,820 ÷ 570,550)
.0802077 shares of Louisville Trust Company (Par \$100)	(17,235 ÷ 570,550)

540,484 Shares of Trustees Participation Certificates represents the following shares of stock of National Bank of Kentucky
 $(540,484 \times .0697923)$ 37,721.6214


One Share Banco Kentucky Company stock represents .01820130462 shares National Bank of Kentucky stock $(37,721.6214 \div 2,072,468)$

100% Assessment on 37,721,624 shares of National Bank of Kentucky.	\$3,772,162.14
Payment by BancoKentucky Company (December 18, 1934)	90,745.17
Balance -	\$3,681,416.97

Interest at 6% per annum from April 1, 1931 (date assessment due) to December 18, 1934 on \$3,772,162.14 840,563.52

Interest at 6% per annum from December 18, 1934 (date of BancoKentucky Company payment) to February 16, 1936 (date of filing bill of complaint) on \$3,681,416.97	255,858.49
Total -	\$4,777,838.98

Assessment allocated to owner of each share of Banco Kentucky Company Stock $(\$4,777,838.98 \div 2,072,468)$	\$2.305386
Rate used in bill of complaint	\$2.304909



Forrester correspondents didn't report on Day's out-and-out Cal Laughter, but they did report on his "three boats in Missouri Bay" and "one boy on the Hoover without a license."

**THREE IN FISHING BOAT
FEARED LOST IN BAY**

Byron, N. Y., April 2. — The

**THREE IN FISHING BOAT
FEARED LOST IN BAY**

Princeton, N. J., April 9. (AP)—Three men who were caught in a sudden storm while fishing from a small boat in Sandyhook bay today were believed to have drowned.

They were last seen last night in the bay yesterday morning running a power boat.

GERMAN STUDIES

Celeste Patterns



DISCUSSION AND CONCLUSIONS

WE SAVED UNDERTAKERS

**TO TELL
HE WAS INJURED**

[illegible]

**GROSS BLANK FOR
POLYESTER FIBROUS**

Fabric: Shrink-Free Co.
Material: Wool Fiber: Wool
on the Gross Fibrous Used to
be 100

Paper & Ink 100

Name:

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2. Source:
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799
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4-1-21 - 1st - Post
April 8-1929

Character No. 5512 Reserve District No. 8
REPORT

Of condition of the National Bank of Kentucky of Louisville, in the State of Kentucky, at the close of business on March 17th, 1909:

RESOURCES

1	Loans and discounts.....	\$64,555,081.39
2	Overdrafts	97,368.77
3	United States Government securities owned	9,369,004.00
4	Other bonds, stocks and securities owned	3,484,579.86
5	Building loans, \$55,000.00; furniture and fixtures, \$147,360.67	1,423,889.67
6	Real estate owned other than building houses	12,734.86
7	Reserve with Federal Reserve Bank	2,971,510.40
8	Cash and due from banks	6,055,176.86
9	Outside checks and other cash items	68,720.32
10	Redemption fund with U. S. Treasurer and due from U. S. Treasurer	125,000.00
11	Accumulations of other bonds and bills of exchange or drafts sold with indorsement of this bank	687,732.10
12	Other assets	381,561.66
	Total	\$86,781,612.94

LIABILITIES

15	Capital stock paid in	\$1,000,000.00
16	Surplus	2,000,000.00
17	Undivided profits — net	400,000.17
18	Reserves for divi- dends, contingen- cies, etc.	170,000.00
19	Reserves for interest, taxes, and other ex- penses accrued and accrued	370,000.00
20	Overriding notes outstanding	2,000,000.00
21	Due to banks, includ- ing certified and cashier's checks outstanding	0.000,000.00
22	Forward drafts	20,000,000.00
23	Time deposits	1,000,000.00
24	Agreements to re- purchase U. S. Government or other securities held	200,000.00
25	Bills payable and acceptances	0.000,000.00
26	Agreements of other banks and bills of exchange or drafts held with indorse- ment of this bank	500,000.00
27	Other liabilities	500,000.00

Total\$99,999,999.99

State of Kentucky, County of Jefferson,
ss: I, C. F. JONES, clerk of the sherriff
court, do solemnly swear that
the above statement is true to the best
of my knowledge and belief.

C. F. JONES, Clerk.

Subscribed and sworn to before me
this 15th day of April, 1936.

PHILIP E. WATSON,
Notary Public.

Correct—Attest:
B. C. LYONS,
ALLEN P. BOND,
ANTHONY E. BUTLER,
Clerks of the Court.

AMATEURS ARE RECLASSIFIED

Blow Drill Topmatch Pat-
formers, Being Rated
Since 1960.

The distribution of the population of the United States is shown in the following table. The population of the United States in 1900 was 76,000,000. The population of the United States in 1910 was 92,000,000. The population of the United States in 1920 was 106,000,000. The population of the United States in 1930 was 123,000,000. The population of the United States in 1940 was 137,000,000. The population of the United States in 1950 was 152,000,000. The population of the United States in 1960 was 179,000,000. The population of the United States in 1970 was 203,000,000. The population of the United States in 1980 was 226,000,000. The population of the United States in 1990 was 253,000,000. The population of the United States in 2000 was 281,000,000. The population of the United States in 2010 was 309,000,000. The population of the United States in 2020 was 331,000,000. The population of the United States in 2030 is projected to be 354,000,000. The population of the United States in 2040 is projected to be 377,000,000. The population of the United States in 2050 is projected to be 400,000,000. The population of the United States in 2060 is projected to be 423,000,000. The population of the United States in 2070 is projected to be 446,000,000. The population of the United States in 2080 is projected to be 469,000,000. The population of the United States in 2090 is projected to be 492,000,000. The population of the United States in 2100 is projected to be 515,000,000.

**LINFORD NEW ADDITION
OF BASEBALL FAMILY**

The following table shows the results of the survey, which was conducted in 1998. The table is organized by country and by the type of business (SME or Large). The data is presented in percentages.

Country	Business Type	Exporting (%)	Importing (%)	Both (%)	Neither (%)
USA	SME	15	10	10	65
USA	Large	35	25	20	20
UK	SME	10	15	10	65
UK	Large	25	20	15	40
France	SME	5	10	10	75
France	Large	20	15	10	55
Germany	SME	10	10	10	70
Germany	Large	30	20	15	35
Italy	SME	5	10	10	75
Italy	Large	25	15	10	50
Spain	SME	5	10	10	75
Spain	Large	20	15	10	55
Japan	SME	10	10	10	70
Japan	Large	30	20	15	35
China	SME	5	10	10	75
China	Large	25	15	10	50

Source: Survey of International Trade in SMEs, 1998.

DEEP RIVER IN BRILLIANT WORK AT LEXINGTON PLANT

Lakeland, Fla., April 8. (Special).—Doug Shove, the son of John C. Shove, who was killed by a train while crossing the tracks at Lakeland, Fla., last week, arrived earlier on railroads at Lakeland today.

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CALVE ANNEX SECOND
TRIUMPH OF SEASON, 18

Covington Ky.

1 1/2 Water Works
Refunding Bonds
Due 1931 to 1939
Price to Yield 4.33%

OTIS & CO.

Established 1899

John W. Smart,
Representative

924-927 Inter-Southern
Life Bldg.

(City 444-64)

Members New York,
Cleveland and Cincinnati
Stock Exchanges.

1853 1930

Investment Service

STOCKS BONDS

Our private wires to
New York enable us
to give prompt and
efficient service on
New York Stock Ex-
change orders.

STEIN BRO. & BOYCE

Established 1899

407 W. Jefferson Street

LOUISVILLE, KY.

CH. 840

WE BUY, SELL AND EXCHANGE

WE BUY, SELL AND EXCHANGE

We Have Active
Trading In

Bank of Kentucky Co.

Louisville City & Electric
Co. Pfd.

Ky. Utilities Pfd.

Various Bond Issues

W. L. LYONS & CO.

MEMBERS

New York Stock Exchange
Louisville Stock Exchange
Cincinnati Stock Exchange
New York Bond Exchange

Ground Floor
Kenyon Bldg.

Branch, West and Walnut Sts.

Charter No. 5312. Reserve District No. 9 REPORT

Of condition of the National Bank of
Kentucky of Louisville, in the State
of Kentucky, at the close of business
on December 31, 1929:

RESOURCES.

1. Loans and discounts	\$37,001,098.48
2. Overdrafts	27,520.42
3. United States Government securities owned	2,573,000.00
4. Other bonds, stocks and securities owned	4,295,411.14
5. Banking house, \$35,000.00; furniture and fixtures, \$147,380.67	182,380.67
7. Real estate owned other than banking house	11,749.92
8. Reserve with Federal Reserve Bank	2,179,212.90
9. Cash and due from banks	7,272,906.02
10. Outside checks and other cash items	145,268.13
11. Redemption fund with U. S. Treasurer and due from U. S. Treasurer	125,000.00
12. Acceptances of other banks and bills of exchange or drafts sold with indorsement of this bank	444,989.09
14. Other assets	247,148.67
Total	\$51,701,833.44

LIABILITIES.

15. Capital stock paid in	\$ 4,000,000.00
16. Surplus	2,000,000.00
17. Undivided profits—net	239,267.88
18. Reserves for dividends, contingencies, etc.	302,545.32
19. Reserve for interest, taxes and other expenses accrued and unpaid	416,112.38
20. Circulating notes outstanding	2,671,560.00
21. Due to banks, including certified and cashiers' checks outstanding	9,361,796.57
22. Demand deposits	21,366,979.08
23. Time deposits	9,532,582.36
24. Agreements to repurchase U. S. Government or other securities	1,250,556.00
25. Bills payable and discounts	2,900,000.00
27. Acceptances of other banks and bills of exchange or drafts sold with indorsement of this bank	444,989.09
31. Other liabilities	271,371.55
Total	\$54,701,833.44

State of Kentucky, County of Jefferson, ss:

I, C. F. Jones, cashier of the above-named bank, do solemnly swear that the above statement is true to the best of my knowledge and belief.

C. F. JONES, Cashier.

Subscribed and sworn to before me this 13th day of January, 1930.

PHILIP B. WATSON,

Notary Public.

My commission expires April 8, 1931.

Correct—Attest:

ALLEN P. DODD,

E. J. O'BRIEN, JR.,

C. C. MENGEL,

Directors.

A. H. Cooper, 1829 W. 10th Street, Louisville, Ky., has been elected to the office of Cashier of the National Bank of Kentucky, effective January 1, 1930.

JOHN HOLLISTER, President, National Bank of Kentucky, has been elected to the office of Cashier of the National Bank of Kentucky, effective January 1, 1930.

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INDIC
Chickadee, C.
Sharon, Boston
Harry E. Smith
one weekend
Ohio residents
of leaving the
Funda. General
Oregon

LOCAL MARKETS

Wheat	1.00
Barley	1.00
Oats	1.00
Hay	1.00
Straw	1.00
Timothy	1.00
Alfalfa	1.00
Clover	1.00
Orchard grass	1.00
Perennial ryegrass	1.00
Red clover	1.00
White clover	1.00
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Pink clover	1.00
Brown clover	1.00
Grey clover	1.00

ECONOMY via EXECUTIVES



You, Mr. Executive, know the value of time and appreciate the saving power. ROEBUCK & CO. COMPANY do this for the benefit of every business (individual). Save any saving on Office Supplies without the necessity of quantity purchase. We serve to build economy in the very foundation of your business.

Office Supplies—

Steel Filing Cabinets

28⁹⁵

3x5 Ruled File Cards

The World's Standard for the office. Quality the same as you pay for. 8c

Desk Card Boxes

52c

Out card file size 3 1/2 x 5 1/2 inches. Contains 100 3x5 ruled cards and alphabetical index.

Pencil Sharpener

A high-grade sharpener. Sharpens all grades of pencils. Holds 10 pencils. 7c

Steel Cash Boxes

Model of heavy steel and electrically welded metal. 98c

Steel Wastebaskets

79c

16" high, 12" wide, 12" deep. 65c

ROEBUCK AND CO.

Herald-Post
July 11-1930

Quarter No. 222, Reserve Banked No. 2 REPORT

Of condition of the National Bank of Kentucky, in the State of Kentucky, at the close of business on June 30, 1930.

RESOURCES	
1. Loans and discounts	\$1,100,000.00
2. Cash items	50,000.00
3. United States Government securities	2,500,000.00
4. Other loans, notes and securities	1,000,000.00
5. Real estate, mortgages and other assets	100,000.00
6. Reserve with Federal Reserve Bank	2,500,000.00
7. Cash and due from banks	9,700,000.00
8. Outside checks and other cash items	100,000.00
9. Redemption fund with U. S. Treasury and due from U. S. Treasury	100,000.00
10. Assets of other banks and of other institutions of this bank	100,000.00
11. Other assets	100,000.00
Total	\$10,000,000.00

LIABILITIES	
12. Capital stock paid in	\$1,000,000.00
13. Surplus	500,000.00
14. Undivided profits	100,000.00
15. Reserve for dividends, contingencies, etc.	100,000.00
16. Reserve for liquidation of other assets	100,000.00
17. Other liabilities	100,000.00
18. Due to banks, other banks and other checks	11,000,000.00
19. Demand deposits	10,000,000.00
20. Time deposits	1,000,000.00
21. Accounts payable	1,000,000.00
22. Assets of other banks and of other institutions of this bank	100,000.00
23. Other liabilities	100,000.00
Total	\$10,000,000.00

State of Kentucky, County of Jefferson, ss:

I, W. T. Smith, auditor of the above bank, do hereby certify that the above statement is true to the best of my knowledge and belief.

W. T. SMITH, Auditor.

Subscribed and sworn to before me this 10th day of July, 1930.

W. T. SMITH, Auditor.

Corrected—Attest:

J. H. DUNHAM, Secretary.

Herald-Post
October 11-1930

Charter No. 5312, Reserve District No. 8
REPORT

Of condition of the National Bank of
Kentucky of Louisville, in the State
of Kentucky, at the close of business
on September 30th, 1930:

RESOURCES

1. Loans and discounts	\$29,060,022.45
2. Overdrafts	69,649.51
3. United States Government securities owned	2,575,000.00
4. Other bonds, stocks and securities owned	6,016,329.57
5. Banking houses, \$25,000.00; furniture and fixtures, \$167,366.67	182,366.67
7. Real estate owned other than banking house	2,984.91
8. Reserve with Federal Reserve Bank	3,120,989.16
9. Cash and due from banks	7,300,389.11
10. Outside checks and other cash items	27,792.22
11. Redemption fund with U. S. Treasurer and due from U. S. Treasurer	125,000.00
12. Acceptances of other banks and bills of exchange or drafts sold with indorsement of this bank	711,697.18
14. Other assets	304,969.78
Total	\$49,909,129.16

LIABILITIES

15. Capital stock paid in	4,000,000.00
16. Surplus	2,000,000.00
17. Undivided profits—net	326,547.14
18. Reserves for dividends, contingencies, etc.	711,123.32
19. Reserves for interest, taxes and other expenses earned and unpaid	182,972.88
20. Outstanding notes outstanding	2,560,000.00
21. Due to banks, including capital and subordinated checks outstanding	9,263,802.91
22. Demand deposits	21,562,399.59
23. Time deposits	1,030,286.57
24. Agreements to repurchase U. S. Government or other securities sold	1,350,000.00
27. Acceptances of other banks and bills of exchange or drafts sold with indorsement of this bank	711,697.18
21. Other liabilities	304,969.78
Total	\$49,909,129.16

State of Kentucky, County of Jefferson, ss:

I, W. T. Bartholomew, auditor of the above-named bank, do hereby certify that the above statement is true to the best of my knowledge and belief.

Witness my hand and seal this 9th day of October, 1930.

W. T. BARTHOLOMEW, Auditor.
By appointment expires April 30th, 1931.

Certified—Attest:

R. L. CALLAHAN,
J. R. DUNNAN,
ANTHONY J. GARDNER,
Directors

N. Y. BANK STOCKS

Bank of America, N. Y. & C. Co.
Bank of New York
Citibank, N. Y.
First National Bank, N. Y.
Fourth National Bank, N. Y.
Huntington Nat. Bank, N. Y.
Mellon Bank, N. Y.
National City Bank, N. Y.
National Commercial Bank, N. Y.
National Exchange Bank, N. Y.
National Fire Insurance Co., N. Y.
National Industrial Bank, N. Y.
National Marine Bank, N. Y.
National Real Estate Bank, N. Y.
National Trust Co., N. Y.
National Union Bank, N. Y.
National War Finance Corp., N. Y.
National Wholesale Bank, N. Y.
National Workingmen's Bank, N. Y.
National Young Men's Bank, N. Y.
National Zions Bank, N. Y.
New York Bank of Commerce
New York City Bank
New York Federal Reserve Bank
New York National City Bank
New York National Exchange Bank
New York National Fire Insurance Co.
New York National Industrial Bank
New York National Marine Bank
New York National Real Estate Bank
New York National Trust Co.
New York National Union Bank
New York National War Finance Corp.
New York National Wholesale Bank
New York National Workingmen's Bank
New York National Young Men's Bank
New York National Zions Bank
New York National City Bank
New York National Commercial Bank
New York National Exchange Bank
New York National Fire Insurance Co.
New York National Industrial Bank
New York National Marine Bank
New York National Real Estate Bank
New York National Trust Co.
New York National Union Bank
New York National War Finance Corp.
New York National Wholesale Bank
New York National Workingmen's Bank
New York National Young Men's Bank
New York National Zions Bank

By W. T. Bartholomew, Auditor.
By appointment expires April 30th, 1931.

#205,178

JEFFERSON CIRCUIT COURT
CHANCERY BRANCH
SECOND DIVISION.

COMMONWEALTH OF KENTUCKY ON RELATION, et al.,

PLAINTIFFS,

vs-

THE LOUISVILLE TRUST COMPANY, et al.,

DEFENDANTS,

ANNIE BULLITT BREWER,

PLAINTIFF and INTERVENOR,

vs-

FIDELITY & COLUMBIA TRUST COMPANY,
RECEIVER OF THE LOUISVILLE TRUST
COMPANY, et al.,

JOHN V. HEDMAN, CLERK

DEFENDANTS.

JOINT ANSWER OF THE LOUISVILLE TRUST COMPANY,
TRUSTEE UNDER THE WILL OF CLARA KENNEDY
BULLITT, DECEASED, THE LOUISVILLE
TRUST COMPANY, INDIVIDUALLY and
FIDELITY & COLUMBIA TRUST COM-
PANY, RECEIVER.

PARAGRAPH I.

The defendants, The Louisville Trust Company,
Trustee under the Will of Clara Kennedy Bullitt, deceased, The
Louisville Trust Company, individually, and Fidelity and Columbia
Trust Company, Receiver of The Louisville Trust Company, named in

the intervening petition of Annie Bullitt Brewer, for answer to said intervening petition deny that The Louisville Trust Company either in its capacity as Trustee under the Will of Clara Kennedy Bullitt, deceased, or in its individual capacity, any time treated or construed Item 8 of the Will of Clara Kennedy Bullitt as mandatory on the Trustee named in said Will to obtain the advice or consent of C. Malcolm Bullitt before making any reinvestment, investment, sale or change of all of said trust estate, or of any part thereof.

These defendants deny that The Louisville Trust Company as said Trustee had secretly, or without the knowledge or consent of said intervening plaintiff invested funds belonging to the corpus of said trust estate in the sum of \$14,500, or in any sum, in 580 shares, or any shares, or share, of the Common Capital stock of the Banco-Kentucky Company, and deny that said plaintiff did not know of, or discover the fact, that said Trustee had acquired for said trust estate 580 shares of the Capital stock of the Banco-Kentucky Company.

Defendants deny that The Louisville Trust Company in acquiring for said trust estate said 580 shares of the Banco-Kentucky Company stock wilfully or fraudulently or in bad faith, or utterly, or at all, breached Item 8 of said Will of Clara Kennedy Bullitt, or that it purposely, or at all, concealed or hid from C. Malcolm Bullitt, or from said intervening plaintiff, or from any of the remaindermen under said Will named as defendants in said intervening petition the acquisition of said 580 shares of Banco-Kentucky Company stock for said trust estate, and deny that the sum of \$14,500, or any part thereof, belonging to said trust estate was misused, or that The Louisville Trust Company made a secret profit, or any profit, for itself from said alleged funds, or any

part thereof, or from or out of said trust estate in the manner and form as alleged in said intervening petition, or at all.

Defendants deny that at the time of the acquisition by said trust estate of the said 580 shares of BancoKentucky Company stock, the BancoKentucky Company owned or controlled any stock in various or any speculative enterprises; and deny that at the time of the acquisition by the said trust estate of the 580 shares of BancoKentucky Company stock, the BancoKentucky Company had not been in operation more than ten years, as required or contemplated by Section 4706 of Kentucky Statutes; deny that at the time of the acquisition by said trust estate of the said 580 shares of BancoKentucky Company stock, the officers or directors of The Louisville Trust Company were heavy owners of stock of BancoKentucky Company, or that they, or any of them, were speculators on margin or credit of the stock in BancoKentucky Company; and deny that from or by reason of any of the facts alleged, or at all, said The Louisville Trust Company as Trustee was misusing or did misuse the funds, or any fund, of said trust estate when it acquired the said 580 shares of stock of the BancoKentucky Company. ✓

The defendants deny that said intervening plaintiff has been bereft of the income from the said \$14,500, or any part thereof, or that the corpus of said trust fund has been bereft of \$14,500, or any part thereof, by reason of said alleged breach of trust, or any breach of trust, or the fraudulent or scandalous conduct, or any conduct, of The Louisville Trust Company, or by or through the willful or illegal acts or any act of The Louisville Trust Company, or to the permanent detriment or harm, or any detriment or harm of said intervening plaintiff, or of said remaindermen, or any of them, or against the conscience of equity, or any breach of the statutes, or any statute, in such case made

and provided.

Defendants deny that for all of the \$14,500, or any part thereof, referred to in said intervening petition, said estate of Clara K. Bullitt, or the beneficiaries under her Will, or any of them, are entitled to a preference on the assets of the defendant, The Louisville Trust Company; and deny that said alleged claim is preferred under Sections 598-b-4, or 3868 Kentucky Statutes.

PARAGRAPH II.

For further answer to the intervening petition of Annie Bullitt Brewer, these defendants state:

That the said Clara K. Bullitt, after making certain specific and pecuniary bequests devised and bequeathed under Item 6 of her Will all of the residue of her estate, real and personal, unto The Louisville Trust Company in trust as follows:

"To invest and reinvest the same as often as in its judgment the interests of the estate and the production of a good income may demand, and to that end and for the purpose of distribution and settlement of my estate, it shall have power to sell and convey the real estate, and to sell and transfer the personal estate from time to time and whenever necessary, for the best interests of my estate; to pay to my daughter, Annie Bullitt Brewer, during her life, monthly or quarterly, the net income of the estate, after payment of taxes and all lawful charges and expenses. Should my said daughter anticipate, pledge or attempt to dispose of her estate herein, or should any of her creditors attempt by legal proceedings or otherwise, to subject her said interest to the payment of their demands, then, in any of said events, her said interest in my estate shall thereby and at once cease and terminate, and vest in the devisees in remainder."

That at the time of the death of Clara Kennedy Bullitt, she was the holder and owner of 18 shares of stock of the National Bank of Kentucky; that The Louisville Trust Company made a full and complete settlement of its accounts as Executor of the Will of Clara Kennedy Bullitt, deceased, and thereupon transferred to itself as Trustee under said Will all of the residue of said

estate, and that said 18 shares of National Bank of Kentucky stock were included in said residuary estate that came into the hands of The Louisville Trust Company as Trustee, and that said Trustee never sold the said 18 shares of stock, or any part thereof, but still holds the same, but in the converted form as hereinafter set out.

That thereafter, on the _____ day of April, 1927, the National Bank of Kentucky duly and regularly declared a dividend of 60% on all of its then outstanding stock and in payment thereof issued to its stockholders certificates for 60% of the amount of stock then held by each stockholder in the National Bank of Kentucky, and by virtue of the declaration of said dividend and the payment thereof in stock The Louisville Trust Company, as Trustee under the Will of Clara Kennedy Bullitt, became entitled to and received from the National Bank of Kentucky 10.8 shares of its capital stock, in addition to the 18 shares then held by it. The said National Bank of Kentucky did not issue certificates for fractions of shares, and it became necessary for The Louisville Trust Company as Trustee, to sell $8/10$ or purchase $2/10$ of a share. The said National Bank of Kentucky had regularly paid dividends on its Capital stock in amounts which produced an adequate income on the value thereof and in the judgment of said Trustee the best interests of the estate could be served by purchasing $2/10$ of a share rather than selling $8/10$ of a share, and it did purchase $2/10$ of a share of stock in the National Bank of Kentucky for which it paid the sum of \$69.00, which was the fair and reasonable market value of said $2/10$ of a share of stock in the National Bank of Kentucky at the time it was purchased. The Louisville Trust Company, as Trustee under the Will of Clara Kennedy Bullitt, was then the owner of 18 shares of the Capital stock in the National Bank of

Kentucky devised to it by the said testator, at the appraised value of \$4320.00, of 10.8 shares of said stock which came to it as a stock dividend and 2/10 of a share which it purchased, paying therefor the sum of \$69.00.

That on April 22nd, 1927, a large majority of the owners and holders of the Capital stock of the National Bank of Kentucky and a large majority of the owners and holders of the Capital stock of The Louisville Trust Company determined that the interests of these respective institutions as separate corporate entities and of each stockholder therein required closer cooperation of said Bank and Trust Company to be of greater service to their respective patrons and, in order to secure such cooperation, they entered into an agreement by which the stock of said National Bank of Kentucky and the stock of the said The Louisville Trust Company was pooled and placed in the hands of Henry Vogt, Thomas J. Minary, Stuart H. Duncan, Allen P. Dodd, Edwin M. Drummond and Charles H. Bohmer, and their successors, as trustees, to hold and vote the same on the condition set forth in said trust agreement, a copy of which will be filed herewith as part hereof marked "Exhibit A", and Trustees' Participation Certificates therefor representing the proportion of each share-holder therein were issued and delivered to the respective stockholders; that The Louisville Trust Company, as Trustee of Clam Kennedy Ballitt, delivered to the trustees under said agreement of April 22nd, 1927, certificate for 29 shares of stock in the National Bank of Kentucky and received therefor Trustees' Participation Certificates in lieu of said 29 shares of stock; that said National Bank of Kentucky then had outstanding 40,000 shares of its capital stock, of the par value of \$100 per share, and in accordance with the provisions of said pooling agreement under which the ownership of a large majority of the outstanding

stock of the National Bank of Kentucky and The Louisville Trust Company was unified, 39,820 shares of the National Bank of Kentucky were thus deposited with said trustees under the agreement of April 22nd, 1927, and The Louisville Trust Company had outstanding 17,500 shares of its Capital stock, of the par value of \$100 per share, and in accordance with said agreement there were deposited with said trustees 17,235 shares of The Louisville Trust Company stock, and said trustees issued and delivered to the depositors of said bank stock and said trust company stock Trustees' Participation Certificates evidencing the ownership of 57,055 Participating Shares, as described in said agreement of April 22nd, 1927; that thereafter, each of said Trustees' Participation Shares was split or divided and 10 shares were issued in place thereof, so that The Louisville Trust Company, Trustee of Clara Kennedy Bullitt, became and was the owner of 290 Trustees' Participation Shares, and the trustees paid to the holders of Participation Certificates regularly thereafter dividends aggregating 16% per annum on the par value of the Capital stock of the National Bank of Kentucky and The Louisville Trust Company, so that The Louisville Trust Company, as Trustee of Clara Kennedy Bullitt, received an annual income of \$464.00 from said Trustees' Participation Shares.

That the unification of the shares of Capital stock of the National Bank of Kentucky and of The Louisville Trust Company, through the transfer of the legal title to said shares unto Trustees, and the issue by said Trustees of Participation Certificates at the rate of one share in said unified trust fund for each share of said National Bank of Kentucky stock and for each share of The Louisville Trust Company stock unto the respective owners so transferring their shares of bank stock or trust company stock unto said Trustees, was designed and intended

for the purpose of enabling the National Bank of Kentucky and The Louisville Trust Company each to operate more advantageously and profitably and to enable each of them to facilitate and extend the operations and business of the other; that such unification of the holdings of stock of said two institutions was in accordance with the modern trend of business and was regarded by all persons competent to judge such matters to be to the best interests of both institutions and their respective stockholders.

That any actual merger and consolidation of the National Bank of Kentucky and The Louisville Trust Company into a single corporation was rendered impossible by reason of the fact that said bank was created and existed under an act of Congress providing for the establishment of national banks, while The Louisville Trust Company was organized under the laws of the Commonwealth of Kentucky; that the unification of interests, to the extent that the same was accomplished through the medium of aforesaid unified trust, was resorted to in an effort to secure for both of said institutions and their respective stockholders most of the advantages and benefits that would have accrued from actual consolidation of said two institutions if the same could have been accomplished legally.

That the operation of said two institutions for a period of more than one year under aforesaid unified trust arrangement proved and demonstrated the advantage of said plan to both said bank and said trust company and to their respective stockholders, and that it was thereupon determined by the officers and directors of both of said institutions and by those persons who were in fact the beneficial owners of a large majority of the shares of stock of both institutions, that it would be to the best interests of each of said institutions and of the respective stockholders thereof if a more complete and beneficial

unification of interests and of the operations of said two institutions were accomplished through the formation of a separate corporation for the purpose of acquiring from said Trustees such number of Trustees' Participation Shares as would vest in such new corporation the beneficial ownership of the majority of shares of outstanding stock of both said bank and said trust company; that in order to carry out such purpose, the BancoKentucky Company was organized as a holding corporation and was empowered to acquire and hold the shares of capital stock of both said bank and trust company, including the shares of Trustees' Participation Certificates issued by the trustees as aforesaid, and was further authorized and empowered to acquire and hold the shares of Capital stock of other banks, trust companies and corporations.

That immediately after the organization of the BancoKentucky Company as aforesaid, the beneficial owners of a large majority of the Capital stock of said bank and the beneficial owners of a large majority of the Capital stock of said trust company, constituting a large majority of the owners of said Trustees' Participation Shares, entered into a contract and agreement with the BancoKentucky Company pursuant to which said shareholders exchanged their respective Trustees' Participation Shares, representing their beneficial interests as stockholders in both said bank and trust company, for shares of the Capital stock of the BancoKentucky Company, upon the basis of two shares of BancoKentucky Company stock for one share of Trustees' Participation Certificates.

That in manner as aforesaid, a further and more complete unification of the interests of the stockholders in the Bank of Kentucky and the stockholders in The Louisville Trust Company was accomplished, and that at the time when said

The Louisville Trust Company, as Trustee under the Will of Clara K. Bullitt, exchanged the 290 shares of Trustees' Participation Certificates held in said trust estate for 560 shares of the Capital stock of the BancoKentucky Company, the BancoKentucky Company was the holder and owner of a large majority of said Trustees' Participation Shares and by reason thereof was the beneficial owner of a large majority of the Capital stock of both said bank and trust company; that at the time of aforesaid exchange, the BancoKentucky Company did not own, either directly or indirectly, any shares of stock in any other corporation, and that, while the BancoKentucky Company was in law and in fact a legal entity separate and distinct from both said bank and trust company, and competent to hold and own shares of stock of both said bank and trust company, the exchange of said 290 Trustees' Participation Shares for 560 shares of the Capital stock of the BancoKentucky Company, did not essentially change the nature or character of the interest of the trust estate of said Clara K. Bullitt in either said bank or trust company, save and except that said BancoKentucky Company became the beneficial owner of the shares of bank stock and the shares of trust company stock represented by said 290 Trustees' Participation Shares and became subject to the double liability attaching to both said bank stocks and said trust company stocks.

That neither at the time of the exchange as aforesaid nor at any time during the corporate existence of the BancoKentucky Company did it own or hold any bonds or bond or securities or security of any corporation that at the time of such ownership had not been in operation for more than ten years or that during such time had defaulted in the payment of principal or interest in its bonded debt, and that at no time during the

corporate existence of the BancoKentucky Company did it own the bonds of any county, district, town or city that within ten years had defaulted in the payment of interest or principal of its bonded debt.

That the aforesaid agreement entered into between the BancoKentucky Company and the owners of a large majority of the Trustees' Participation Shares, to exchange said Trustees' Participation Shares for shares of the Capital stock of the BancoKentucky Company, brought about a situation where it became apparent that unexchanged Trustees' Participation Shares would not maintain the market price that would attach to the shares of stock of the BancoKentucky Company that would be received therefor if such exchange were effected, and that said The Louisville Trust Company, in its capacity as Trustee under the Will of Clara K. Bullitt, deemed it to be to the best interests of said trust estate and of all the beneficiaries thereof, that such exchange of Trustees' Participation Shares for shares of the BancoKentucky Company be made, and that it did make such exchange for those reasons.

That at the time of the exchange of said 290 Trustees' Participation Shares for 580 shares of stock of the BancoKentucky Company was agreed upon and actually made, the BancoKentucky Company was not engaged in any business or activity other than the owning of the shares of stock of said bank and the shares of stock of said trust company represented by the large majority of the Trustees' Participation Shares that it had theretofore acquired and then owned, and that the fact that the BancoKentucky Company had not been in existence for the period of ten years did not in any way affect the safety of that part of said trust estate of Clara K. Bullitt, Deceased, which was then represented by the 580 shares of stock of the BancoKentucky Company received in exchange

by The Louisville Trust Company as Trustee under the Will of Clara K. Bullitt, Deceased.

That the acquisition by The Louisville Trust Company, as Trustee under the Will of Clara K. Bullitt, Deceased, of said 580 shares of stock of the BancoKentucky Company was a mere change in form of securities, and did not represent or result from any investment of funds by said Trustee except to the extent of \$38.00 per share for two-tenths of a share of stock of the National Bank of Kentucky as aforesaid, but that such acquisition represented, and was in its essence, a mere continuation and retention of the ownership of said Trustees' Participation Shares belong in to the estate of Clara K. Bullitt, which in turn, were received by The Louisville Trust Company as Trustee under the Will of Clara K. Bullitt, Deceased, in exchange for the shares of bank stock as augmented by stock dividends, plus the purchase of two-tenths of a share as aforesaid, which belonged to said Clara K. Bullitt at the time of her death and which originally came to the hands of The Louisville Trust Company as Trustee under the Will of Clara K. Bullitt.

That the acquisition by The Louisville Trust Company as Trustee under the Will of Clara K. Bullitt of said 580 shares of BancoKentucky Company stock, under the facts and circumstances as hereinbefore set out, was both lawful and proper, and that in acquiring said 580 shares of BancoKentucky Company stock, The Louisville Trust Company acted in good faith and for the best interests of the complainant, Annie Bullitt Brewer, and all the other beneficiaries under the Will of Clara K. Bullitt, Deceased.

That at the time the aforesaid exchange was made for shares of stock of the BancoKentucky Company, the purchase of stock of the BancoKentucky Company was regarded by prudent

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business men generally as constituting a safe and proper investment; that for more than a year after the acquisition of said 580 shares of stock of the Banco Kentucky Company, quarter-annual dividends were earned and paid thereon in an amount equal to or greater than any quarter-annual dividends theretofore paid upon either the shares of stock of the National Bank of Kentucky or of The Louisville Trust Company; and that the placing of the affairs of the Banco Kentucky Company in the hands of a receiver was brought about and resulted from the closing of the National Bank of Kentucky and The Louisville Trust Company respectively and was not occasioned by any losses sustained by the Banco Kentucky Company itself.

That Section 4706 of the Kentucky Statutes, insofar as the same forbids investment of funds held in a fiduciary capacity to be made in dividend-bearing securities of a corporation that has not been in existence for a period of ten years, was designed to prevent the investment of such funds in new and untried corporate enterprises, and was not intended or designed to prevent the investment of trust funds in shares of stock even of a newly-formed corporation which was in fact a mere corporation organized for the purpose of holding the shares of stock of other corporations that had been in existence for a period of more than ten years and which had not during said time defaulted in the payment of principal or interest on their respective bonded debts and which were regarded by prudent business men generally as constituting a safe and proper investment; that the Banco Kentucky Company regardless of the fact that it had not been in existence for a period of ten years, was, in fact and in essence, a corporation whose capital stock met all the requirements for investment of funds held in a fiduciary capacity as required by Section 4706

of the Kentucky Statutes; that at the time said exchange was made, the only activity of the BancoKentucky Company consisted in the control of said bank and trust company and the respective businesses thereof, both of which had been in operation for more than ten years; that in the organization of the BancoKentucky Company it was determined to be an essential part of said plan of organization that its shares of stock, or at least a substantial majority thereof, be owned by the Trustees' Participation shareholders, and that the BancoKentucky Company be managed and operated by the Boards of Directors and the officers of said bank and trust company; that said organization plan was in fact carried out and that during the entire time of the corporate existence of the BancoKentucky Company, it was managed and operated by a Board of Directors and by officers who constituted the Boards of Directors and officers of the bank and trust company, respectively.

That the organization of the BancoKentucky Company and the acquisition by it of the control of the National Bank of Kentucky and The Louisville Trust Company, through its ownership of said Trustees' Participation Shares was in fact and in substance a mere reorganization which permitted a continuation of the business of the bank and trust company, respectively, under their former management, and that such reorganization in no way injured said trust estate, but on the contrary it benefited the same.

PARAGRAPH III.

For additional answer to said intervening petition of Anna Bullitt Brown, these defendants state:

That it was the declared purpose of those who organized the BancoKentucky Company in 1929 that said corporation

would exchange its stock for the stock of the National Bank of Kentucky and The Louisville Trust Company; that said declaration and purpose was published in the newspapers of Louisville and widely discussed throughout the City of Louisville and that The Louisville Trust Company, as such Trustee, on March 29, 1930, caused to be made up and delivered to the plaintiff, Annie Bullitt Brewer, a statement of assets in its hands belonging to said estate, which statement set forth the transaction showing that 290 shares of ~~said Trustee's~~ said Trustees' Participation Certificates ~~had been exchanged for 580 shares of~~ the BancoKentucky Company. Said statement further showed that the BancoKentucky Company had paid into said estate a quarterly dividend on said stock and September 30, 1930, it caused another statement to be made up and delivered to the plaintiff, Annie Bullitt Brewer, which last statement showed that The Louisville Trust Company, as such Trustee, was then holding 580 shares of the BancoKentucky Company stock and that it had received from the BancoKentucky Company dividends thereon and by said written statement so delivered to the plaintiff, Annie Bullitt Brewer, by The Louisville Trust Company, as Trustee, she was notified and knew that said Trustee had exchanged the 18 shares of stock in the National Bank of Kentucky, which came to its hands from the estate of Clara Kennedy Bullitt, and the stock delivered to it by the National Bank of Kentucky as a dividend, together with the 2/10 of a share purchased by it ~~with~~ ~~for~~ ~~Trustees'~~ ~~Participation~~ ~~Certificates~~ covering stock /in National Bank of Kentucky and The Louisville Trust Company, and that it had exchanged said 290 Trustees' Participation Certificates for 580 shares of the Capital stock of the BancoKentucky Company and she did not ^{at} the time either of said statements were delivered to her, or at any time until November 17, 1930, object to said

transfer; that the said Trustee received from the BancoKentucky Company dividends on the 580 shares of stock so held by it on January 1, 1930, on April 1, 1930 and on July 1, 1930, and on October 1, 1930, and the dividends so received from the BancoKentucky Company were paid by it to the plaintiff, Annie Bullitt Brewer, and she thereby approved the acquisition by The Louisville Trust Company, as Trustee, of the 580 shares of the BancoKentucky Company.

By reason of the foregoing facts, the plaintiff, Annie Bullitt Brewer, is guilty of laches and is estopped from asserting any claim against the defendants on account of the acquisition or holding of the said 580 shares of stock in the Banco-Kentucky Company, and they plead and rely upon such estoppel in bar of her right to recover herein.

WHEREFORE, the defendants pray that plaintiff's petition be dismissed; for their costs and for all proper relief.

H. H. Lusk

Bruce B. Bussell

Samuel W. Bussell

Attorneys for the defendants.

STATE OF KENTUCKY
COUNTY OF JEFFERSON

The affiant, E. H. Dosker, states that he is Vice President of the defendant, The Louisville Trust Company; that he has read the foregoing answer and believes the statements contained therein are true.

E. H. Dosker

SUBSCRIBED AND SWORN to before me by E. H. Dosker this 23rd day of March 1931. My commission expires 12-6-32.

Adeline Lister
Notary Public, Jefferson County, Kentucky

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a reasonable time as directed by said will, or that when said stock was sold it did not receive therefor bonds issued by the United States of America or invest the proceeds in said bonds, or that by reason of the alleged failure of said executor and trustee to faithfully carry out its trust in regard to said Van Camp Packing Company stock said estate was damaged in the amount of \$10,567, or any sum, and defendant denies that said Van Camp Packing Company stock was sold at all.

PARAGRAPH II.

For further answer to the petition of the Fidelity and Columbia Trust Company, as trustee under the will of said Frank A. Kenne, the defendant, the Louisville Trust Company states that the will of the said Frank A. Kenne, among other provisions, contains the following:

"... I further direct that my Executor and Trustee convert into cash as soon after my death as its best judgment may direct, the remainder of my estate, both real and personal, except my home-place, "Kenilworth", and invest the same in bonds issued by the United States of America, by it to be held, used and controlled during the period of time hereinafter stipulated for the use and benefit of my said wife, Katie Kimmel Kenne, and my children, Flora Annette Evans, Bertram Louis Kenne, Edwin Charles Kenne, Catherine May Kenne Cogins, Mildred Margaret Kenne, Clifford Raymond Kenne, and any other children hereafter born to me, as hereinafter provided, and my Executor and Trustee is empowered and requested to manage the estate in such a way as may seem to it most to redound to the best interest of my said wife and children and to use profit, increase and income for the benefit of my estate in the same way and as fully as I could lawfully do if living, subject only to the express provision of this my last will.

"Whenever my Executor and Trustee has on hand any fund for investment, whether realized from unexpended income or from the sale of property, either real or personal, belonging to my estate, whether referred to in this clause or any other clause of my will, I direct my Executor and Trustee to invest said fund in bonds of the United States of America, and give to said Executor and Trustee no discretion for investment or re-investment in other securities or property."

.....

"12. I hereby appoint the Louisville Trust Company Executor and Trustee of this my last will, and expressly authorize it to sell and convey any real or personal estate that may belong to me, and pass a good title thereto with the assent of any one of the Committee provided for in Clause Thirteenth hereof, which assent shall be evidenced by the signature of said member of the

Committee to the writing evidence of such sale, and the purchaser shall not be required to look to the application of the purchase money.

"17. I appoint my friends, August J. Walter of St. Louis and Henry J. Angermeyer, of Louisville, Ky., an Advisory Committee, to cooperate with my Executor and Trustee in the management of my estate and supervise all accounts and investments thereof, this Committee also to be consulted as to the disposition of my real estate and my Executor and Trustee is to be governed by their decision. * * * *"

Defendant states that the said August J. Walter and the said Henry J. Angermeyer each accepted the appointment as members of the said Advisory Committee under the terms of said will, and in accordance with the terms of said will advised this defendant as to the management of said estate, and further in accordance with said will were kept regularly informed as to the status of said estate, both as to the principal and the income therefrom, said formal statements showing the status of said estate as to principal and income being sent to each of said persons quarterly during each year.

Defendant states that at the time of the qualification of this defendant as the executor of the will of said Frank A. Lerne it was not deemed prudent, because of the prices which it would have been necessary to have accepted for same, to sell either the stock in the National Bank of Kentucky, or the stock in the Goussaux Sugars, Inc., or the stock in the Van Camp Pecking Company, which said stock is set out in the petition herein, but that at a later time this defendant recommended to the said August J. Walter and the said Henry J. Angermeyer that said stock should be sold, but that this defendant was unable after correspondence and conferences with said Walter and said Angermeyer to secure the assent either of said Walter or said Angermeyer to the sale of any of said stock, and that at no time up to the closing of the said Louisville Trust Company on November 17, 1930, was this defendant able to secure the assent of either of the members of said committee to the sale of any of said stock.

on the 27th day of March, 1929, it was given all the powers of a combined bank and trust company under the statutes of the State of Kentucky.

That under said trust agreement dated April 22, 1927, referred to above, Henry Vogt, Thomas J. Minary, Stewart E. Duncan, Allen P. Dodd, Edwin M. Drummond and Charles M. Bohmer were created trustees for the purpose of holding in trust the capital stock of the National Bank of Kentucky of Louisville, Kentucky, and the capital stock of the Louisville Trust Company, of Louisville, Kentucky, with the power to issue to the holders of stock in either of said two corporations a certificate representing the same number of shares of said trust estate of the par value of \$100 each as said depositing stockholder owned in either the said National Bank of Kentucky or said The Louisville Trust Company, and that under the terms of said trust the said trustees had powers as set out in said trust agreement, among others the following, same being contained in Section III of said trust agreement:

"(4) In addition to the Trust Estate herein presently provided for, the Trustees shall have power to purchase all or part of the stock of any other corporation of similar character and engaged in a like or similar business, but only with the written consent of the Advisory Committee, as to terms, price and conditions of purchase, and any stock so purchased by the Trustees shall become and be a part of the Trust Estate above referred to, and the Trustees may issue Trustees' Participation Certificates in sufficient amount to pay for the stock so purchased by them. The Trustees may issue such new certificates direct to the holders of the stock so purchased by the Trustees in exchange or payment therefor, in whole or in part, or may sell such Trustees' Participation Certificates to the then holders of such Trustees' Participation Certificates in proportion to their then holding thereof, which right of purchase by such holders shall be assignable. If all the certificates so offered to existing certificate holders be not taken and paid for by them or their assigns within the time fixed by the Trustees in their offering of the same, it shall be the duty of the Trustees to offer for sale such certificates not taken or paid for to any other person or persons."

.....

"(5) The Trustees, with the written consent of the Advisory Committee, may sell any stock acquired under Subsection (4) of Article III and the proceeds of sale when collected shall be disposed of by the Trustees with the written consent of the Advisory Committee by:

(a) - Either dividing the same among the holders of Trustees' Participation Certificates, in which event the Trustees shall have the right to correspondingly reduce pro

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rata the number of shares represented by outstanding Trustees' Participation Certificates; or

(b) - Using the same in the purchase of stock of corporations engaging in like or similar business; or

(c) - Adding to the capital or surplus or capital and surplus of any of the corporations the stock of which is owned by the Trustees."

.....

"(7) The Trustees, with the written consent of the Advisory Committee:

(a) Shall have the right to consent to and take any legal action appropriate for an increase or decrease in the capital stock of any corporation, the stock of which may become a part of the Trust Estate:

(b) In case of an increase in the capital stock of any such corporation, the proper proportionate part thereof to which the Trustees may be entitled, may be purchased by them and same shall be added to and become a part of the indivisible Trust Estate held by the Trustees under the same terms and conditions as are herein provided for the stock acquired hereunder;

(c) The Trustees shall thereupon issue additional Trustees' Participation Certificates equal to the par value of such increase of capital stock so purchased by them and shall offer the same pro rata to the then holders of outstanding Trustees' Participation Certificates at a price which will reimburse the Trustees for the purchase price so paid by them.

If any holder of Trustees' Participation Certificates declines or fails within a reasonable time to be fixed by the Trustees, to take his prorata of such increased issue of certificates, and which right of purchase by such holder shall be assignable, the Trustees shall have the right to sell such certificates to any purchaser for a price not less than that at which the Trustees acquired such stock.

(d) In case either the Bank or Trust Company, or any other institution in which the Trustees shall own stock, shall reduce its outstanding capital stock in the manner provided by law so as to effect a distribution of corporate assets among stockholders, the Trustees shall dispose of said fund as a distribution pro rata among the holders of Trustees' Participation Certificates or, under the written direction of the Advisory Committee, for the benefit of the trust estate, in any other lawful manner.

(8) The Trustees shall have the right, and it shall be their duty subject only to the limitations herein prescribed, to exercise in the interest of the holders of Trustees' Participation Certificates, all of the powers of management and control over any corporation in which they shall hold stock, incident to the ownership of stock held by them hereunder, and their power shall include all rights as stockholders in connection with any corporate purposes or functions whatever and shall authorize them to exercise all voting rights and rights of ownership over such

stock in the election of directors or in the discharge of any other corporate functions.

Provided, however, that the owner of record on the books of the Trustees of any Trustees' Participation Certificate, shall have the right by written directions to the Trustees given at least 5 days before the vote is to be cast, to instruct them how they shall vote in the corporate meetings such proportionate number of shares therein owned by the Trustees as the number of shares represented by the Trustees' Participation Certificate owned by such person giving such directions may bear to the total number of shares represented by outstanding Trustees' Participation Certificates, and the Trustees shall vote such proportion of stock in accordance with such instruction.

(9) Dividends received by the Trustees on any stock held by them shall be distributed among the holders of Trustees' Participation Certificates; provided, however, that upon the written direction of the Advisory Committee, the Trustees may use any extra dividends, or part thereof, received by the Trustees, for the benefit of the trust estate, in any lawful manner."

"(11) The enumeration of powers herein shall not be held to be exclusive of other powers herein referred to or incidental to the powers herein mentioned."

That said The Banc-Kentucky Company was, and is, a corporation duly organized under the laws of the State of Delaware, with the following powers, among others, to-wit:

"THIRD: The nature of the business, or objects or purposes proposed to be transacted, promoted or carried on are:

"The acquiring by purchase or otherwise of all or any portion of the capital stock, property, assets and franchises of any or all corporations transacting business in any State of the United States; the purchasing, holding, selling, assigning, transferring, mortgaging, pledging or otherwise disposing of shares of the capital stock of or any bonds, securities or evidence of indebtedness created by or issued by any other corporation or corporations organized under the laws of any State of the United States or of any foreign country and while the owner thereof to exercise all the rights, powers and privileges of ownership; the purchasing or otherwise acquiring of any promissory notes or other evidences of indebtedness executed by any person, firm, association or corporation; the financing managing or operating of any commercial or manufacturing business or enterprise; the underwriting of any issue of stocks, bonds, debentures or other securities issued by any corporation; the charging of fees or commissions for any or all services rendered or advice or assistance given to any person, firm, association or corporation; acquiring and paying for in cash, stocks or bonds of this corporation or otherwise the good will, rights, assets

and property of any person, firm, association or corporation and to undertake or assume the whole or any part of the obligations or liabilities of any person, firm, association or corporation.

The corporation shall have power to act as registrar or transfer agent for any other association or corporation and to act as agent in general for any other person, firm, association or corporation.

The corporation shall have full power to issue bonds, debentures or obligations of this corporation from time to time, for any of the objects or purposes of the corporation and to secure the same by mortgage, pledge, deed of trust or otherwise. The corporation shall have full power to purchase, hold, sell and transfer the shares of its own capital stock; provided it shall not use its funds or property for the purchase of its own shares of capital stock when such use would cause any impairment of its capital; and provided, further, that shares of its own capital stock belonging to it shall not be voted upon directly or indirectly.

The corporation shall have full power to guarantee the obligations of any other person, firm, association or corporation and to become surety therefor and to become joint maker, endorser or acceptor of any such obligations and to charge fees and commissions for such services.

The corporation shall have full power without restriction or limit as to amount to purchase or otherwise acquire, hold, own, mortgage, sell, convey or otherwise dispose of real and personal property of every class and description in any of the States of the United States, or any foreign country, subject to the laws of such States or foreign countries.

In general the corporation shall have full power to carry on any other business in connection with the foregoing, whether commercial, manufacturing, financial or otherwise, and to have and exercise all the powers conferred by the laws of Delaware upon corporations formed under the act hereinafter referred to and to do any or all of the things hereinbefore set forth to the same extent as natural persons might or could do.

The foregoing clauses shall be construed both as objects and powers and it is hereby expressly provided that the foregoing enumeration of specific powers shall not be held to limit or restrict in any manner the powers of this corporation."

A copy of the Articles of Incorporation of said The Bancroft Kentucky Company, if required, will be filed herewith as part hereof, as if fully copied herein, and marked Exhibit "B".

That at the time the said 80 shares of the capital stock of the National Bank of Kentucky were exchanged by this defendant for said 80 Trustees' Participation shares, the said Trust had as assets of said

No. 205,176-f

JEFFERSON CIRCUIT COURT

CHANCERY BRANCH

SECOND DIVISION

COMMONWEALTH OF KENTUCKY, on relation
O. S. Dewey, Banking Commissioner
Commonwealth of Kentucky.

FILED IN COURT

1931

ATTORNEY
LOUIS VISSMAN, CLERK

PLAINTIFF

-VS-

By _____ DEPUTY CLERK

LOUISVILLE TRUST COMPANY,

DEFENDANT

ANSWER OF LOUISVILLE TRUST
COMPANY

Comes the defendant, Louisville Trust Company, and for its answer to the petition and amended petition of the Fidelity and Columbia Trust Company, as Trustee under the will of Frank A. Menne, deceased, denies that contrary to the terms of the will of said Frank A. Menne said Louisville Trust Company did not exercise its best judgment to dispose of said National Bank of Kentucky stock within a reasonable time, and denies that on August 1, 1929 it sold and transferred the same for 600 participating certificates of the National Bank of Kentucky-Louisville Trust Company stock, or that on November 22, 1929 it sold and transferred said participating certificates for 1600 shares of Bank of Kentucky Company stock, or that the said Louisville Trust Company sold said stock at all, or that by reason of said alleged action, or any action, of the said Louisville Trust Company the terms of said will of said Frank A. Menne were violated in that said Louisville Trust Company did not exercise its best judgment, or sell same within a reasonable time as directed by said will, or that ^{at} any time when same were sold or transferred said Louisville Trust Company did not receive therefor bonds issued by the United States of America and invest the pro-

ceeds in said bonds, or that by reason of said alleged failure of said executor and trustee to faithfully carry out its trust in regard to said National Bank of Kentucky stock, said estate was damaged in the sum of \$43,200, or any amount, or that the said Louisville Trust Company violated the provisions of said will in any particular.

Defendant further denies that contrary to the terms of the will of said Frank A. Menne, said Louisville Trust Company did not exercise its best judgment to dispose of said 100 shares of Godchaux Sugars, Inc. preferred stock within a reasonable time, or that on August 9, 1929 it sold and transferred same for other stock of said Godchaux Sugars, Inc., or that by reason of said alleged action of this defendant, or by reason of any action of this defendant the terms of said will of said Frank A. Menne were violated in that said Louisville Trust Company did not exercise its best judgment and sell same within a reasonable time as directed by said will, or that when said stock was sold it did not receive therefor bonds issued by the United States of America or invest the proceeds in said bonds, or that by reason of said alleged failure of said executor or trustee to faithfully carry out its trust in regard to said Godchaux Sugars, Inc. stock said estate was damaged in the amount of \$8,000 or any sum, and defendant denies that it sold said Godchaux Sugars, Inc. stock at all.

Defendant further denies that contrary to the terms of the will of said Frank A. Menne said Louisville Trust Company did not exercise its best judgment to dispose of said 207 shares of Van Camp Packing Company preferred stock within a reasonable time, or that on December 21, 1928 it sold or transferred the same for 207 shares of Van Camp Packing Company preferred stock and 103½ shares of common stock of the Van Camp Packing Company, or that by reason of said alleged action of the defendant the terms of said will of said Frank A. Menne were violated in that said Louisville Trust Company did not exercise its best judgment and sell same within

PARAGRAPH III.

Further answering herein, defendant states that the transfer of stock from the original form in which same was received by this defendant in each of the matters sued on herein, to wit, stock in the Godchaux Sugars, Inc., stock in the Van Camp Packing Company and stock in the National Bank of Kentucky, was not a sale thereof, but was only an exchange of securities through re-organization of the respective corporations; that as to the said Van Camp Packing Company and the said Godchaux Sugars, Inc., the assets and the corporate entity remained the same, and that said re-organization through re-adjustment of the form of securities in each instance was for the purpose of aiding the corporation and its stockholders in issuing in exchange for the old securities new securities which it was in good faith believed by those interested therein would be more attractive to investors and more saleable, and that as to the National Bank of Kentucky, the re-organization of same was for the same purpose and also for the further purpose of bringing together the National Bank of Kentucky and the Louisville Trust Company, in the hope and expectation that the association of said two institutions under a unified control would result in larger profits to the stockholders of both institutions, as hereinafter set out.

Defendant states that said decedent, Frank A. Menne, during his life time and at the time of his death was the owner of fifty shares of capital stock of the National Bank of Kentucky, of the par value of \$100 each; that on the 21st day of February 1922 this defendant qualified as executor of the will of said Frank A. Menne, and that the appraised market value of said shares of stock at said time was \$16,250; that thereafter this said defendant transferred to itself as trustee under said will said fifty shares of stock of the National Bank of Kentucky, and on the 28th day of June, 1927, as such trustee, received a stock dividend of thirty shares of stock of the National Bank of Kentucky.

That during the period that this defendant acted as executor and as trustee of the will of said Frank A. Menno the value of the holdings of said estate in the stock of the National Bank of Kentucky increased, and that said increase came about largely, if not entirely, because of the early prospect of some sort of connection or consolidation with the Louisville Trust Company, and that the trust agreement hereinafter referred to dated April 22, 1927, effectuated said connection or consolidation, and as a result thereof said shares of stock and trustees participation shares, hereinafter referred to, enhanced further in value after same came into the hands of this defendant as trustee, and that said shares further enhanced in value because of the formation of the BancoKentucky Company.

That on June 28, 1927, the defendant herein, as such executor, transferred said stock into eighty so-called Trustees' Participation Shares, the certificate issued therefor representing eighty shares of the par value of \$100 each of an indivisible trust estate created by a trust agreement dated April 22, 1927, said trust estate consisting at that time solely of shares of the capital stock of the National Bank of Kentucky of Louisville, Kentucky, and The Louisville Trust Company, of Louisville, Kentucky. A copy of said trust agreement, if required, will be filed herewith, as part hereof, as is fully copied herein, marked Exhibit "A".

That thereafter, to wit on August 1, 1929, this defendant as such trustee exchanged said 80 Trustees' Participation Shares of the par value of \$100 each for 800 of said Trustees' Participation Shares of the par value of \$10 each, said trustee having by proper action changed the par value of said shares from \$100 to \$10 each.

That thereafter, to wit on November 23, 1929, this defendant as trustee exchanged said 800 Trustees' Participation Shares for 1000 shares of the common stock of the BancoKentucky Company.

That the Louisville Trust Company at all the times herein mentioned had all powers given to trust companies by the statutes of the State of Kentucky, and by proper amendment of its Articles of Incorporation

the National Bank of Kentucky and of the Louisville Trust Company; and at the same time the 600 shares of the par value of each of said Trustees' Participation shares, held by this defendant trustee herein, were exchanged for 1000 shares of stock in The Banco-Kentucky Company, said The Banco-Kentucky Company had no assets other than Trustees' Participation shares of said Trust and such cash as had been received in its subscriptions to its stock.

That it was the purpose of the directors of said The National Bank of Kentucky that it should, in the interest of said The National Bank of Kentucky and of its stockholders, include in the estate of said Frank A. Verne, a reorganization of interest with the Louisville Trust Company and the plan of said trust agreement of April 22, 1927, and that the exchange of the stock and the value of the investment would be increased thereby, and that it was also the opinion of the directors of said National Bank of Kentucky that the organization of said The Banco-Kentucky Company and the exchange of said Trustees' Participation shares for shares of stock in said The Banco-Kentucky Company and the carrying on of the corporate purposes of said The Banco-Kentucky Company would likewise result in increased earnings and increased value of the investment of that portion of the estate of Frank A. Verne formerly represented by said stock in The National Bank of Kentucky.

That the organization of said The Banco-Kentucky Company and the exchange of said Trustees' Participation shares for shares of stock therein was considered by the stockholders, directors, officers, trustees and other persons involved therein as a reorganization of The National Bank of Kentucky and the Louisville Trust Company, and that the Government of the United States, by a ruling of the Bureau of Internal Revenue, after a hearing of all the facts connected with said transaction, held that same was a reorganization of the institutions above mentioned, and that the exchange of said Trustees' Participation shares for shares of stock in The Banco-Kentucky Company was not a sale of securities and that no profit or loss resulted

to the owners of said Trustees' Participation shares from said exchange.

That if this defendant, either as executor or as trustee, had sold the said Trustees' Participation shares or the said stock of the Banco-Kentucky Company received in exchange for said Trustees' Participation shares, said sale would have resulted in a profit to said estate and that said estate would have been forced to pay income taxes thereon.

That at the time of the organization of said The Banco-Kentucky Company both the said The National Bank of Kentucky and the said Louisville Trust Company had been in successful operation and had paid dividends continuously for a period of more than thirty years, and the Trustees' Participation shares had also paid dividends during the time such shares were in existence, and that for that reason, although said The Banco-Kentucky Company as a corporation had not been in existence for a period of ten years, the corporations, the stock of which comprised its assets as above set out, had been in existence and in active and successful operation for a period of time much longer than ten years, and during said time had not defaulted in the payment of the interest or principal of any debt, bonded or otherwise.

That very many of the most prudent and successful business men in the City of Louisville and the State of Kentucky and elsewhere, including stockholders, directors and officers of the National Bank of Kentucky and the Louisville Trust Company, and others, regarded the plan by which the affiliation of the National Bank of Kentucky and the Louisville Trust Company was effected, by the trust agreement above mentioned and by the organization of The Banco-Kentucky Company and the exchange of securities thereunder, as herein mentioned, as very advantageous to all the stockholders of the said bank and the said trust company, and exchanged their own stocks in said bank and said trust company for Trustees' Participation shares, and exchanged their Trustees' Participation shares for stock in The Banco-Kentucky Company, and that said Trustees' Participation shares and said Banco-Kentucky stock were then regarded by prudent business men as safe investments, and continued to be so regarded until within a few days of the date of the closing of the

National Bank of Kentucky and the Louisville Trust Company.

That this defendant exchanged said shares as set out herein and purchased said stock in The BancorKentucky Company only after it had received legal opinions from lawyers in whom it had confidence that said exchange of securities and said purchase of stock were legal and not in violation of any statute or other law of the Commonwealth of Kentucky.

That as to the 1800 shares of stock in the BancorKentucky Company which were exchanged for Trustees' Participation shares as above set out, there was no investment of the funds of the estate of Frank A. Menne, deceased, but merely an exchange of securities through reorganization.

That after the exchange of the said stock of The National Bank of Kentucky for Trustees' Participation shares as set out herein, dividends at the rate of sixteen per cent per annum were earned and paid quarterly on said shares, which said dividends were in excess of any dividends theretofore paid on said stock of The National Bank of Kentucky; and after the exchange of said Trustees' Participation shares for said stock in The BancorKentucky Company, dividends were earned and paid on said stock quarterly, up to and including October 30th, 1930, at the rate of twenty cents per quarter on each share of stock, which represented the same total quarterly dividend which had been paid on said Trustees' Participation shares held by this defendant as trustee for the estate of Frank A. Menne, deceased.

That so large a percentage of the stock of The National Bank of Kentucky was exchanged for said Trustees' Participation shares, to wit, 39,820 shares out of a total of 40,000 outstanding shares, and so large a percentage of said Trustees' Participation shares was exchanged for stock of The BancorKentucky Company, to wit, more than ninety-five per cent thereof, that it was known to this defendant and to all other persons familiar with transactions in investment securities that after the period for the exchange of said respective securities expired, either the stock in The National Bank of Kentucky, or the Trustees' Participation shares would possess little or no marketability, and for that reason would be less desirable, either as a

trust investment or as an investment for a private individual, than would stock in The BancoKentucky Company.

That the BancoKentucky Company was placed in the hands of a receiver solely because of the closing of the National Bank of Kentucky and the Louisville Trust Company and the losses to The BancoKentucky Company which resulted therefrom, and that but for the closing thereof said The BancoKentucky Company would now be a going and prosperous corporation and would be earning and paying dividends.

That had this defendant retained said stock in The National Bank of Kentucky owned by the estate of said Frank A. Menne, either in its original form or as Trustees' Participation shares, same would have been of no greater value than the said BancoKentucky Company stock into which it was converted, and furthermore, either as stock in The National Bank of Kentucky or as Trustees' Participation shares said investment would in all probability have entailed an additional liability against said estate in accordance with the statutes of the Commonwealth of Kentucky relating to the liability of owners of stock in banks and trust companies.

PARAGRAPH IV.

Further answering herein defendant, Louisville Trust Company, says:

That all of the beneficiaries under the will of said Frank A. Menne who had any interest in the question of the retention or early re-investment of the securities held by the said Frank A. Menne, and particularly Mrs. Katie Menne, the widow of said Frank A. Menne, knew of the retention of the stocks referred to herein in the estate of Frank A. Menne and received statements thereof showing said stock as investments and showing the dividends received therefrom, and received and accepted income on account of said dividends, and no complaint of the retention of said stocks or the exchange

thereof, in accordance with the reorganization plans of the companies involved, was ever made by the said Katie Venne or any other person interested in said estate or by the advisory committee appointed under the will of the said Frank A. Menne, until after the 24th day of November, 1930, and the dividends on said stock were, with full knowledge of their source, received by said Katie Venne and the other persons interested in said income without objection.

That because of the facts herein set forth the said Fidelity and Columbia Trust Company as Trustee under the will of the said Frank A. Menne is estopped to claim any sum in damages against this defendant insofar as the income accruing to the said Katie Menne and the other recipients of income from said estate is concerned on account of the failure of this defendant to sell the stock of the Godchaux Sugars, Inc., or the stock of the Van Camp Packing Company or the stock of the National Bank of Kentucky, or on account of the exchange of said securities for other securities through reorganizations of said companies.

WHEREFORE, having fully answered, defendant prays to be dismissed hence with its costs, and for all other equitable relief to which it may appear to be entitled.

For Defendant
Arthur J. Boral
Attorneys for Defendant,
Louisville Trust Company.

Exhibit 126

A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY

Report on Assets Acquired by Banc of Kentucky
Company and Condensed Statement of Cash
Receipts and Disbursements During Period

September 25, 1929 to November 22, 1930

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit No 126

Exhibit 126

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY M. PREVO, C.P.A.
L. B. McLAUGHLIN, C.P.A.

TELEPHONE
CADILLAC 4880

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A. M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

We have prepared and appended hereto a statement of
assets acquired by

BancoKentucky Company

with the cost of these assets either in money or capital stock
of the company and a condensed statement of cash receipts and
disbursements during the period from September 25, 1929 to
November 22, 1930.

The statement appended hereto was prepared from the
books of account and records of BancoKentucky Company, now
in the custody of the company's receiver, Mr. Shackelford Miller.

We are submitting, under separate cover, a statement of
the analysis of operations of BancoKentucky Company from October 1,
1929 to November 22, 1930.

Very truly yours,

White, Bower & Prevo
Certified Public Accountants

Exhibit 122

Statement of Assets Acquired by SameKentucky Company, Showing the Acquisition Cost
in Money or Capital Stock and a Condensed Statement of Cash
Receipts and Disbursements

September 25, 1929 to November 22, 1930

Shares		Cash Received	Cash Paid Out	Shares SameKentucky Issued
540,484	Trustees Participation Certificates - National Bank of Kentucky and Louisville Trust Company			1,080,968
OTHER BANK SHARES ACQUIRED				
47,854	Pearl Market Bank and Trust Co., Cincinnati, Ohio, acquired on and after Sept. 30, 1929		\$ 2, 200, 228.30	54,936
44,290	Brighton Bank and Trust Co., Cincinnati, Ohio, acquired on and after Sept. 27, 1929		2, 323, 409.90	51,459
548	Central Savings Bank and Trust Co., Covington, Kentucky, acquired on and after Dec. 9, 1929		229, 572.51	
2,099	Peoples Liberty Bank and Trust Co., Covington, Kentucky, acquired from Dec. 30, 1929 to November 14, 1930		491, 124.39	
6,928	First National Bank, Paducah, Kentucky, acquired after Mar. 19, 1930			24, 990
7,125	Ashland National Bank, Ashland, Kentucky, acquired on and after April 15, 1930		57.50	56, 920
2,713	Security Bank, Louisville, Kentucky, acquired on and after April 26, 1930		217, 800.00	29, 294
575	Mechanics Trust and Savings Bank, Paducah, Kentucky, acquired Sept. 27, 1930			5, 175
OTHER INVESTMENTS				
\$2,000,000	Note James S. Brown, acquired Nov. 13, 1929		2, 000, 000.00	
525	Union Central Life Insurance Company, Cincinnati, Ohio, acquired Dec. 17, 1929		25, 000.00	
10,000	Caldwell & Co., Nashville, Tennessee - Contract dated May 30, 1930			900, 000
\$ 580,000	Notes Murray Rubber Co., Trenton, N.J., acquired Oct. 24, 1930		580, 000.00	
\$ 20,000	Participation in note of Lewis C. Humphrey, acquired Oct. 24, 1930		20, 000.00	
	Total cash paid for investments		2, 595, 324.71	
	Dividends paid		1, 253, 612.00	
	Interest paid		22, 572.34	
	General Expenses		60, 221.99	
	SameKentucky Company shares repurchased and cancelled		2, 376, 157.60	106, 000
	Total cash disbursed		\$ 12, 908, 512.24	
	SameKentucky shares sold for cash	\$ 9, 889, 850.00		294, 796
	Dividends received	1, 151, 608.30		
	Interest earned on deposits and subscriptions	124, 062.79		
	Funds borrowed, not repaid at suspension	1, 080, 000.00	12, 627, 512.29	
	Excess of expenditures over receipts:			
	Bank overdraft, National Bank of Kentucky	\$ 22, 527.15		
	Outstanding checks	900.00		
	Cash items carried by National Bank of Kentucky at suspension	25, 000.00	\$ 61, 527.15	
Total shares SameKentucky Company outstanding Nov. 15, 1930				2, 475, 456

Exhibit 127

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Dividends Declared by National Bank
of Kentucky, Louisville Trust Company
and Bancor Kentucky Company
Subsequent to**

October 2, 1929

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit No. 127

Exhibit 127

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

MURRAY A. WHITE, CPA
EDWIN N. BOWER, CPA
HARRY N. PREVO, CPA
L. B. McLAUGHLIN, CPA

TELEPHONE
CADILLAC 4820

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938.

Mr. A.M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have prepared a statement showing the dates on which dividends were declared by

The National Bank of Kentucky,
Louisville Trust Company, and
Banco Kentucky Company,

subsequent to October 2, 1929. The statement also shows the dates on which the dividends were payable and the directors of each Bank present at the meetings when the dividends were declared.

The information pertaining to the National Bank of Kentucky and to Banco Kentucky Company was taken from the original minutes of the respective Boards of Directors. Inasmuch as we were unable to obtain access to the original minutes of the directors of Louisville Trust Company, the information pertaining to this Bank was prepared from copies of the minutes as shown in the Transcript of Record of the case of National Bank of Kentucky, and A.M. Anderson, Receiver of National Bank of Kentucky vs. The Louisville Trust Company.

Very truly yours,

White Bower & Prev
Certified Public Accountants

1916

Exhibit 127

ANALYSIS OF DIVIDENDS DECLARED AND PAID BY NATIONAL BANK OF KENTUCKY, LOUISVILLE TRUST COMPANY AND BANCO KENTUCKY COMPANY SUBSEQUENT TO OCTOBER 2, 1929.

	National Bank of Kentucky	Louisville Trust Company	Banco Kentucky Company
Date Dividend Declared	December 13, 1929	December 13, 1929	December 13, 1929
Date Dividend Payable	January 2, 1930	January 2, 1930	January 2, 1930
Rate of dividend per share	\$4.00	\$4.00	\$0.20
<u>DIRECTORS</u>			
John S. Akers	P	P	P
H. J. Angermeier	P	P	P
Richard Bean		P	
William Black			P
Oscar E. Bloch	P	P	P
Charles H. Bohmer	P	P	P
George O. Boomer		P	P
R. R. Boswell		P	
James B. Brown	P		P
R. Lee Callahan	P	P	P
Anthony J. Carroll	P	P	P
George M. Clark	P	P	P
Samuel W. Coons	P	P	P
Allen P. Dodd	P		P
Nicholas H. Doaker		P	P
S. E. Duncan	P		P
Joseph H. Durham	P	P	P
C. Oscar Ewing		P	
James Garnett	P	P	P
Walter H. Girdler			P
Angereau Gray	P	P	P
James J. Hayes	P	P	P
T. Kennedy Helm	P	P	P
Baylor O. Hickman		P	
C. C. Hieatt	P	P	P
Saunders P. Jones	P	P	P
Milburn P. Kelley	P	P	P
Walter I. Kohn	P	P	P
Charles C. Mengel	P	P	P
Ben J. Metcalfe		P	P
T. J. Minary	P	P	P
David C. Morton		P	P
E. J. O'Brien, Jr.	P	P	P
Henry D. Ormsby	P	P	P
Arthur M. Rutledge	P	P	P
William S. Speed	P	P	P
John Stites	P	P	P
E. Leland Taylor		P	P
Horace A. Taylor		P	P
Robert F. Vaughn		P	P
Henry Vogt	P	P	P
E. Weinstock		P	P

Exhibit 127

	National Bank of Kentucky	Louisville Trust Company	Bank of Kentucky Company
Date Dividend Declared	March 14, 1930	March 14, 1930	March 21, 1930
Date Dividend Payable	April 1, 1930	April 1, 1930	April 1, 1930
Rate of dividend per share	\$4.00	\$4.00	\$0.20

DIRECTORS

Richard Bean		P	
Oscar E. Bloch		P	P
Charles H. Bohmer		P	P
George O. Boomer		P	P
R. R. Boswell		P	
James B. Brown	P		P
R. Lee Callahan	P		P
Anthony J. Carroll	P		P
George M. Clark	P		P
Allen P. Dodd		P	P
S. E. Dumeau	P		P
C. Oscar Ewing		P	P
Angereau Gray		P	P
James J. Hayes	P		
T. Kennedy Helm		P	P
Baylor O. Hickman	P		P
C. C. Hieatt		P	P
Charles F. Jones	P		
Saunders P. Jones	P		P
Milburn P. Kelley		P	P
Walter I. Kohn			P
Ben J. Metcalfe		P	P
T. J. Minary	P		P
David C. Morton		P	
E. J. O'Brien, Jr.	P		P
Henry D. Ormsby	P		
Ben Robertson		P	P
Arthur M. Rutledge		P	P
William S. Speed	P		P
John Stites		P	
Sam H. Stone		P	P
E. Leland Taylor		P	P
Horace A. Taylor		P	P
Robert F. Vaughn		P	P
Henry Vegt	P		P
E. Weinstock		P	

Exhibit 127

	National Bank of Kentucky	Louisville Trust Company	Bank of Kentucky Company
Date Dividend Declared	June 20, 1930	June 13, 1930	June 18, 1930
Date Dividend Payable	July 1, 1930	July 1, 1930	July 1, 1930
Rate of dividend per share	\$4.00	\$4.00	\$0.20

DIRECTORS

John S. Akers	P		
H. J. Angermeier	P		
Richard Bean		P	
Oscar E. Bloch		P	P
Charles H. Bohmer		P	P
R. R. Boswell		P	
James B. Brown			P
H. Lee Callahan	P		
Anthony J. Carroll	P		P
George M. Clark	P		P
Allen P. Dodd		P	
Nicholas H. Decker		P	
S. E. Duncan	P		P
Joseph H. Durham	P		P
C. Oscar Ewing		P	
James Garnett		P	
Walter H. Girdler		P	
Angereau Gray		P	
James J. Hayes	P		P
T. Kennedy Helm		P	P
Bayler Q. Hickman	P		
Charles F. Jones	P		P
Samuels P. Jones	P		P
Walter I. Kohn	P		P
Charles C. Mangel		P	
Ben J. Metcalf	P		P
T. J. Minary	P	P	
David C. Morton		P	P
E. J. O'Brien, Jr.			
Henry D. Ormsby	P		P
Arthur M. Rutledge		P	P
William S. Speed	P		
John Stites		P	
Sam H. Stone		P	
E. Leland Taylor			P
Horace A. Taylor		P	
Robert F. Vaughn		P	
E. Weinstock		P	

Exhibit 127

	National Bank of Kentucky	Louisville Trust Company	Bank of Kentucky Company
Date Dividend Declared	September 19, 30	September 12, 30	September 19, 30
Date Dividend Payable	October 1, 1930	October 1, 1930	October 1, 1930
Rate of dividend per share	\$4.00	\$4.00	\$0.20

DIRECTORS

John S. Akers	P		
H. J. Angermeier	P		
Richard Bean		P	
Oscar E. Bloch		P	P
Charles H. Bohmer		P	P
George O. Boomer		P	P
James B. Brown	P		P
R. Lee Callahan	P		P
Anthony J. Carroll	P		P
George M. Clark	P		P
Allen P. Dodd		P	P
Nicholas H. Dosker		P	
S. E. Duncan	P		P
Joseph H. Durham	P		P
C. Oscar Ewing			P
James Garnett		P	P
Walter H. Girdler			P
Angereau Gray		P	
James J. Hayes	P		
T. Kennedy Helm		P	P
Charles F. Jones	P		
Saunders P. Jones	P		P
Walter I. Kohn		P	
Ben J. Metcalfe		P	
J. W. Miller			P
T. J. Minary	P		P
E. J. O'Brien, Jr.	P		P
Ben Robertson		P	
Arthur M. Rutledge		P	P
William S. Speed	P		P
John Stites		P	
Sam H. Stone		P	
E. Leland Taylor		P	P
Horace A. Taylor		P	P
Robert F. Vaughn		P	P
Henry Vogt	P		P
E. Weinstock		P	

Annual Report—Delaware Corporati

Exhibit 128

ate of Filing

All Corporations coming under the provisions of the General Corporation Law of the State of Delaware are required to file report with Secretary of State annually.

Resident Agent	Corporate Date	Folio No.
Par Shares	No Par Shares	Tax
U. S. CP. CO.	7-16-1929	2637-23
200,000		\$239.17

RECEIVED AND FILED
JAN 7 1930

CHARLES H. GRANTLAND
SECRETARY OF STATE

DO NOT
USE
THIS SPACE

BANCO-KENTUCKY COMPANY

If name or address is incorrect kindly correct same

AUTHORIZED CAPITAL STOCK

8. State the number of shares of each class of the total authorized capital stock:

Note—The authorized capital stock is the total number of shares which the corporation is authorized to issue, whether or not the number of shares that may be outstanding at any one time be limited to a less number.

SHARES HAVING A PAR VALUE

No. of taxable shares

shares pfd. @ \$	equals \$	which divided by \$100 is	
shares pfd. @ \$	equals \$	which divided by \$100 is	
2,000,000 shares com. @ \$ 10.	equals \$20,000,000	which divided by \$100 is	200,000

SHARES OF NO PAR VALUE

shares pfd. (by law each no par share is a taxable share.)	
shares com. (by law each no par share is a taxable share.)	

Total Number of Taxable Shares. (Both par and no par)

9. STATE THE NUMBER AND AMOUNT OF SHARES ACTUALLY ISSUED:

Par Value	No Par Value
Preferred \$	Preferred
Common 1,713,162 \$17,131,620.00	Common

10. State amount of capital invested in Delaware	Real Estate	none
	Manufacturing	none
11. State amount of Taxes paid in Delaware	Real Estate	.00
	Manufacturing	.00

12. If exemption claimed from full franchise tax or any part thereof, state facts as provided in Section 67 of the Franchise Tax Law (See Marginal Note).

CERTIFICATE

On this 4th day of January 1930

I (we) do hereby certify as Secretary and Treasurer of the
President, Secretary, Treasurer, or Two Directors, or Two Incorporators if Directors or Officers are not Elected.

Banco Kentucky Company

Name of Corporation

that the foregoing statement is true and correct according to my (our) best knowledge and belief.

W. T. Zurschmiede

(Signature of person or persons so certifying)

This report is to be filed on or before JANUARY 15, 1930 first Tuesday in
(EVEN) January.

Form 134 (12-1-29)

1921

See OF FRANCHISE TAX LAW—Note: Every corporation which shall show by a supplemental affidavit attached to its annual report that it has not been engaged in any of the business activities for which it was granted a certificate of incorporation shall pay only at the rate of one-half of the amount of taxes scheduled for each portion of the year as it shall not have been so engaged and at the full rate for the remainder of the year. Any such affidavit shall state fully the pertinent facts upon which the claim for one-half rate is based.

*Planned to file
Exhibit No. 128*

Exhibit 128

FULL INFORMATION IS REQUESTED

Name of Corporation	<u>THE BANCOKENTUCKY COMPANY</u>		
Date of Incorporation	<u>JULY 16, 1929</u>		
	Name	Address	Term Expires
President	<u>James B. Brown</u>	<u>Louisville, Ky.</u>	<u>Annually</u>
Vice-President	<u>John Stites</u>	<u>"</u>	<u>"</u>
Secretary	<u>Richard Bean</u>	<u>"</u>	<u>"</u>
Sec'y Treasurer	<u>W. T. Zurachniade</u>	<u>"</u>	<u>"</u>
Other Officers:	<u>None</u>		

DIRECTORS

Name	Address	Term Expires
<u>See List Attached</u>		

1. State nature of corporation's business To acquire control and operate Banks and/ or Trust Companies
2. State date of suspension, if not engaged in any business activities ----
3. State activities engaged in, if business not completely suspended ----

4. State location of principal office in Delaware:

(If in Wilmington)

Street and Number -----

(If Outside of Wilmington)

County of Kent

Post Office Address 19-21 Dover Green
Dover, Delaware

5. State places of business outside of Delaware:

City or Town

State

Street and Number

6. State name of agent upon whom service of process may be made:

UNITED STATES CORPORATION COMPANY 19-21 Dover Green, Dover, Delaware
Name Address

7. State appointed date of next annual meeting of stockholders to elect directors

January 14, 1930.

(OVER)

1921-A

Exhibit 128

THE BANCO KENTUCKY COMPANY
Louisville, Kentucky

DIRECTORS OF THE BANCOKENTUCKY COMPANY, LOUISVILLE, KENTUCKY.

John B. Akers
Henry J. Angermeier
Richard Bean
William Black
Dr. Oscar E. Block
Charles H. Bohmer
George O. Boomer
R. R. Boswell
James B. Brown
R. Lee Callahan
Anthony J. Carroll
George M. Clark
Samuel W. Coons
Allen P. Dodd
Nicholas H. Dosker
Stuart E. Duncan
Joseph H. Durham
C. Oscar Ewing
James Garnett
Walter E. Girdler
Angerem Gray
James J. Hayes
T. Kennedy Helm
Baylor O. Hickman
C. C. Hieatt
Saunders P. Jones
Milburn P. Kelley
Walter I. Mohr
S. Clay Lyons
Charles C. Mengel
Ben. J. Metcalfe
J. Wm. Miller
Thomas J. Minary
David C. Morton
Edward J. O'Brien Jr.
Henry D. Ormsby
John B. Pirtle
Ben. Robertson
Arthur M. Rutledge
William S. Speed
John Stites
Sam. H. Stone
E. Leland Taylor
Horace A. Taylor
Robert F. Vaughan
Henry Vogt
E. Weinstock

Louisville, Ky.

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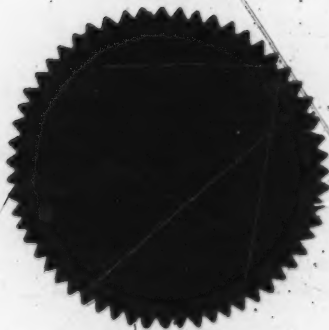
Exhibit 128



Office of Secretary of State

I Charles L. Terry, Jr. Secretary of State of the State of Delaware,
do hereby certify that the above and foregoing is a true and correct copy of
Annual Report of "THE BANCOKENTUCKY COMPANY", as received and filed
in this office the seventh day of January, A. D. 1930.

In Testimony Whereof, I have hereunto set my hand
and official seal at Dover this seventeenth day
of October in the year of our Lord
one thousand nine hundred and thirty-eight.



Charles L. Terry Jr.
Secretary of State

1923

Annual Report—Delaware Corporation

Exhibit 129

State of Filing

All Corporations coming under the provisions of the General Corporation Law of the State of Delaware are required to file report with Secretary of State annually.

Resident Agent Corporate Date Folio No.
Par Shares No Par Shares Tax
U.S. CP.CO. 7-16-1929 2637-13
500,000 \$1,239.58
1,177.5

BANCOKENTUCKY COMPANY, THE

RECEIVED AND FILED
DEC. 31, 1930

Charles H. Grantland
Secretary of State.

DO NOT
USE
THIS SPACE

If name or address is incorrect kindly correct same

AUTHORIZED CAPITAL STOCK

8. State the number of shares of each class of the total authorized capital stock:

Note: The authorized capital stock is the total number of shares which the corporation is authorized to issue, whether or not the number of shares that may be outstanding at any one time be limited to a less number.

SHARES HAVING A PAR VALUE

No. of taxable shares

shares pfd. @ \$ equals \$ which divided by \$100 is
shares pfd. @ \$ equals \$ which divided by \$100 is
5,000,000 shares com. @ \$10 equals \$50,000,000 which divided by \$100 is 500,000

SHARES OF NO PAR VALUE

shares pfd. (by law each no par share is a taxable share.)

shares com. (by law each no par share is a taxable share.)

Total Number of Taxable Shares. (Both par and no par)

9. STATE THE NUMBER AND AMOUNT OF SHARES ACTUALLY ISSUED:

Par Value

No Par Value

Preferred \$ Preferred \$
Common 2,472,268 \$24,722,680 Common

10. State amount of capital invested in Delaware Real Estate None
Manufacturing None
11. State amount of Taxes paid in Delaware Real Estate None
Manufacturing None

12. If exemption claimed from full franchise tax or any part thereof, state facts as provided in Section 22 of the Franchise Tax Law (See Marginal Note) marginal notes advised in

CERTIFICATE

On this 26th day of December 1930

I (we) do hereby certify as Secretary of the
President, Secretary, Treasurer, or Two Directors, or Two Incorporators if Directors or Officers are not Elected.
The Bancokentucky Company.

Name of Corporation

that the foregoing statement is true and correct according to my (our) best knowledge and belief.

W. T. Zurschmiede

Signature of person or persons certifying.

the first Tuesday in January.

This report is to be filed on or before January 1, 1931

(OVER)

Form 184 45M 9-35

1924

A claim for reduction of franchise tax will not be considered, unless the full facts regarding the corporation's business activities are set forth in a supplemental affidavit executed by an officer of the corporation.

Plaintiff's
Exhibit No. 129

Exhibit 129

FULL INFORMATION IS REQUESTED

Name of Corporation The Bancokentucky Company
 Date of Incorporation July 16, 1929

	Name	Address	Term Expires
President	<u>Henry Vogt</u>	<u>Louisville, Ky.</u>	<u>when successors qualify.</u>
Vice-President	<u>R. Lee Callahan</u>	<u>" "</u>	
Secretary	<u>W. T. Zurschwiede</u>	<u>" "</u>	
Treasurer	<u>W. T. Zurschwiede</u>	<u>" "</u>	
Other Officers:			

DIRECTORS

Name	Address	Term Expires
<u>O. E. Block, C. H. Bohmer, G. O. Boomer, J. B. Brown, R. L. Callahan,</u>		
<u>A. J. Carroll, G. M. Clark, S. W. Coons, A. P. Dodd, S. E. Duncan,</u>		
<u>J. H. Durham, C. O. Ewing, Jas. Garnett, W. H. Girdler, T. K. Helm,</u>		
<u>B. O. Hickman, S. P. Jones, C. C. Mengel, J. W. Miller, T. J. Minary,</u>		
<u>D. C. Morton, E. J. O'Brien, Jr., Ben Robertson, A. H. Rutledge, W.</u>		
<u>S. Speed, S. H. Stone, E. L. Taylor, R. F. Vaughan, Henry Vogt, all reside</u>		
<u>in Louisville, Ky. Terms expire when successors qualify.</u>		

1. State nature of corporation's business holding company
2. State date of suspension, if not engaged in any business activities November 24, 1930
3. State activities engaged in, if business not completely suspended in liquidation under Receivership of Jefferson Circuit Court at Louisville

4. State location of principal office in Delaware:
 (If in Wilmington)
 Street and Number United States Corporation Co.
 (If Outside of Wilmington)
 County of _____ Post Office Address Dover, Del.

5. State places of business outside of Delaware:
 City or Town _____ State _____ Street and Number _____
Louisville Ky. Joseph S. Laurent, Receiver
Marion E. Taylor Bldg.,

6. State name of agent upon whom service of process may be made:
United States Corp. Co. Dover, Del.
 Name _____ Address _____

7. State appointed date of next annual meeting of stockholders to elect directors
January 13, 1931

*(OVER)

Exhibit 129

ATTACH TO ANNUAL REPORT TO THE BANCOKENTUCKY COMPANY.

STATE OF KENTUCKY }
COUNTY OF JEFFERSON } ss.

W. T. Zurschmiede, being duly sworn, deposes and says that he is Secretary-Treasurer of The Bancokentucky Company, a Delaware corporation; that exemption from full franchise tax for the year 1930 is claimed due to the fact that the corporation suspended all business activities on November 24, 1930, on which date a receiver for it was appointed and qualified by orders of the Jefferson Circuit Court, a court of general jurisdiction of the State of Kentucky, sitting at Louisville, Kentucky, who immediately took into its custody all of the books, records and properties of the company and has continued to and will hold same under orders of said court for the benefit of its creditors and stockholders as their interests may be later determined.

Therefore several large banks and trust companies, in which this company held the controlling stock, were closed and put into receiverships, making it impossible for the company to further pursue the business for which it was organized.

The name and address of the receiver appointed for the company is Joseph S. Laurent, Marion E. Taylor Building, Louisville, Kentucky.

IN TESTIMONY WHEREOF said W. T. Zurschmiede has hereunto set his hand and seal this 26th day of December, 1930.

W. T. Zurschmiede

THE BANCOKENTUCKY COMPANY
INCORPORATED 1929
SEAL DELAWARE

Subscribed and sworn to before me,
this December 26, 1930.

Anna Eith

ANNA EITH
NOTARY PUBLIC
JEFFERSON CO. KY.

Exhibit 129

State of Delaware



Office of Secretary of State

I Charles L. Terry, Jr. Secretary of State of the State of Delaware,
do hereby certify *that the above and foregoing is a true and correct copy of*
Annual Report of "THE BANCOKENTUCKY COMPANY", as received and filed
in this office the thirty-first day of December, A. D. 1930.

In Testimony Whereof, I have hereunto set my hand
and official seal at Dover this seventeenth *day*
of October *in the year of our Lord*
one thousand nine hundred and thirty-eight.



Charles L. Terry, Jr.
Secretary of State

1927

Exhibit 134-1

LAW OFFICES OF
MILLER & CHEVALIER

ROBERT S. MILLER
STUART CHEVALIER
S. LYMAN BARBER
JOSEPH D. PEELER
J. ROBERT SHERRARD

WASHINGTON
SOUTHERN BLDG.
LOUISVILLE
MARION E. TAYLOR BLDG.

LOUISVILLE

File 10

August 2nd, 1938.

PLAINTIFF'S
EXHIBIT No. *133-1*
OCT 18 1938
Paul C. Feghban
ATTORNEY

Samuel J. Brown, President,
National Bank of Kentucky.

Richard Ross, President,
Louisville Trust Company.

Gentlemen:

We enclose herewith to Mr. Brown the original letter containing the ruling of the Sales and Regulations Section of the Bureau of Internal Revenue, holding that the Trust Agreement entered into on April 22nd, 1927, between the shareholders of the National Bank of Kentucky and the Louisville Trust Company, constitutes an association within the meaning of the various Revenue Acts, and a copy of the same letter to Mr. Brown.

In our judgment this ruling will fortify our opinion that the present plan in connection with the Louisville Trust Company constitutes a re-organization within the meaning of the Revenue Act of 1938.

The original of the letter which is enclosed to Mr. Brown should be carefully preserved.

Yours very truly,

Robert F. Feghban
Miller & Chevalier
By S. Lyman Barber

slh/bvs
(Encl.)

1928

134-1

Exhibit 134-1

(COPY)

TREASURY DEPARTMENT
Washington

Office of Commissioner
of Internal Revenue.
IT:EsRR
WTL

July 30, 1929.

Miller & Chevalier,
922 Southern Building,
Washington, D. C.

Sirs:

Reference is made to your letter of July 15, 1929, enclosing a copy of trust agreement entered into on April 22, 1927 between the owners and holders of capital stock in The National Bank of Kentucky and The Louisville Trust Company, and requesting a ruling with respect to the taxable status of the organization.

The trust agreement recites that the owners and holders of the shares of the capital stock of The National Bank of Kentucky and the owners and holders of the capital stock of The Louisville Trust Company, looking to the best interests of the respective institutions as separate corporate entities and of each stockholder therein, have determined that such interests require closer cooperation of such Bank and Trust Company to be of greater service to their respective patrons and in order to secure such cooperation have agreed upon the plan set forth for creating a trust estate, to consist of their respective shares of stock in the Bank and Trust Company. The trust agreement provides for the creation of an Advisory Committee composed of the individuals who are the then directors of the Louisville Trust Company and The National Bank of Kentucky. Any action taken by the trustees is subject to the approval of the Advisory Committee. The trustee may purchase the stock of any other corporations of similar character engaged in a like or similar business with the written consent of the Advisory Committee. The trustees have power with the written consent of the Advisory Committee to sell certain stock acquired and the proceeds when collected shall be disposed of by the trustees with the written consent of the Advisory Committee, by division among the certificate holders or using same in the purchase of stock of corporations, or adding to capital or surplus, of any of the corporations the stock of which is owned by the trustees. The certificate holder may instruct the trustees how to vote their interest at corporate meetings. Dividends received from stock owned by the trustees shall be distributed among the certificate holders, but with the written consent of the Advisory Committee and all or any part of any extra dividend may be used for the benefit of the trust in any lawful manner. The trust agreement provides for regular and special meetings of the certificate holders. By written direction of the Advisory Committee, the trustees named in the trust agreement may be removed and a corporation authorized to act in a fiduciary capacity, designated in their stead, in which event the corporation so named shall acquire all the powers of the individual trustees. The trust agreement provides for the organization of the

Exhibit 134-1

trustees, consisting of a Chairman, Vice Chairman, Secretary and Treasurer, and an Assistant Secretary, and a Transfer Agent and Registrar for the transfer and registration of certificates. The form of participating certificates provided for in the trust agreement is similar to an ordinary stock certificate. The trust may be terminated or modified by a vote of two-thirds of the holders of certificates.

It is apparent that the certificate holders and trustees have undertaken as an organized body to trade in securities owned by the organization. Such activities constitute the carrying on of a business enterprise for income tax purposes. The organization is in quasi corporate form, its trustees, like the directors of a corporation, are carrying on the business for the beneficiaries who only share in the profits. The beneficial interests of the organization are represented by certificates, which like corporate shares and in such the same manner are transferable. The certificate holders through the Advisory Committee control the management and operations of the organization. An organization having these characteristics, engaged in the prosecution of a business enterprise, has the general form, mode of procedure and effectiveness in action of a corporation.

In view of the foregoing, the organization created by the owners and holders of capital stock in The National Bank of Kentucky and The Louisville Trust Company, Henry Vogt, Thomas J. Hinary, Stuart E. Datsen, Allen P. Dodd, Edwin M. Drummond and Charles E. Behmer, Trustees, is taxable as an association within the meaning of the several Revenue Acts and should file returns accordingly.

Respectfully,

C. B. ALLEN,

Deputy Commissioner,

By (Signed) L. Sunderlin

Chief of Section.

Exhibit 134-3

{ Letter was also sent to
the list of names appearing
on the back of this letter }

Sept. 9, 1933

Mr. Thos. L. Barret,
11 1/2 Sq. Second St.,
Louisville, Ky.

PLAINTIFF
EXHIBIT No. **133-3**

OCT 18 1933

Paul C. Thompson
OFFICIAL REPORTER

Dear Mr. Barret,

Our stock records show that you have not yet brought in the Interim Receipt for 3 8/10 shares for exchange into the current \$10.00 par value participation shares.

Will you be kind enough to look into the matter at once because there have been a number of changes in the form of these securities and unless an exchange is made, you will be holding a certificate that is not of the current form and, therefore, will not enjoy a good market should you ever care to sell it in the years to come.

We feel it our duty to give you this information, because, of course, we want our stockholders to enjoy all of the privileges that come with holding a form of security that is being traded in all the time.

We are registering this letter to be sure that it reaches your hands.

Very truly yours,

Secretary

RE:V
Registered

1931

134-3

Bertha S. Patton - Lebanon Ky
 Mattie A. Clark - Juncos St City
 T. G. Taylor - Adam - 560-12th
 John White Trimmer - Mt Sterling Ky
 Eliza S. Young - Brown Hotel
 Henry J. Litchner - New Haven Conn
 Thos L. Barret - 112 Second
 Mrs Pauline H. Christie - Pittsburgh
 John E. Goldbach - Fifth St
 Caroline S. Mcowan - W. Chester Pa
 George Brown - 610 Columbia Bldg
 Mrs Pearl R. Burford - Sovereign Hotel
 Martha S. Cheney - Frankfort
 Carolyn S. Shaw - The Ad. Hotel
 Mr Francis P. Cope - Shmo & Co
 Eliza B. Loring - Chillicothe Ky
 James H. Luffey -
 Mary Brown - Humphrey - Iowa City - Ia
 Lavender Jones - 330 E 3rd
 J. L. Moore & Co - Keokuk
 James B. Miner - Lexington Ky
 State Bd of Education - Mt Ky
 Lane P. Shuck - City
 Shuck, E. C. - Thent & W. Thodrey
 Ward H. Watson - Indianapolis Ind
 James B. Brown
 Noble C. Butler - Indianapolis Ind
 Wakefield &
 as Anna May P. Farnley - New Alb. Bldg
 Mrs Jamie Hall - Columbia, Mo

Exhibit 134-4

PLAINTIFF
EXHIBIT No. **133-4**
OCT 18 1936

Paul C. Carpenter
OFFICIAL REPORT

November 4, 1936.

THE BARCKENBUCKI COMPANY

The stockholders exchanged for about twenty-eight (28) millions in stock and there was paid in in cash about ten (10) millions. Chicago has subscribed for six (6) millions and Cincinnati took about two and one-fourth (2 1/4) millions. This leaves in the treasury three and three-fourths (3 3/4) millions, which will handle the remaining Cincinnati and Covington deals probably. If we trade for any more banks, we will have to increase the capital.

The Bank of Kentucky does not know how much was drawn out in deposits to pay for stock and we do not know accurately, but we guess about one-half million dollars.

The Bank of Kentucky loaned \$5,500,000.00 and we loaned \$1,710,000.00, or a total of \$7,000,000.00. From this you will see that only about three millions in cash remain.

The company now has on deposit \$550,000.00 with us and \$5,500,000.00 or less with the National Bank of Kentucky. The Bank of Kentucky is always flush up to the seventeenth of the month when the Standard Oil has to be paid and later the L. and S. payment so they are hard up the last of the month.

RICHARD B. AB.

1933

Exhibit 134-5

The Louisville National Bank and Trust Company Consolidated with

THE LOUISVILLE TRUST COMPANY

In joint ownership with the National Bank of Kentucky

421 WEST MARKET STREET

LOUISVILLE, KENTUCKY

November 4, 1929.

PLAINT

EXHIBIT No. 133-5

OCT 18 1938

Paul C. Cuyler
OFFICIAL REPORTER

THE BANK OF KENTUCKY COMPANY

The stockholders exchanged for about twenty-eight (28) millions in stock and there was paid in in cash about ten (10) millions. Chicago has subscribed for six (6) millions and Cincinnati took about two and one-fourth (2 $\frac{1}{4}$) millions. This leaves in the treasury three and three-fourths (3 $\frac{3}{4}$) millions, which will handle the remaining Cincinnati and Covington deals probably. If we trade for any more banks, we will have to increase the capital.

The Bank of Kentucky does not know how much was drawn out in deposits to pay for stock and we do not know accurately, but we guess about one-half million dollars.

The Bank of Kentucky loaned \$5,500,000.00 and we loaned \$1,710,000.00, or a total of \$7,000,000.00. From this you will see that only about three millions in cash remain.

The company now has on deposit \$550,000.00 with us and \$5,300,000.00 or less with the National Bank of Kentucky. The Bank of Kentucky is always flush up to the seventeenth of the month when the Standard Oil has to be paid and later the L. and E. payment so they are hard up the last of the month.

RICHARD BEAN.

134-5
1934

Exhibit 134-6

PLAINTIFF
EXHIBIT No.

OCT 18 1938

Paul C. Cunningham

attorney at law

January 20, 1939

Mr. J. Lee Schmiede, Sec. & Treas.,
BancoKentucky Company,
Louisville, Kentucky.

Dear Tom:-

The enclosed envelopes were left with us
by stockholders who signed their proxies here and
instead of mailing them were forwarded through us.
They may be of some use to you in the conduct of
BancoKentucky correspondence.

With best personal regards to you, I
remain,

Very truly yours,

HJE:ps
encl.

Vice President

124-6

1935

August
Third
1938

PLAINTIFF
EXHIBIT No. 133-7
OCT 18 1938
Paul C. Cunningham
OFFICIAL CORRESPONDENT

Mr. John S. Ahern, Sec. of
the Trustees,
National Bank of Kentucky,
9th & Main Sts.,
Louisville, Kentucky

Dear Mr. Ahern:-

In line with the decision to issue
Interim Receipts at the Fifth & Fourth Street Offices
of the National Bank of Kentucky, may I make the follow-
ing suggestions in order to assure accuracy of the
Transfer Department records?

I suggest that both of the banks deliver
to the Trustees at the close of business each day, all
certificates of National Bank of Kentucky The Louisville
Trust Company stock, which have been deposited that day,
together with a record thereof in quadruplicate prepared
similar to the form which we are now using.

In addition to this record, in order that
we may be able to keep our postings up to date, I suggest
that each day both banks furnish our Stock Transfer Depart-
ment with a complete record of the Interim Receipts, which
have been issued for that day. This record should show the
number of the Interim Receipt, the exact name in which it
has been issued, the complete address of the registered
owner, and the number of shares represented thereby.

Another suggestion which I believe is of
extreme importance is as follows: At the close of business
each day that both banks could deliver to our Stock Transfer
Department all subscriptions taken in, in that day's work.
These subscriptions should be sent to the Trust Company
accompanied by a complete list showing the name of the
subscriber, his address, and the number of shares subscribed
for. The list could be made up in duplicate, and we could
sign the duplicate copy, and return to the banks as a receipt
for the subscriptions. In other words, I see no necessity
for having the actual subscriptions scattered among the three
offices.

Exhibit 134-7

(2)

Mr. John S. Albers - Continued -

The new certificates have been ordered and as soon as they are ready for delivery, I will let you know.

If my suggestions, as outlined herein, meet with your approval, kindly advise me and Mr. BurSchmidt can instruct the Fourth Street Bank accordingly.

Yours very truly,

JWM:ED

Assistant Secretary

Copies of this letter to Mr. Ben J. Metcalfe, Asst. Sec. and to Mr. W. T. BurSchmidt, Auditor.

Exhibit 134-8

LAINTIFFS
EXHIBIT No. 133-8

OCT 18 1933

Paul C. Carpenter
OFFICIAL REPORTER

The BancoKentucky Company
Louisville, Ky., Dec. 31, 1929.

TO THE STOCKHOLDER ADDRESSED:

You are hereby notified that the annual meeting of stockholders of The BancoKentucky Company will be held at the office of the corporation in the National Bank of Kentucky, Fifth and Main Streets, Louisville, Kentucky, on Tuesday, January 14, 1930, at ten o'clock A. M.

At said meeting there will be submitted to the stockholders for their consideration and action a recommendation of the Board of Directors that the Certificate of Incorporation be amended (a) so as to increase the total authorized capital stock from \$30,000,000 to \$50,000,000 and (b) so as to abolish the pre-emptive right of stockholders to subscribe for newly authorized shares. The resolution adopted by the Board of Directors is as follows:

RESOLVED that it is deemed advisable by the Board of Directors of The BancoKentucky Company that the charter of the corporation be amended as follows:

1: By striking out the whole of Paragraph Number "Fourth" of the Certificate of Incorporation and inserting in lieu thereof the following:

"The total authorized capital stock of this corporation is Five Million (5,000,000) shares of common stock, each share of the par value of Ten Dollars, amounting in the aggregate to Fifty Million (\$50,000,000) Dollars, and being a total authorized capital stock of Fifty Million (\$50,000,000) Dollars."

2: By adding to the Certificate of Incorporation an additional Paragraph as follows:

"The stockholders of the corporation shall have no pre-emptive right to subscribe for any newly authorized or issued shares of stock of the corporation."

RESOLVED, further, that the newly authorized shares, as hereinabove provided for, shall be disposed of at such times, in such amounts and upon such terms as may be determined by the Board of Directors of the corporation, but no shares shall be disposed of at a price of less than Twenty-Five (\$25.00) Dollars, per share.

RESOLVED, further, that the purpose of this increase of capital stock is to provide additional capital needed for the proper carrying on of the business of the corporation and the acquisition of other property.

RESOLVED, further, that the proposed amendments of the Certificate of Incorporation, as above set out, be submitted to the stockholders at the annual meeting of the stockholders of the corporation, to be held on January 14, 1930, at ten o'clock A. M., at the office of the corporation in the National Bank of Kentucky, Fifth and Main Streets, Louisville, Kentucky.

RESOLVED, further, that with the letter containing the notice to stockholders of the annual meeting, which shall be mailed to each stockholder, at least ten days prior to the date of meeting, there shall be enclosed a copy of this resolution and a proxy appointing Attorneys In Fact to represent stockholders at said annual meeting.

The meeting will also be for the purpose of electing members of the Board of Directors to serve during the ensuing year and for the transaction of such other business as may come before the meeting.

Exhibit 134-8

There is also enclosed a proxy to be signed by each stockholder and returned in the enclosed stamped and addressed envelope as soon as possible.

For your information it is stated that the abolishing of the pre-emptive right of stockholders in no wise restricts their right to subscribe for additional shares on the same basis that persons not stockholders are permitted to subscribe.

THE BANCO KENTUCKY COMPANY

By W. T. ZURSCHMIDT,

Secretary.

Exhibit 134-8

PROXY

Knows all men by these presents: that

I,

being the holder of shares of the capital stock of The Banco Kentucky Company, a corporation of the State of Delaware, do hereby appoint Charles F. Jones and L. L. Fontaine, or either of them, my true and lawful Attorneys In Fact, with full power of substitution and revocation, to act for me and in my name, place and stead to vote on all matters coming before the annual meeting of stockholders of said corporation to be held on the 14th day of January, 1930, and at any adjournment of said meeting.

I hereby ratify and confirm all of the acts of my said Attorneys In Fact the same as if personally done by me.

IN TESTIMONY WHEREOF, I have hereunto set my hand this the day of, 1930.

In the Presence Of

.....

.....

1939

Exhibit 134-9

PLAINTIFF **133-9**
DOWNEY No.
OCT 18 1938
Paul C. Carpenter
OFFICIAL REVENUE

November 14, 1939

Mr. Tom Karschniede, Sec.-Treas.
Bancokentucky Company,
Louisville, Ky.

Dear Tom:-

Today Mr. J.E. Robbins came in and stated in the presence of Judge John Stites, Mr. Angerson Gray and myself, that his wife Mrs. Emma Robbins did not want to convert her Trustees Participation Shares National Bank of Kentucky The Louisville Trust Company into the stock of the Bancokentucky Company.

I am sending this information to you for your records.

Very truly yours,

BJM-LB

Vice President.

1340

134-

Exhibit 134-9

J. E. ROBBINS

L. E. SMITH

ROBBINS & SMITH
LAWYERS
MAYFIELD, KENTUCKY

Nov. 8, 1929.

Louisville Trust Company,
Louisville, Ky.

Attention Mr. Ben J. Metcalfe;
Vice-President:
Dear Sirs:

Your letter of Nov. 5, 1929, addressed to my wife, Mrs. Emma Robbins, has been handed to me to answer. Recently she has been quite ill, but I am glad to state that she is rapidly recovering. I expect to be in Louisville, either on the 14th day of November, 1929, or the next morning on the 15th, and I shall call on you about her certificates.

JER-r

Yours truly,

J. E. Robbins

Exhibit 134-9

November 8, 1929

Mrs. Emma Robbins,
5 J.E. Robbins,
Mayfield, Kentucky.

Dear Mrs. Robbins:-

We cannot find that we have a return card for a registered letter we sent out to holders of Trustees' Participation Shares National Bank of Kentucky-The Louisville Trust Co. notifying them that they had the right to exchange these shares on a basis of two shares of Bank of Kentucky stock for one Trustees' Participation share.

Most of our stockholders have exchanged their stock. In order that you may not be deprived of the benefits which we feel will accrue to you by this exchange, we are sending you such literature as we sent out in the regular way.

If you wish to exchange your stock, please sign the enclosed depositary receipt and return it to us together with your stock properly endorsed and witnessed, at your earliest opportunity.

The right to exchange this stock expired on the 10th day of September, 1929. We feel that it is to your advantage to deposit your stock and exchange it for the Bank of Kentucky. Be kind enough to let us hear from you promptly as this privilege cannot remain open longer than ten days from the date of this letter.

Very truly yours,

BH-LS
Reg.

President.

Noted until 15th for
answer

1942

Exhibit 134-9

The Louisville National Bank and Trust Company Consolidated with

THE LOUISVILLE TRUST COMPANY

In joint ownership with the National Bank of Kentucky

LOUISVILLE, KENTUCKY

October 21, 1929

Mr. John S. Akers, Vice President,
National Bank of Kentucky.
Louisville, Ky.

Dear John:-

The enclosed letter refers to the stock
of Mrs. Emma Robbins who failed to deposit her 320
Trustees' Participation Shares National Bank of Kentucky
The Louisville Trust Company to be exchanged for
Bank of Kentucky stock.

We have not heard from Mrs. Robbins and I am
sending you this letter in order that we may have some
information in our files concerning her stock.

Very truly yours,

BJM-LS
encl.

B. J. Mitroff
Vice President.



421 WEST MARKET STREET

Exhibit 134-9

The Louisville National Bank and Trust Company Consolidated with

THE LOUISVILLE TRUST COMPANY

In joint ownership with the National Bank of Kentucky

LOUISVILLE, KENTUCKY

Oct. 21, 1929

Mr. B. J. Metcalfe, V.P.
Louisville Trust Co.,
Louisville, Ky.

Dear Sir,

The following facts have been called to the attention of the Stock Transfer Department, and we are listing them here for your information.

Mrs. Emma Robbins is a holder of a Trustees' Participation Certificate for 320 shares of stock. The circular sent out July 19th, 1929 and the registered letter that followed were both mailed to the above mentioned stockholder at 505 West Ormsby Ave. This address was put on our records through error and the mail should have gone to Mrs. Emma Robbins care of Mr. J. E. Robbins, Mayfield, Ky.

The receipt for the registered letter was signed by a maid at the Louisville address and we are of the opinion that Mrs. Emma Robbins did not receive any notice regarding the Banco Kentucky Co.

Very truly yours,

Janet H. Hite
Stock Transfer Dept.

JS:W

1944

Exhibit 134-10

PLAINTIFF'S
EXHIBIT No. **133-10**
OCT 18 1938
Paul C. Englander
OFFICIAL REPORTER

October 14, 1929

Mr. J. T. Turckmeide, Sec-Treas.
Bancor Kentucky Company,
Louisville, Kentucky.

Dear Tom:-

Received the enclosed letter from Mr.
Chas. Milner.

Mr. Milner did offer to sign a note
but I did not take it for the reason that he was a
non-stockholder and could not say to him that he
could get his stock.

At your convenience please advise me
so that I may answer Mr. Milner's letter.

Very truly yours,

BJM-is
en.1

Vice President.

1945

134-10

Exhibit 134-10

EDWARD F. TRABUE
JOHN G. DOOLAN
T. E. HELM
JOHN P. HELM, JR.
EDWARD H. HELM
JOHN CLARK HELM
EDWARD H. HELMAN
JOHN H. GUYER
THOMAS A. GUYER
WILLIAM HELM

LAW OFFICES
TRABUE, DOOLAN, HELM & HELM
1210-1222 INTER-SOUTHERN BUILDING
LOUISVILLE, KY.

January 11, 1938.

In re - State Board of Education's
surrender of shares in the
National Bank of Kentucky.

PLAINTIFF'S

EXHIBIT No.

OCT 18 1938

Paul C. Carpenter
OFFICIAL REPORTER

Hon. J. W. Cammack,
Attorney General,
Frankfort, Ky.

Dear General:

Agreeable to my promise to elaborate the points discussed in our conference, on the above subject, I take pleasure in submitting the following suggestions.

I understand that the question of doubt in your mind was as to whether the State Board of Education or the Sinking Fund Commissioners have the power to consent and take the necessary steps to unify that part of the National Bank of Kentucky stock owned by the Board of Education under the Trust Agreement, dated April 22, 1927, between the National Bank of Kentucky and the Louisville Trust Company; and I will return to this subject promptly having first explained that I had originally understood the purpose of the conference to be in regard to the interpretation of the Agreement referred to and as to the economic advantage to the stockholders uniting therein.

So far as the economic considerations are concerned, I rather gather that the members of the Board of Education are satisfied from the showing that the plan had been approved by seventeen hundred individual stockholders of the two institutions, and has resulted in an increase in the market value in the Bank of Kentucky shares from the time the plan was first announced to date of about \$115 per share, and in the dividend basis of about \$5.50 per share upon the stock previously held when supplemented by the stock dividend.

The present stock owned by the State is a part of that originally issued in 1834 when the Bank of Kentucky was chartered by Chapter 445 Session Acts 1833-1835, pages 618 to 634, and which charter was approved by Governor John Breathitt. Apparently at that time the Governor was authorized to take \$2,000,000 out of the \$5,000,000 of capital authorized, but my search of the original records fails to disclose where the State ever acquired more than \$1,040,000.

By the Amendment, Chapter 238 Acts of 1841-1843, page 44, in Section 17, it was provided that \$1,000,000 of the stock held by the State of Kentucky in the Bank of Kentucky would be surrendered by the banks returning to the State \$1,000,000 of its own bonds which

Exhibit 134-10

had been previously acquired by the bank.

There are many other amendments of this character increasing and reducing stock which are unnecessary to consider in detail.

When the Constitution of 1849 was adopted, Article XI, concerning education, expressly set up a common school fund, which among other items consisted of \$73,500 of stock of the Bank of Kentucky, and certain bonds of the Commonwealth payable to the State Board of Education. This section of the Constitution of 1849 is almost a counterpart of Section 184 of the present Constitution, referring again to the \$73,500 of stock of the Bank of Kentucky, together with the bonds of the Commonwealth, and in which it is expressly declared that these funds shall be "held by the Board of Education" and as such is known as the school fund.

You will find a rather interesting interpretation of the provisions of the old Constitution in *Higgins v. Prater*, Sheriff, 91 Ky. 6, opinion by Chief Justice Holt, and of the similar provisions in the present Constitution in *Agricultural & Mechanical College v. Hager*, 121 Ky., 1, op.inion by Judge O'Rear.

Owing to this setting aside of this stock and the bonds, a question arose as to whether the Legislature would have the power to dispose of the stock or as to who would have the power to dispose of the stock if the Constitutional limitations were not clarified.

So in the Constitutional Debates, Vol. III, page 457, in reporting the meaning of the provision, it was said:

"Upon examination of the present Constitution, it was a matter of doubt whether the Legislature could by any act dispose of its stock in the Bank of Kentucky; whether the State was not bound under the provisions of the present Constitution to keep the stock in the way it is now held. This fund amounts to \$73,000.00. While there is nothing to be said against that admirably managed and solvent institution, the object of the provision is simply to put it in the power of the Legislature to dispose of the stock, if, in the course of years any necessity should arise for doing so to secure the fund."

It is in light of these conditions and Legislative history that we must consider Section 184 which refers back to the "said school fund" and provides, in substance, that

"The General Assembly shall make provisions by law for the payment of the interest of said school fund and may provide for the sale of the stock in the Bank of Kentucky, and in case of a sale of all or any part of said stock, the proceeds of sale shall be invested by the sinking fund commissioners in good interest bearing stocks or bonds which shall be subject to sale and reinvestment from time to time . . ."

Exhibit 134-10

From the latter section it is to be noted: (a) that the Legislature may provide for the sale of the stock of the Bank of Kentucky; (b) that the proceeds of sale are to be reinvested by the sinking fund commissioners; and (3) that these commissioners are by the Constitution authorized to invest in interest-bearing stock or bonds. Whereas in the preceding section, 184, as above noted, it was provided that the stock should be

"held by the Board of Education and its trustees shall be held inviolate for the purpose of sustaining the system of common schools."

Neither the Board of Education nor the Sinking Fund Commissioners are specifically provided for in the Constitution. Therefore, I take it that the General Assembly would have power to determine the qualifications and personnel of either or both these boards, though apparently the drafters of the Constitution assumed that they exercised the same functions.

Both of these Boards are given the power to sell the stock in the Bank of Kentucky in the following ways:

I

As to the State Board of Education, it is by Section 4377 created a body corporate, and by Section 4378 as such corporation it is authorized as follows:

"The corporation shall take, hold and dispose of real and personal estate for the benefit of the common schools of the State."

It seems clear to me that the words "take, hold and dispose of", used in this section, relate to a complete cycle of acquiring, possessing and selling. The funds set apart to the Board of Education, including that specifically mentioned in the Constitution, Section 184, where among other things the stock in the Bank of Kentucky is to be "held by the Board of Education and its trustees shall be held inviolate for the purpose of sustaining the system of common schools."

The words "dispose of" have been many times construed by the courts to be broader than the words "to sell". Thus the Supreme Court in *Phelps v. Harris*, 101 U.S. 370, said:

"The expression 'to dispose of' is very broad and signifies more than 'to sell'. Selling is but one mode of disposing of property."

And the Court of Appeals in *Sherrill v. Guertacher*, 182 Ky. 626, held that the word "dispose" is sufficiently comprehensive to include every possible mode of alienation or disposition of property.

And, again, it held in *Carpenter v. Allen*, 196 Ky. 282, that the words "sell and dispose" include every form of alienation.

Black's Law Dictionary defines the word "dispose" as:

Exhibit 134-10

"To alienate or direct the ownership of property; * * * called a word of large extent."

You will probably have noticed that the words used to explain the meaning of Section 185 in the Debates were the words "to dispose of", and, therefore, it seems to me that the State Board of Education would have the right under this Legislative authority to order a disposition or sale or exchange of the stock in the Bank of Kentucky which is vested in them by the Constitution, Section 184, even though under the Constitution the Sinking Fund Commissioners are directed by the Constitution to reinvest the proceeds, and which proceeds, in turn, are to be held inviolate for the purpose of sustaining the common schools by the Board of Education.

II

Now let us consider the powers of the Sinking Fund Commissioners. It is undoubtedly true that the Constitution, Section 185, they are required to reinvest the proceeds arising from the sale of stock of the Bank of Kentucky, and it is also true that among the powers conferred for the Sinking Fund Commission by the Kentucky Statutes, Section 488, is the provision that

"The Commissioners shall have authority to sell any bank stock owned by the Commonwealth and apply the proceeds to the payment or to the purchase of the bonds of the State".

It may be necessary to separate this sentence and treat it as a power in the Sinking Fund Commissioners to sell, and to ignore as surplusage the method of their reinvestment, because the Constitution itself, Section 185, has specifically directed that if this stock is sold, the proceeds "shall be invested by the Sinking Fund Commissioners in other good interest bearing stocks or bonds which shall be subject to sale and reinvestment from time to time."

Irrespective of who has the power to sell, which I think is the Board of Education, or who has the power to reinvest, which under the Constitution is a duty required of the Sinking Fund Commissioners; it seems to me that both bodies are given the power to sell. The matter could be put beyond question of doubt by the simple expediency of having the Board of Education and the Commissioners of the Sinking Fund, at their respective sessions, adopt the same resolution, each concurring in and authorizing the sale or transfer of the stock into the new certificates of the United Institutions, and the results so adopted would be recognized by the transfer agent of the stock and the new stock certificates issued.

X X X X X X X X X X X X X X

Yours very truly,

TRE-M.

Exhibit 134-10

R. Q. - You might read this
old letter (copy) re. stock held by
State of Ky. - and I will look into
you as to what is present status -

Z.H.H.

*Return to me
of H.D.*

Exhibit 134-11

LAW OFFICES
ROBERT F. VAUGHAN
MARION E. TAYLOR BUILDING
LOUISVILLE, KY.

July 24, 1929

PLAINT *133-11*
EXHIBIT No.
OCT 18 1930
Paul C. Cunningham
OFFICIAL REPORTER

Mr. Nicholas H. Bosker, Vice-President
The Louisville Trust Company
Louisville, Kentucky

Dear Mr. Bosker:

IN RE: THE BANCO KENTUCKY COMPANY

In reply to your recent inquiry, we beg to advise that in our opinion the shares of The Banco Kentucky Company will not be taxable to the shareholders under the laws of Kentucky.

Section 4086, Carroll's Kentucky Statutes, as amended by the Acts of 1924, provides immunity from taxation for the shareholders of a corporation upon the shares of a corporation (domestic or foreign),

"at least seventy-five per cent (75%) of whose total property is taxable in Kentucky, so long as the corporation pays taxes on all its property in Kentucky"

For the purposes of this opinion we assume that all of the property of The Banco Kentucky Company, or at least a very substantial part thereof, will be intangible personal property; for, manifestly, there could be no question as to the situs of taxation of an office building or other real estate owned by the corporation in Louisville.

The question then narrows down to this: would the intangible personal property, owned by The Banco Kentucky Company, be "taxable in Kentucky" within the meaning of Section 4086, supra? If so, then there could be no doubt that the shares of the Company would not be subject to taxation in the hands of the shareholders.

Section 4086, Carroll's Kentucky Statutes, provides as follows:

"All real and personal estate within this state, and all personal estate of persons residing in this state, and of all corporations organized under the laws of this state, whether the same be in or out of the state, including intangible property, which shall be considered and estimated in fixing the value of corporate franchises as herein provided, shall be subject to taxation unless the same be exempt from taxation by the constitution, and shall be assessed at its fair cash value, estimated at the price it would bring at a fair voluntary sale: Provided, however, That tangible personal property located and having a taxable situs without the state, of persons residing in this state, and of all corporations organized under the laws of this state,

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Exhibit 134-11

#2. The Banco Kentucky Company.

shall not be subject to taxation: And, provided further, That the situs of intangible personal property for purposes of taxation shall be at the residence of the real or beneficial owner, and not at the residence of the fiduciary or agent having the custody or possession of same. Provided further, That nothing herein contained shall in any way affect the liability for franchise taxes now payable by corporations organized under the laws of this state. (Sec. as amd. by act March, 1908, p. 121.)"

In quite a number of decisions, beginning with Commonwealth v. R. G. Dun and Company, 126 Ky. 108, 51 K.L.R. 561, 108 S.W. 859, the Court of Appeals of Kentucky has held that personal property of a non-resident "within this state" temporarily is not subject to taxation here, whether the personal property be tangible or intangible; but, where such property is located here permanently, or is produced in the course of a business which is of more or less permanent location in this State, or is used in the course of such business, then, such personal property acquires a taxable situs in this State, regardless of the domicile of the owner.

In the Dun case, supra, this rule was applied to the Louisville office of R. G. Dun and Company, sustaining an assessment by the Commonwealth upon Accounts Receivable on the books of the Company in Louisville, even though Dun and Company was operated by Trustees and the chief office of the Company was located in the State of New York. In sustaining the assessment the Court said:

"This section of the statute literally includes all property, whether owned by residents or nonresidents. This court, however, in construing this statute has determined that it does not apply to the property of nonresidents when in this State temporarily; that in such a case the situs for the purpose of taxation is at the domicile of the owner. (Citing several cases.) But this court has never held that when a nonresident of this State establishes a business in this State, from which money is derived, and other property is accumulated, that such property should be relieved from taxation.

"In our opinion the accumulations from the business of appellee are not temporarily in this State in the meaning of the decisions referred to. In this case we have a nonresident with an established business, agents residing here who managed it, and an income of over \$40,000 annually; its business received the same protection as the business of the citizen under the laws of the State, and should be compelled to share equally the burden. The obligation to pay taxes on property for the support of the government arises from the fact that it is under the protection of the government. Persons should not be permitted to avail themselves of the benefit of the laws of the State in the conduct of their business, within its limits, and then escape their due contribution to the public needs."

The Dun case was followed and approved in the case of Commonwealth v. Kentucky Distilleries and Warehouse Company, 143 Ky. 314, 136 S.W. 1038. In this case the taxpayer was a New Jersey corporation, but all of its distilleries, having whiskey in storage, were located in Kentucky, as was its principal office and place of business. In the course of its business, storage accounts for whiskey

Exhibit 134-11

#3. The BancoKentucky Company.

in bond accrued to the Company, and these the Commonwealth undertook to assess for taxation. The assessment was sustained by the Court in a very elaborate opinion, concluding with the following words:

"The Kentucky Distilleries and Warehouse Company is a New Jersey corporation, and may be said to have a legal residence in that state. But all of its distilleries having whisky in storage are located in this state, and the principal business office of the Kentucky Distilleries and Warehouse Company is located in Louisville, Jefferson county, in this state. It is at this office that all the business relating to and concerning the distilleries operated by it is carried on and there are kept all of the books, accounts, and papers of the corporation that relate to the transaction of its business in this state. It may therefore be said that, while the Kentucky Distilleries and Warehouse Company has a technical legal residence in the state of New Jersey, it has in this state a business residence at which all its business in this state is managed and carried on by resident agents and employees. It is here that the warehouse receipts are issued, and here that they are presented when the possession of the whisky described in them is demanded, and here that the storage accounts are paid, although in the meantime and before they are actually transferred to the purchaser they may pass through an office of the company in New Jersey or New York. It is here that the whisky is located upon which these receipts are a lien, and to which the company looks for the payment of its storage fees. In short, aside from the fact that it has a mere technical legal residence in the state of New Jersey, all of its business is conducted and carried on in this state, and for every business purpose it is a Kentucky corporation. Under these facts we think it manifest that the accounts are assessable to it in this state at its established place of business in this state. The case of the *Commonwealth v. Dun and Co.*, 186 Ky. 108, 108 S.W. 859, 31 Ky. Law Rep. 561, 10 L.R.A. (N.S.) 920, is directly in point upon this question."

In the case of *Commonwealth v. Consolidated Casualty Company*, 170 Ky. 103, 185 S.W. 508, the principle announced in the foregoing cases was reaffirmed by the Court of Appeals, but not applied because of a distinction in the facts, which, however, serves to emphasize the applicability of the rule to the case of *The BancoKentucky Company*. In this case the Consolidated Casualty Company, a West Virginia corporation, writing accident, health and indemnity insurance, purchased some bonds secured by Kentucky real estate and deposited them with the Insurance Commissioner of West Virginia under provisions of the insurance laws of that state. The bonds were purchased with money derived from the sale of appellee's capital stock and not from premiums or profits derived in the course of business. The Court held these bonds were not taxable and in the opinion said:

"We do not overlook the fact that it was held in *Commonwealth v. Dun & Co.*, 186 Ky. 108, 108 S.W. 859, 31 Ky. Law Rep. 561, 10 L.R.A. (N.S.) 920, that intangible property owned by a nonresident could acquire a situs for taxation in Kentucky if used exclusively in or accumulated from business done in Kentucky, but the rule announced in that case cannot apply here, because the bonds sought to be

Exhibit 134-11

44. The BancoKentucky Company.

taxed are not held in Kentucky or used by appellee exclusively therein, nor were they acquired from business done in Kentucky. On the contrary, as previously remarked, they were admittedly purchased by appellee with money derived from the sale of its stock, when its executive office was in West Virginia, and immediately placed on deposit with the auditor or insurance commissioner of that state, in whose custody they have since remained. If appellee were a domestic corporation, the fact that the bonds are held in another state would not necessarily exempt them from taxation in this state, but, as it is not a domestic, but a foreign, corporation, and the bonds have not been held in this state, used exclusively therein, or accumulated from business done in the state, it cannot be said to have a taxable situs therein. In other words, the property sought to be taxed here consists of bonds that represent one isolated loan made by appellee at a time when even its executive office was not in the state, and the money loaned had not been accumulated from business done in the state. There is a manifest distinction between taxing the average capital invested by a nonresident in business carried on in a particular state and the taxation of isolated credits due to a nonresident.³

To the same effect, see Commonwealth v. Northwestern Mutual Life Insurance Company, 38 K.L.R. 796, 107 S.W. 233.

It is true that in Kentucky, as in a number of the American states, the situs of intangible personal property is fixed, by statute, at the domicile of the real or beneficial owner; and, if the State of Delaware levied an ad valorem tax upon intangibles of corporations organized under its laws, the result would be that the intangibles owned by The BancoKentucky Company would be assessed for taxation in both States. It is our understanding that the State of Delaware has no such tax. However, it should be pointed out that the mere fact that double taxation can, and sometimes does, exist, is no conclusive argument against the taxation of intangibles where physically located or where the business is conducted which produces them.

The Court of Appeals of Kentucky at one time held, in order to avoid double taxation of intangibles, that the intangibles of a Kentucky corporation, located and developed by business outside of the State, were not taxable here. See Commonwealth v. West India Oil Company, 136 Ky. 828, 129 S.W. 301, 36 L.R.A. (N.S.) 895, and also, Commonwealth v. Avery & Sons, 163 Ky. 613, 174 S.W. 518. In these cases, the Court went so far as to say that so much of Section 4020, supra, as undertook to impose a tax upon intangibles located outside the State, was unconstitutional.

But some years after these cases had been decided the Supreme Court of the United States held in the case of Fidelity and Columbia Trust Company, Executor, L.P. Bwald, v. City of Louisville, 245 U.S. 54, 62 Law Ed. 145, that it was not a denial of due process or equal protection to tax intangibles outside the state in which the owner maintained his domicile, even though the domiciliary state likewise assessed and taxed these intangibles. And, following this decision (which in effect reversed Commonwealth v. West India Oil Company

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#5. The BancoKentucky Company.

and Commonwealth v. Avery and Sons, supra) the Court of Appeals in the case of Bingham's Administrator v. Commonwealth, 199 Ky. 402, 251 S.W. 986, expressly overruled these two cases. The result of the foregoing seems to be that at the present time the State of Kentucky can, and does, assess for taxation, not only intangible property of nonresidents which is "located" within this State, but also, the intangibles of residents of Kentucky which are "located" without this State.

This being true, all of the intangible property owned by The BancoKentucky Company would be taxable in Kentucky, within the meaning of Section 4086, supra, and, therefore, the shares of this corporation would be exempt from taxation.

Yours very truly,

Robert F. Vaughan
Devin H. Loggins
J. H. Helver

RFV:IS

1955

Exhibit 134-18

PLAINTI 133-13
EXHIBIT No. 133-13
OFFICIAL REGISTER

July 9, 1929

Mr. James B. Brown, President
National Bank of Kentucky
Louisville, Kentucky

Dear Mr. Brown:

RE: THE KENTUCKY COMPANY

Supplementing my former letter to you under date of July 6th, on yesterday I had a conversation with a lawyer, representing the Income Tax Unit at Washington, in the General Counsel's Department, who shortly expects to become a member of the firm of Miller and Chevalier, my office associates in Louisville, which firm, as heretofore explained, specializes in Federal Tax cases, with offices in Washington and New York.

The gentleman gave as his opinion (without opportunity to study the history of the entire transaction) that it was highly important that every preliminary paper, resolution, etc., dealing with this Company and its organization, should clearly recite that the Company is a "reorganization," within the purview of the Revenue Act of 1928.

In other words, the issue and delivery of two shares of stock of the Company, for one Trustees Participation Share, must be made to appear (so far as the Bank's stockholders are concerned) as a mere receipt of the same property in another form, and not as a closed transaction wherein they part with one species of property in exchange for an entirely different species of property.

I believe we can accomplish this result, without in anywise impairing the structure of the setup already agreed upon, by prefacing the prospectus with some general remarks, as per the enclosed draft herewith submitted for your criticism.

Yours very truly,

REV:IS
1 Encl.

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Exhibit 134-13

SUMMARY MESSAGE TO THE SHAREHOLDERS

To the Holders of
Trustees Participation Shares,
National Bank of Kentucky,
Louisville, Kentucky.

Your Officers and Directors have, for sometime past, been seriously considering a reorganization of your banking institutions to meet the rapidly changing conditions in the banking business in this country, and also, to prepare for still greater changes, which, in our opinion, are rapidly approaching.

We are unanimously of the opinion that the first step to be taken by our shareholders in this reorganization is the creation of a third corporation, under state laws, with broad powers and strong resources, to be operated by your Officers and Directors in conjunction and in close cooperation with the two banks, expanding their credit facilities and supplementing their functions in the financial world.

Accordingly, your Directors have caused to be organized, subject to your approval, as hereinafter set forth, a corporation under the laws of Delaware, known as The BancKentucky Company. In keeping with the idea of its formation and the plans of its future operation, it is suggested that the shares of the Company, or at least a substantial majority thereof, must be owned by the present holders of the Trustees Participation Shares.
(Here follows the prospectus approved at the meeting on Friday, July 9th.)

Exhibit 134-14

PLAINTIFFS
113-14
JULY 6, 1929.
OFFICIAL REPORTER

Mr. James B. Brown, President
National Bank of Kentucky
Louisville, Kentucky

Dear Sir:

RECORDED
INDEXED
JUL 10 1929
PLAINTIFFS

In re: Banckentucky Company

On yesterday you asked us whether the holders of the Trustees Participation Shares would be subject to income tax, in the event they exchanged their shares for the shares of Banckentucky Company, in accordance with the terms of the prospectus.

I have conferred with my associates, Messrs. Miller and Chevalier, and submit herewith our composite view on this question.

1) If the Banckentucky Company cannot be considered as a "reorganization" under the Revenue Act of 1928, then, undoubtedly the holders of the Participation Shares would be taxable on the transfer, on the amount of the profit realized from the transaction. This profit would be the difference between the cost of the Participation Shares and the fair market value of the shares of Banckentucky Company, which would be \$25.00 or more. In view of the fact that every shareholder of the National Bank of Commerce is still a shareholder in the two institutions, it will be at once seen that the taxable gain would be very large, were it not possible to treat the Banckentucky Company as a reorganization under the Revenue Act of 1928.

2) We believe that the Banckentucky Company will be treated as a reorganization, provided it acquires not less than a majority of the Trustees Participation Shares. A reorganization is defined in the Revenue Act as a merger or consolidation, including the acquisition by one corporation of at least a majority of the voting stock of another corporation. For the purposes of our transaction, it is our opinion that the Trustees for the National Bank of Kentucky and The Louisville Trust Company will be treated as an Association or Corporation. This opinion is based upon two facts:

a. Because the Rules and Regulations Section of the Income Tax Unit have held that Trustees, under similar trust agreements, are to be treated as an Association or Corporation for income tax purposes; and

b. Because under Section 701 of the Revenue Act of 1918, the term, corporation, is defined to include "Associations."

Exhibit 134-14

2. Hancock Kentucky Company.

Assuming that we are right in the foregoing conclusions, then, no taxable gain will be realized as a result of the exchange of the shares, under Subsection 3 of Section 112, Revenue Act of 1928, which reads as follows:

"No gain or loss shall be recognized if stock or securities in a corporation, a party to a reorganization, are in pursuance of the plan of reorganization, exchanged solely for stock or securities in such corporation, or, in another corporation, a party to the reorganization."

Of course, the foregoing Section would apply only in the event the Department should treat the Trustees, for the National Bank of Kentucky and The Louisville Trust Company, as a corporation, for in that event, the Trustees would be a "party to the reorganization" within the meaning of the above Section, and the Hancock Kentucky Company would be the other party thereto. It is our best opinion, as above stated, that the Trustees would be so treated by the Department, and, therefore, that no taxable gain would result from these transfers.

In order to clinch our right, as far as possible, to claim for our stockholders the immunity provided for in the above Section, we believe it will be necessary to add a clause to the prospectus, providing that, the offer of the Hancock Kentucky Company stock on the plan outlined is conditioned upon the acceptance thereof by at least a majority in interest of the Trustees Participation Shareholders.

Yours very truly,

RFV:IS

Exhibit 146

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Loans Made by Louisville Trust Company
For Payment of Subscriptions to Capital Stock
of Banco Kentucky Company During Period**

September 25, 1929 to November 7, 1929

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit 146

Exhibit 146

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
DETROIT-CHICAGO

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY M. PREVO, C.P.A.
L. S. McLAUGHLIN, C.P.A.

TELEPHONE
CADILLAC 4880

NATIONAL BANK BLDG.
DETROIT, MICH.

October 14, 1938

Mr. A.M. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have examined
certain of the books of account and records of

Louisville Trust Company
and
Bank of Kentucky Company

for the period from September 25, 1929 to November 7, 1929, per-
taining to payments for subscriptions to the capital stock of
Bank of Kentucky Company and loans made by Louisville Trust Company
for payment of those subscriptions.

We have prepared and appended hereto a schedule showing
a list of subscribers to the capital stock of Bank of Kentucky Company,
who borrowed from the Louisville Trust Company to pay either all
or a part of the subscription price of the stock. The list also
shows the date and amount of the payment of the subscriptions and
the amount of the loans from the Louisville Trust Company.

The total cash received by Bank of Kentucky Company in pay-
ment for subscriptions to its capital stock amounted to \$9,869,650.00.
It will be seen by the schedule appended hereto that \$1,637,154.62

Exhibit 146

of this amount was borrowed by the subscribers from the Louisville Trust Company. As shown by a report being submitted under separate cover \$4,459,505.61 was borrowed from the National Bank of Kentucky by subscribers to the capital stock of Banco Kentucky Company.

Very truly yours,

White, Bower & Lewis
Certified Public Accountants

Exhibit 146

Amount borrowed from Louisville Trust Company to
pay original subscription to Capital Stock of
Banco Kentucky Company.

Subscriber	Payment of Subscription Date	Amount	Amount borrowed to pay subscription.
Abbott, David J	Oct 8, 1929	\$10,000.00	\$6,000.00
Akers, John S	Sept 30, 1929	10,000.00	10,000.00
Aldhiser, Stuart G	Oct 9, 1929	625.00	500.00
Allen, Stuart W	Oct 8, 1929	1,250.00	1,250.00
Andriott, Miss Lillian	Oct 9, 1929	500.00	250.00
Aikenstedt, Fritz G	Oct 3, 1929	500.00	300.00
Ayres, Frank B	Oct 2, 1929	2,500.00	1,000.00
Bacigalupi, Charles G	Oct 4, 1929	1,675.00	1,500.00
Badesch, Jack	Oct 4, 1929	625.00	525.00
Beard, Willie May	Oct 3, 1929	7,500.00	6,000.00
Bailey, Edward	Oct 2, 1929	250.00	250.00
Ball, Carlton	Oct 1, 1929	1,550.00	1,550.00
Ball, Clarence E	Oct 2, 1929	250.00	250.00
Bamber, Wilfred C	Oct 8, 1929	2,500.00	2,051.08
Barbour, Phillip F	Sept 27, 1929	5,500.00	5,400.00
Barker, Thomas A	Oct 10, 1929	3,500.00	3,500.00
Baumgarten, Miss Margaret L	Oct 2, 1929	125.00	125.00
Baxter, Charles S	Oct 3, 1929	500.00	400.00
Bayers, Frank E	Oct 3, 1929	2,000.00	1,500.00
Bayens, Raphael J	Oct 3, 1929	2,500.00	2,000.00
Bean, Bennett B	Oct 2, 1929	1,000.00	800.00
Beck, Albert M	Sept 27, 1929	5,000.00	5,000.00
Beckham, Mrs Alice	Oct 2, 1929	2,500.00	2,000.00
Beckley, Richard	Oct 4, 1929	250.00	250.00
Bensinger, Arthur B	Oct 11, 1929	12,500.00	12,500.00
Berg, Mrs Thekla	Oct 1, 1929	10,500.00	10,500.00
Berger, Peter Charles	Oct 3, 1929	5,000.00	5,000.00
Berry, John T	Oct 2, 1929	300.00	225.00
Bethel, Miss Mary MacDonald	Oct 4, 1929	5,000.00	5,000.00
Bettorff, Miss Roberta	Oct 2, 1929	425.00	325.00
Bey, Stanley	Oct 7, 1929	500.00	350.00
Bibb, Robert H	Oct 1, 1929	500.00	400.00
Boehl, Huber F	Oct 14, 1929	10,000.00	10,000.00
Booker, Bodley	Oct 7, 1929	500.00	400.00
Booker, W Fred	Oct 1, 1929	5,000.00	5,000.00
Boomer, George O	Oct 3, 1929	25,000.00	25,000.00
Bordorf, David	Oct 3, 1929	15,000.00	15,000.00
Borowits, Abraham	Oct 4, 1929	250.00	200.00
Boswell, Rhodes R	Oct 10, 1929	25,500.00	21,000.00
Bottomley, Robert D	Oct 3, 1929	1,250.00	750.00

Exhibit 146

<u>Subscriber</u>	<u>Payment of Subscription</u> <u>Date</u>	<u>Amount</u>	<u>Amount borrowed</u> <u>to pay</u> <u>subscription</u>
Bradley, Thomas P	Oct 5, 1929	1,250.00	1,015.95
Bradley, Mrs Tillie			
Bartha	Oct 4, 1929	1,250.00	1,000.00
Brahmsier, Gus W, Jr	Oct 11, 1929	2,500.00	2,000.00
Brewer, Andrew J	Oct 3, 1929	5,000.00	4,000.00
Brebeck, Phillip H	Oct 3, 1929	27,500.00	22,000.00
Broeking, Harry King	Oct 1, 1929	7,500.00	7,500.00
Broeking, Mrs Margaret	Oct 1, 1929	250.00	200.00
Brown, Arthur W	Oct 3, 1929	1,250.00	1,250.00
Brown, George	Oct 7, 1929	5,000.00	4,000.00
Brown, Harold G	Oct 2, 1929	125.00	125.00
Brown, J Walburn	Oct 3, 1929	9,500.00	7,600.00
Brown, William E	Oct 3, 1929	125.00	125.00
Bruce, George	Sept 30, 1929	250.00	250.00
Buck, James H	Oct 1, 1929	125.00	125.00
Bullock, James P	Oct 7, 1929	500.00	400.00
Burks, George R	Oct 3, 1929	2,500.00	2,000.00
Burrus, James G	Oct 2, 1929	125.00	125.00
Callahan, Miss Alberta	Oct 2, 1929	250.00	250.00
Campbell, Charles D	Oct 10, 1929	12,500.00	12,500.00
Campbell, Stuart C	Oct 4, 1929	500.00	500.00
Carter, James G	Oct 1, 1929	3,500.00	3,500.00
Carter, Roy L	Oct 2, 1929	7,500.00	7,500.00
Chandler, John H	Oct 9, 1929	1,250.00	1,150.00
Chandler, Mary Ellen	Oct 3, 1929	750.00	750.00
Charlton, Henry L	Oct 4, 1929	2,500.00	2,500.00
Chastain, Arlie	Oct 5, 1929	5,000.00	3,600.00
Chesek, Wm J	Sept 27, 1929	12,500.00	2,500.00
Clark, Walter S	Oct 5, 1929	7,500.00	7,500.00
Cochran, T Wilson	Oct 2, 1929	6,250.00	6,283.33
Collis, John V	Oct 3, 1929	21,000.00	21,434.00
Conrad, Philip	Oct 3, 1929	1,250.00	650.00
Constantine, Lillian D	Oct 3, 1929	1,000.00	700.00
Cohen, Harry H	Oct 3, 1929	2,500.00	2,030.33
Coady, Wood	Oct 3, 1929	2,500.00	2,000.00
Cralle, Herbert C, Jr	Oct 2, 1929	875.00	875.00
Crawford, Richard B	Oct 3, 1929	2,500.00	2,000.00
Crutcher, F M- Dental			
Depet	Oct 3, 1929	25,000.00	20,000.00
Osip, Charles C	Oct 4, 1929	12,500.00	12,500.00
Cumcock, Walter P	Oct 3, 1929	10,000.00	10,000.00
Cureton, Nat C	Oct 10, 1929	5,000.00	3,800.00

Exhibit 146

<u>Subscriber</u>	<u>Payment of Subscription</u> <u>Date</u>	<u>Amount</u>	<u>Amount borrowed</u> <u>to pay</u> <u>subscription.</u>
Daniel, Miss Opal	Oct 3, 1929	3,500.00	3,525.41
Daniell, John P	Oct 3, 1929	1,250.00	1,250.00
Dash, Raymond	Oct 3, 1929	2,500.00	2,500.00
Davis, John J	Oct 2, 1929	12,500.00	10,000.00
Dennis, Peres	Oct 1, 1929	2,500.00	2,000.00
Desser, Miss Mayme	Oct 4, 1929	500.00	115.00
Dittmeier, Peter R	Oct 3, 1929	500.00	575.00
Dodd, Allen P	Oct 3, 1929	20,000.00	20,000.00
Dodd, Edward A	Oct 3, 1929	1,250.00	1,250.00
Dombros, Frank C	Oct 3, 1929	2,500.00	2,500.00
Dougherty, Frank J	Oct 7, 1929	1,250.00	1,250.00
Drave, Mrs Helen J	Oct 1, 1929	2,500.00	2,500.00
Dueker, Frank C	Oct 3, 1929	125.00	125.00
Duerksen, Barton A	Oct 3, 1929	2,500.00	2,500.00
Duffy, Mrs Ruby P	Oct 2, 1929	250.00	200.00
Duffy, Thomas J	Oct 7, 1929	17,400.00	17,200.00
Duncan, Scott, Sr	Oct 3, 1929	5,000.00	2,500.00
Duncan, Tom B	Oct 2, 1929	2,500.00	2,500.00
Eaton, Lewis A	Oct 3, 1929	7,500.00	7,019.25
Beharic, Elmore J	Oct 3, 1929	2,500.00	2,000.00
Eddleman, Dr Glend S	Oct 3, 1929	7,500.00	7,500.00
Eline, Theo	Oct 4, 1929	2,500.00	2,000.00
Evans, William S	Oct 2, 1929	1,000.00	2,000.00
Everhart, Joseph B	Oct 2, 1929	750.00	580.00
Eifler, Mrs Catherine W	Oct 2, 1929	250.00	150.00
Fahay, William B	Oct 4, 1929	12,500.00	12,700.00
Family Credit Clothing Co	Oct 2, 1929	5,000.00	4,200.00
Fennett, Alden L	Oct 3, 1929	1,400.00	1,000.00
Fisher, Thomas C	Oct 4, 1929	1,250.00	500.00
Fleimer, Gustav	Oct 2, 1929	10,000.00	10,000.00
Ford, Ben O	Oct 4, 1929	12,500.00	10,000.00
Franklin Printing Co(The)	Oct 11, 1929	1,000.00	500.00
Franks, Louis F	Oct 3, 1929	625.00	575.00
Frederick, Harry	Oct 2, 1929	625.00	625.00
Framm, Fred	Oct 3, 1929	1,250.00	900.00
Furnish, Arthur R	Oct 2, 1929	5,000.00	5,000.00
Gambert, William P	Oct 2, 1929	500.00	400.00
Gardner, Joseph S, Jr	Oct 3, 1929	500.00	500.00
Gates, Fred W	Oct 2, 1929	2,500.00	2,500.00
Gerraghty, Raymond R	Oct 4, 1929	500.00	500.00
Ginnich, Margaret M	Oct 3, 1929	2,500.00	1,200.00
Guigliano, John	Oct 3, 1929	2,500.00	1,575.00
Girdler, Mrs Anna S	Oct 1, 1929	625.00	500.00

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Subscriber	Payment of Subscription		Amount Borrowed to pay Subscription
	Date	Amount	
Gellar, John Jr.,	Oct. 3, 1929	\$ 2,500.00	\$ 2,500.00
Goodfriend, Louis	Oct. 3, 1929	250.00	250.00
Goodfriend, Louis	Oct. 4, 1929	125.00	125.00
Gordon, Robert G.	Oct. 3, 1929	12,500.00	10,000.00
Gradman, Harry	Oct. 4, 1929	1,250.00	900.00
Graham, Mrs. Frances	Oct. 3, 1929	200.00	100.00
Graham, Thomas	Oct. 1, 1929	2,500.00	2,500.00
Grall, Albert	Oct. 7, 1929	500.00	400.00
Grants, Maurice	Oct. 2, 1929	125.00	125.00
Gray, Angerem	Oct. 3, 1929	1,250.00	1,000.00
Gray, Patty G.	Oct. 3, 1929	525.00	500.00
Grinstead, R. Hewitt	Oct. 2, 1929	12,500.00	10,000.00
Gumbel, Fred	Oct. 2, 1929	8,750.00	6,550.00
Guterman, Mrs. Lola	Oct. 2, 1929	525.00	500.00
Gutermuth, Conrad H.	Oct. 4, 1929	1,875.00	800.00
Guthrie, Mrs. Margaret	Oct. 22, 1929	375.00	375.00
Hans, Shirley E.	Oct. 7, 1929	2,500.00	1,000.00
Hager, John G.	Oct. 1, 1929	7,500.00	7,500.00
Hagman, William J.	Oct. 10, 1929	2,000.00	2,000.00
Hale, Earl D.	Oct. 3, 1929	525.00	500.00
Hamilton, Arch L.	Oct. 9, 1929	25,000.00	25,000.00
Hannan, Earl	Oct. 3, 1929	250.00	250.00
Hannan, George Jr.	Oct. 2, 1929	250.00	250.00
Hannan, Edward G.	Oct. 5, 1929	2,500.00	2,000.00
Hansen, Richard H.	Sept. 26, 1929	1,250.00	750.00
Hardesty, Marion & Lee P. doing business as Hardesty Pharmacy	Oct. 1, 1929	1,250.00	900.00
Hardin, G. W.	Oct. 3, 1929	2,500.00	2,000.00
Harris, Mrs. Laura L.	Oct. 1, 1929	2,500.00	2,500.00
Hartley, Isaac S.	Oct. 3, 1929	10,000.00	7,522.50
Harvey, Allen P.	Oct. 1, 1929	12,500.00	12,500.00
Hawes, Edgar B.	Oct. 3, 1929	1,250.00	1,250.00
Hawes, Mrs. Elsie S.	Oct. 3, 1929	1,250.00	750.00
Hawes, R. Lee	Oct. 7, 1929	1,000.00	300.00
Hayden, James H.	Oct. 4, 1929	1,000.00	800.00
Hayden, Thomas E.	Oct. 3, 1929	250.00	300.00
Hays, Mrs. Etta East	Oct. 1, 1929	1,250.00	951.75
Hays, P. S.	Oct. 2, 1929	1,000.00	800.00
Hays, John Edwin	Oct. 3, 1929	1,250.00	951.75
Hays, Josephine L.	Oct. 3, 1929	250.00	150.00
Head, Miss Maude	Oct. 3, 1929	250.00	187.50

Exhibit 146

Subscriber	Payment of Subscription		Amount Borrowed to pay Subscription
	Date	Amount	
Heffern, John W.	Oct. 10, 1929	\$ 250.00	\$ 250.00
Heldioske, Fred F.	Oct. 2, 1929	2,500.00	2,500.00
Henderson, Elmer L.	Oct. 1, 1929	7,500.00	6,061.00
Hendricks, Mrs. Dorothy A.	Oct. 19, 1929	250.00	250.00
Henn, Miss Hattie B.	Oct. 2, 1929	250.00	175.00
Hertzman, Alex	Oct. 3, 1929	1,000.00	800.00
Hertzman, Irwin & Aaron	Oct. 2, 1929	10,000.00	10,000.00
Hertzman, Rachael	Oct. 3, 1929	1,500.00	1,200.00
Hess, Albert	Oct. 1, 1929	5,000.00	4,000.00
Hess, James R.	Oct. 2, 1929	825.00	300.00
Hester, Mrs. Jean	Oct. 1, 1929	25,000.00	25,000.00
Hickman, Lindley A.	Oct. 3, 1929	2,500.00	1,000.00
Hikes, Mrs. Verna Ray	Oct. 1, 1929	7,500.00	7,655.00
Hinkebein, Fred J.	Oct. 5, 1929	10,000.00	10,151.66
Hinkle, Edward W.	Oct. 2, 1929	5,000.00	3,859.63
Hinkle, Maude	Oct. 7, 1929	500.00	406.07
Hog, Philip J.	Oct. 3, 1929	1,250.00	750.00
Hoblitzell, Bruce	Oct. 2, 1929	2,500.00	2,000.00
Hobson, Mrs. Jane	Oct. 1, 1929	375.00	375.00
Hoefts, Thatcher	Oct. 2, 1929	625.00	625.00
Hoffman, Walter J.	Oct. 3, 1929	625.00	500.00
Hogan, Herbert Hart	Oct. 3, 1929	3,750.00	3,750.00
Hoge, William L.	Oct. 14, 1929	22,500.00	20,306.66
Hohler, Miss Margaret B.	Oct. 1, 1929	125.00	75.00
Hollenbach, Louis J.	Oct. 2, 1929	25,000.00	25,000.00
Holtvert, Henry B.	Oct. 7, 1929	5,000.00	4,000.00
Horn, Edwin	Oct. 1, 1929	2,500.00	2,500.00
Houghland, Roscoe S.	Oct. 2, 1929	2,000.00	1,000.00
Howard, Dr. E. Murphy	Oct. 3, 1929	10,000.00	8,000.00
Howell, Herbert B.	Oct. 2, 1929	1,250.00	1,250.00
Howington, Mary Lou.	Oct. 3, 1929	150.00	100.00
Hudson, Allen K.	Oct. 1, 1929	250.00	180.00
Humphreys, Mrs. Sue Hite	Oct. 11, 1929	800.00	306.10
Hynes, Miss Gladys	Oct. 3, 1929	500.00	500.00
Jacks, Edna	Oct. 3, 1929	250.00	100.00
Jackson, Levi C.	Oct. 3, 1929	250.00	250.00
Jackson, Roy	Oct. 3, 1929	250.00	150.00
James, Rome W.	Oct. 3, 1929	2,500.00	2,041.33
Jarboe, William B.	Oct. 3, 1929	1,000.00	507.96

1967

Exhibit 146

<u>Subscriber</u>	<u>Payment of Subscription</u> <u>Date</u>	<u>Amount</u>	<u>Amount borrowed</u> <u>to pay subscription</u>
Jones, Miss Evelyn W	Oct 1, 1929	1,250.00	1,250.00
Jones, Samuel D	Oct 3, 1929	1,000.00	1,000.00
Kammerer, Louis J	Oct 9, 1929	2,500.00	2,051.74
Kasdan, David	Oct 2, 1929	2,500.00	2,500.00
Kassonbrook, Louis R	Oct 2, 1929	12,500.00	12,700.00
Kaufman, Lee P	Oct 7, 1929	1,875.00	1,500.00
Kavanaugh, Jere J	Oct 10, 1929	625.00	625.00
Kavanaugh, Lillie L	Oct 3, 1929	1,250.00	863.31
Kearney, John M	Oct 1, 1929	2,500.00	2,000.00
Keller, Henry	Oct 3, 1929	1,250.00	1,250.00
Kemp, Fox P	Oct 4, 1929	10,000.00	8,000.00
Kempsey, Walker W	Oct 3, 1929	250.00	200.00
Kesselring, John	Oct 3, 1929	625.00	625.00
Kessler, Miss Lula	Oct 2, 1929	750.00	350.00
Kilgas, Jacob E	Oct 4, 1929	2,500.00	1,500.00
Kimbler, George B	Oct 3, 1929	750.00	650.00
Kirch, Miss Nora	Oct 2, 1929	750.00	400.00
Klein, Harry J	Oct 3, 1929	2,500.00	2,500.00
Klein, Sam	Oct 1, 1929	2,500.00	2,500.00
Klamm, Edward G	Oct 2, 1929	5,000.00	3,000.00
Kling, Benj. W.	Oct 3, 1929	12,500.00	12,500.00
Kneep, Edward C	Oct 7, 1929	2,500.00	2,500.00
Knox, John F	Oct 3, 1929	1,250.00	1,100.00
Koestel, Fred W	Oct 3, 1929	250.00	250.00
Koppelman, Mrs Josephine B	Oct 3, 1929	12,500.00	10,000.00
Kraher, Henry C	Oct 2, 1929	2,500.00	2,000.00
Krieger, Mrs Anna	Oct 3, 1929	250.00	193.03
Kuhn, Miss Alma	Oct 1, 1929	1,000.00	750.00
Kuas, John J	Oct 14, 1929	2,500.00	2,500.00
Kurowski, A V	Oct 4, 1929	5,000.00	3,000.00
Lane, Mrs Adrienne	Oct 3, 1929	300.00	300.00
Lang, Theo A	Oct 3, 1929	1,875.00	875.00
Langford, George W	Oct 9, 1929	15,000.00	15,238.80
Lapointe, Ruth B	Oct 3, 1929	1,400.00	700.00
Leopold, Lawrence S	Oct 1, 1929	5,000.00	5,000.00
Levy, James S	Oct 3, 1929	2,500.00	2,500.00
Levy, Markham	Oct 3, 1929	2,500.00	2,500.00
Lewis & Culp, Inc.	Oct 4, 1929	15,500.00	15,500.00
Lewis, Kendrick R	Oct 3, 1929	2,500.00	2,000.00
Lewis, Grand B	Oct 3, 1929	1,250.00	1,200.00

Exhibit 146

Subscriber	Payment of Subscription		Amount Borrowed to pay Subscription
	Date	Amount	
Lieber, Fred H.	Oct. 3, 1929	\$ 1,000.00	\$ 1,000.00
Lindenberger, G. Hope	Oct. 3, 1929	2,500.00	2,500.00
Linker, Harry	Oct. 3, 1929	3,750.00	3,700.00
Lucas, Albert E.	Oct. 1, 1929	425.00	500.00
Lucas, Miss Alberta T.	Oct. 1, 1929	1,500.00	1,500.00
Lucas, Mrs. Josephine T.	Oct. 1, 1929	1,000.00	850.00
Lusky, Clifford	Oct. 3, 1929	5,000.00	4,800.00
Lusky, Mrs. Edith East	Oct. 4, 1929	1,000.00	800.00
Lusky, William Cash	Oct. 3, 1929	800.00	400.00
Lyon, Albert P.	Oct. 3, 1929	2,800.00	1,000.00
Lyns, Dandridge, H.	Oct. 3, 1929	800.00	400.00
Lyns, Mrs. Kathleen K.	Oct. 3, 1929	1,000.00	800.00
McAfee, Clinton J.	Oct. 3, 1929	250.00	125.00
McAfee, Henry Berryman-	Oct. 3, 1929	250.00	125.00
McCord, Charles	Oct. 19, 1929	250.00	250.00
McGarry, Miss Regina	Oct. 2, 1929	100.00	75.00
McGee, Martin A.	Oct. 3, 1929	5,000.00	5,000.00
McKenzie, Mrs. Evelyn B.	Oct. 3, 1929	250.00	250.00
McMillan, Elmer L.	Oct. 3, 1929	10,000.00	8,000.00
McMally, Allan	Oct. 3, 1929	2,500.00	2,000.00
McMernoy, Edward A. Jr.	Oct. 2, 1929	375.00	375.00
Maloney, Margaret L.	Oct. 2, 1929	2,250.00	1,800.00
Maloney, Miss Nellie	Sept. 28, 1929	2,500.00	2,500.00
Mascie, William K.	Oct. 4, 1929	25,000.00	20,415.33
Mathews, Thomas H.	Oct. 2, 1929	12,500.00	12,500.00
Mattingly, Allen	Oct. 3, 1929	500.00	400.00
Mattingly, Mrs. Leila Elkin	Oct. 3, 1929	2,500.00	2,541.06
Mattingly, Martin E.	Oct. 3, 1929	500.00	400.00
Mey, Charles W.	Oct. 3, 1929	250.00	150.00
Mallon, William A.	Oct. 1, 1929	800.00	800.00
Metcalf, Ben J.	Oct. 3, 1929	48,500.00	48,500.00
Metcalf, Miss Elizabeth	Oct. 2, 1929	12,500.00	12,750.00
Metcalf, Mrs. Katherine H.	Oct. 3, 1929	12,500.00	12,500.00
Minter, Fred	Oct. 1, 1929	1,250.00	1,000.00
Miersch, Rudolph D.	Oct. 3, 1929	2,500.00	1,875.00
Miller, Frank H.	Oct. 3, 1929	2,500.00	2,500.00
Miller, Henry P.	Oct. 2, 1929	1,000.00	800.00
Miller, James E.	Oct. 2, 1929	250.00	250.00

1929

Exhibit 146

<u>Subscriber</u>	<u>Payment of Subscription</u>		<u>Amount Borrowed to pay Subscription</u>
	<u>Date</u>	<u>Amount</u>	
Miller, Neville	Oct. 3, 1929	\$ 2,500.00	\$ 2,500.00
Miller, William S.	Oct. 3, 1929	250.00	250.00
Milner, Charles W.	Oct. 19, 1929	7,500.00	7,500.00
Mittenthal, Mayer	Oct. 14, 1929	12,500.00	12,500.00
Model Home Construction Co.	Sept. 28, 1929	10,000.00	10,000.00
Moll, Edward L.	Oct. 1, 1929	9,000.00	7,617.50
Murree, Walter B.	Oct. 1, 1929	2,500.00	2,000.00
Morut, Frank J. L.	Oct. 11, 1929	5,000.00	3,845.00
Morris, George E.	Oct. 4, 1929	2,500.00	2,000.00
Morris, Louis E.	Oct. 4, 1929	2,500.00	2,000.00
Morrow, William E.	Oct. 4, 1929	2,500.00	2,000.00
Morton, David C.	Oct. 1, 1929	25,000.00	23,271.25
Morton, Mrs. Mary M.	Oct. 2, 1929	1,500.00	1,500.00
Moss, Mrs. Margaret M.	Oct. 4, 1929	1,000.00	500.00
Moss, White L.	Oct. 3, 1929	5,000.00	4,000.00
Murphy, Miss Della J.	Oct. 2, 1929	250.00	250.00
Murrell, Oliver	Oct. 1, 1929	2,500.00	2,000.00
Naber, George E.	Oct. 1, 1929	5,000.00	5,000.00
Neumayer, Mrs. Ottilia	Oct. 3, 1929	75.00	60.00
Nichols, Miss Frances	Oct. 2, 1929	275.00	275.00
Oswain, Mrs. Lalie	Oct. 3, 1929	1,250.00	900.00
Parker, George E.	Oct. 4, 1929	7,500.00	7,615.75
Payne, S. Tilford	Oct. 1, 1929	2,500.00	2,000.00
Pearce, Edward E.	Oct. 2, 1929	275.00	275.00
Pell, Miss Mary E.	Oct. 3, 1929	125.00	125.00
Plouge, William H.	Oct. 2, 1929	5,000.00	4,400.00
Pelsgrove, Winfred O.	Oct. 2, 1929	250.00	250.00
Petut, Mrs. Georgia	Oct. 4, 1929	250.00	250.00
Powers, Michael J.	Oct. 19, 1929	1,250.00	1,000.00
Quick, Miss Agnes	Oct. 2, 1929	250.00	220.00
Rabenecker, Harry W.	Oct. 9, 1929	500.00	400.00
Radford, Octavia, L.C. Jr.	Oct. 4, 1929	2,500.00	2,500.00
Rains, Aris B. Jr.	Oct. 5, 1929	1,250.00	1,000.00
Rankin, Clyde E.	Oct. 2, 1929	1,250.00	1,000.00
Redman, E. Hayes	Oct. 2, 1929	1,250.00	1,250.00
Reiss, Frank	Oct. 1, 1929	1,250.00	957.50
Reynolds, Edgar H.	Oct. 1, 1929	2,500.00	2,500.00

Exhibit 146

<u>Subscriber</u>	<u>Payment of subscription</u> <u>Date</u>	<u>Amount</u>	<u>Amount borrowed</u> <u>to pay</u> <u>subscription</u>
Riehl, John	Oct 3, 1929	5,000.00	2,551.86
Rigg, James E	Oct 2, 1929	250.00	250.00
Robertson, Morris A	Oct 3, 1929	500.00	455.00
Robertson, William O	Oct 2, 1929	1,250.00	1,250.00
Robinson, Joseph H	Oct 2, 1929	2,600.00	2,600.54
Ross, Lee B	Oct 3, 1929	2,500.00	2,000.00
Rutledge, Arthur H	Oct 3, 1929	3,750.00	1,750.00
Sampson, J E	Oct 2, 1929	750.00	600.00
Sammels, Elbert G	Oct 2, 1929	375.00	375.00
Sand, Alexander	Oct 3, 1929	12,500.00	12,700.00
Seales, Joseph H	Oct 3, 1929	2,500.00	2,600.00
Seam, Miss Lois R	Oct 2, 1929	125.00	125.00
Schmidt, Miss Edna C	Oct 2, 1929	250.00	250.00
Schmidt, Lillie H	Oct 3, 1929	2,500.00	1,905.86
Schneider, Edward, Jr	Oct 3, 1929	10,000.00	10,000.00
Schroering, Edwin A	Oct 3, 1929	250.00	250.00
Schuchall, Margie	Oct 3, 1929	625.00	600.00
Schulman, Bertha, Mrs	Oct 4, 1929	7,500.00	7,650.00
Schuster, Louis H	Oct 3, 1929	2,500.00	1,675.00
Schuster, Stanley C	Oct 1, 1929	5,000.00	4,000.00
Scott, Walter A	Oct 2, 1929	250.00	250.00
Searcy, Willard J	Oct 2, 1929	500.00	500.00
Seelbach, Otto E	Oct 3, 1929	10,000.00	7,500.00
Seekamp, Miss Adelaide	Oct 7, 1929	250.00	190.00
Seekamp, Elisabeth	Oct 2, 1929	250.00	200.00
Seibert, Garfield	Oct 1, 1929	100.00	75.00
Shabo, Louis	Oct 4, 1929	5,000.00	5,000.00
Sherrill, Buford W	Oct 3, 1929	2,500.00	2,000.00
Shoup, Earl F	Oct 3, 1929	125.00	125.00
Simpson, Jesse H	Oct 3, 1929	6,000.00	6,000.00
Smart, John W	Oct 3, 1929	2,500.00	2,500.00
Smith, Guy E	Oct 3, 1929	625.00	500.00
Smith, Uly H	Oct 2, 1929	2,500.00	1,000.00
Snider, Andrew J	Oct 2, 1929	750.00	150.00
Snodgrass, Miss Mary Lynn	Oct 2, 1929	250.00	250.00
Spalding, Joe	Oct 2, 1929	125.00	125.00
Spalding, Joseph S	Oct 3, 1929	25,000.00	19,000.00
Spalding, Peter H	Oct 4, 1929	2,500.00	1,627.30
Spangler, Edward C	Oct 1, 1929	12,500.00	12,500.00
Spangler, Joseph R	Oct 3, 1929	5,000.00	5,000.00

Exhibit 146

<u>Subscriber</u>	<u>Payment of Subscription</u>		<u>Amount Borrowed to pay Subscription</u>
	<u>Date</u>	<u>Amount</u>	
Speckman, Ernest E.	Oct. 2, 1929	\$ 12,800.00	\$ 12,700.00
Speckman, Lawrence E.	Oct. 3, 1929	5,000.00	5,000.00
Spelden, Pillsen L.	Oct. 3, 1929	17,800.00	17,774.18
Stauffer, Walter W.	Oct. 10, 1929	5,000.00	5,000.00
Stangell, Miss Catherine	Oct. 2, 1929	250.00	250.00
Stogree, Miss Ninette	Oct. 3, 1929	375.00	375.00
Stills, William A. Sr.	Oct. 4, 1929	1,875.00	1,875.00
Stites, Miss Elizabeth	Oct. 4, 1929	375.00	375.00
Stites, Jarrett	Oct. 5, 1929	1,250.00	1,250.00
Stono, Sam	Oct. 7, 1929	25,000.00	25,000.00
Stern, Samuel B.	Oct. 8, 1929	2,500.00	2,500.00
Strassell, Harry E.	Oct. 4, 1929	2,500.00	2,000.00
Street, Karl B.	Oct. 3, 1929	425.00	400.00
Struel, Charles	Oct. 4, 1929	2,500.00	2,000.00
Tabb, George Cary	Oct. 3, 1929	1,000.00	1,000.00
Tafel, Carl G.	Oct. 3, 1929	5,000.00	5,000.00
Taylor, Dr. R. H.	Oct. 1, 1929	1,250.00	1,000.00
Taylor, Charles G.	Oct. 3, 1929	2,500.00	2,000.00
Thirrell, Gilbert F.	Oct. 2, 1929	2,500.00	2,500.00
Thompson, Frank W.	Oct. 3, 1929	5,000.00	5,000.00
Thompson, Joseph W.	Oct. 3, 1929	2,500.00	1,900.00
Thompson, Robert F.	Oct. 3, 1929	7,800.00	7,800.00
Thornberry, Mrs. Aliene	Oct. 2, 1929	250.00	200.00
Timoney, Francis J.	Oct. 2, 1929	1,875.00	1,875.00
Tobe, Vincent G.	Oct. 2, 1929	250.00	250.00
Trexler, Harvey B.	Oct. 2, 1929	5,000.00	5,000.00
Trumpeter, John F.	Oct. 2, 1929	1,000.00	1,000.00
Tuley, Jesse W.	Oct. 1, 1929	3,500.00	3,500.00
Turner, Henry E.	Oct. 10, 1929	15,000.00	15,000.00
Turner, John W.	Oct. 7, 1929	15,000.00	15,000.00
Tyman, Clyde F.	Oct. 7, 1929	1,250.00	1,100.00
Tyler, Jessie E.	Oct. 3, 1929	500.00	400.00
Tyler, Robert S.	Oct. 8, 1929	1,250.00	1,000.00
Umstuen, William	Oct. 2, 1929	500.00	200.00
Unglaub, Arthur J.	Oct. 3, 1929	625.00	425.00
VanDoren, Miss Thompson	Oct. 4, 1929	500.00	425.00
Vander, Maclen, John E.	Oct. 7, 1929	2,500.00	2,000.00
Vaughan, J. Wallace	Oct. 3, 1929	12,500.00	12,500.00
Vittito, Hunter A.	Oct. 1, 1929	375.00	275.00
Vogel, Albert E.	Oct. 2, 1929	5,000.00	5,000.00

Exhibit 146

<u>Subscriber</u>	<u>Payment of subscription</u> <u>Date</u>	<u>Amount</u>	<u>Amount borrowed</u> <u>to pay</u> <u>subscription</u>
Wagner, Frederick W, Jr	Oct 1, 1929	2,500.00	2,500.00
Wagner, William	Sept 27, 1929	4,250.00	3,400.00
Wahl, Henry P	Oct 2, 1929	25,000.00	25,000.00
Wahl, Joseph T	Oct 1, 1929	25,000.00	25,000.00
Wallace, F H	Oct 2, 1929	2,500.00	2,500.00
Wallingford, Joe H	Oct 2, 1929	1,000.00	1,000.00
Waltering, Henry H	Oct 2, 1929	10,000.00	9,000.00
Warren, Mrs O S	Oct 1, 1929	25,000.00	25,000.00
Watkins, H T	Oct 2, 1929	500.00	400.00
Watkins, J William	Oct 2, 1929	10,000.00	10,305.00
Weaver, Miss Minnie L	Oct 1, 1929	4,000.00	4,000.00
Weber, Ben	Oct 10, 1929	2,500.00	2,000.00
Weber, William E	Oct 10, 1929	2,500.00	2,000.00
Weinstock, Emanuel	Oct 2, 1929	7,500.00	6,000.00
Wellman, Frank J	Oct 2, 1929	2,500.00	2,500.00
Wheeler, Mrs Augusta H	Oct 2, 1929	1,500.00	1,500.00
Wheeler, Elmore	Oct 2, 1929	10,000.00	10,000.00
Wheeler, Mrs Georgia B	Oct 1, 1929	1,250.00	1,000.00
Wheeler, Mrs Minnie H	Oct 2, 1929	27,500.00	25,000.00
Wheeler, W Curtis	Oct 1, 1929	15,000.00	15,000.00
Whyte, Horace B	Oct 4, 1929	3,750.00	3,750.00
Wickliffe, John C, Jr	Oct 4, 1929	5,000.00	4,000.00
Wickstead, John J	Oct 1, 1929	2,500.00	2,500.00
Wiginton, J S	Oct 2, 1929	625.00	475.00
Wills, Miss Mabel Ann	Oct 2, 1929	100.00	100.00
Wiser, Miss Carolyn	Oct 2, 1929	250.00	250.00
Williams, Franklin P	Oct 2, 1929	17,500.00	17,500.00
Wilson, Wallace W	Oct 1, 1929	2,500.00	2,000.00
Winterman, Tom H	Oct 4, 1929	2,500.00	2,000.00
Wirth, Earl F	Oct 2, 1929	2,500.00	2,000.00
Wirth, Louis K	Oct 1, 1929	5,000.00	4,500.00
Withers, Frank	Oct 1, 1929	2,500.00	2,500.00
Witherspoon, Miss Mattie	Oct 7, 1929	1,000.00	800.00
Wolff, Leander E	Oct 1, 1929	625.00	625.00
Wolff, Leander E	Oct 4, 1929	250.00	250.00
Wright, John E	Oct 1, 1929	2,500.00	1,000.00
Young, Miss Annie M	Oct 2, 1929	500.00	200.00
Young, George H	Oct 2, 1929	500.00	500.00
			<u>\$1,657,154.62</u>

Exhibit 147

**A. M. ANDERSON, RECEIVER
NATIONAL BANK OF KENTUCKY**

**Report on Bills Payable and Rediscounts of
Louisville National Bank & Trust Company
and Louisville Trust Company for
Period From**

January 1, 1929 to November 16, 1930

WHITE, BOWER & PREVO
CERTIFIED PUBLIC ACCOUNTANTS
NATIONAL BANK BUILDING
DETROIT, MICHIGAN

Plaintiff's Exhibit 147

Exhibit 147

WHITE, BOWER & PREVO

CERTIFIED PUBLIC ACCOUNTANTS

DETROIT-CHICAGO

TELEPHONE
CADILLAC 4880

HUGH A. WHITE, C.P.A.
EDWIN H. BOWER, C.P.A.
HARRY W. PREVO, C.P.A.
L. B. McLAUGHLIN, C.P.A.

NATIONAL BANK BLDG.
DETROIT, MICH.

October 20, 1933

Mr. A. E. Anderson, Receiver,
National Bank of Kentucky,
Louisville, Kentucky.

Dear Sir:

In accordance with your instructions, we have
examined certain books of account and records of

Louisville National Bank & Trust Company
and
Louisville Trust Company

and herewith present a statement of the borrowings of the
banks on an average daily basis for the months indicated
during the period from January 1, 1933 to November 15, 1933.

Very truly yours,

White Bower & Prevo
Certified Public Accountants

1975

Exhibit 147



Bills Payable and Discounts of Louisville National Bank & Trust Company and Louisville Trust Company for period from January 1, 1939 to November 15, 1939, showing for each month the number of days on which borrowings existed and the average amount of such borrowings

	Year 1939		Year 1939	
	Number days in-debted	Average Daily Borrowings	Number days in-debted	Average Daily Borrowings
January	31 31	\$ 700,077 (1) 676,332 (2)	7	\$ 322,145
February	28 28	630,714 (1) 1,324,325 (2)	-	
March	31 31	524,077 (1) 1,171,413 (2)	1	120,000
April	30 30	577,007 (1) 945,815 (2)	1	120,000
May	31 31	904,371 (1) 1,325,000 (2)	-	
June	30	2,045,400 (1)	3	150,000
July	31	2,025,125	-	
August	31	2,047,000	15	711,007
September	30	2,511,125	20	525,007
October	31	2,345,413	31	525,322
November	30	3,024,322	10	600,000
December	31	1,502,410		

(1) Louisville Trust Company

(2) Louisville National Bank & Trust Company

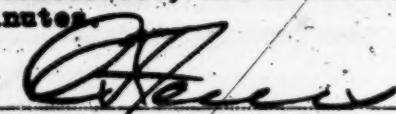
Note: Louisville Trust Company and Louisville National Bank & Trust Company consolidated on May 25, 1939.

Exhibit 150-1

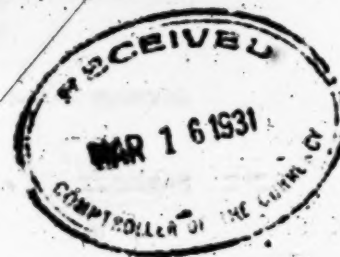
Apr 13, 1923

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Apr 12-1923 was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)



Plaintiff Ex.

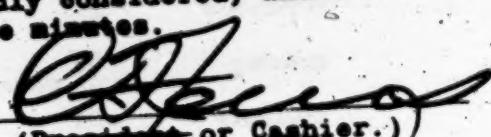
TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1022—21, 2-22—22a, 12-21

Exhibit 150-2

Sept 14, 1923

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Sept 14; was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1022—21, 2-22—22a, 12-21

Exhibit 150-3

May 20, 1924

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held _____; was duly considered, and a record of the action taken made upon the minutes.

C. H. Harris
(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
FORM 1000—Ed. 12-22—Dec. 12-22.

Exhibit 150-4

Aug 8th, 1924

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Aug 8, 1924 was duly considered, and a record of the action taken made upon the minutes.

C. H. Harris
(President or Cashier.)

National Bank of Ky. Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
FORM 1000—Ed. 12-22—Dec. 12-22.

Exhibit 150-5

Aug 30th, 1928

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Aug 14th was duly considered, and a record of the action taken made upon the minutes.

[Signature]
(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1222.—Ed. 10,000—Dec. 15-16.

Exhibit 150-6

Dec 18, 1928

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held 12/10/28; was duly considered, and a record of the action taken made upon the minutes.

[Signature]
(President or Cashier.)

The National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1222.—Ed. 10,000—Dec. 15-16.

Exhibit 150-7

July

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received, was submitted to the directors at a board meeting held *July 16, 1926*, was duly considered, and a record of the action taken made upon the minutes.

[Signature]
(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1022—24, 12-22—24, 12-24

Exhibit 150-8

Jan. 21, 1927

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received, was submitted to the directors at a board meeting held *Jan 21, 1927*, was duly considered, and a record of the action taken made upon the minutes.

[Signature]
(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)



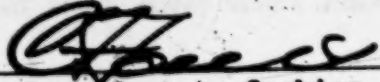
TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
EXAMINING DIVISION,
Form 1022—24, 12-22—24, 12-24

Exhibit 150-9

June 24, 1927, 19

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held June 24, 1927; was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
WASHINGTON, D.C.
Form 122b-2d, 12-27-25-30a, 12-28

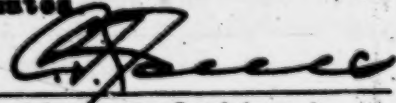
OFFICE OF THE COMPTROLLER OF THE CURRENCY

Exhibit 150-10

Louisville, Ky. May 5th, 1928

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held May 4, 1928; was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

10
TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
WASHINGTON, D.C.
Form 122b-2d, 12-27-25-30a, 12-28

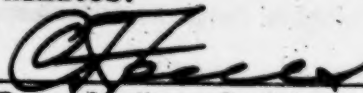
OFFICE OF THE COMPTROLLER OF THE CURRENCY

Exhibit 150-11

Nov 23, 1928

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Nov 23; was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
WASHINGTON, D.C.
Form 1000-21, 2-22-21

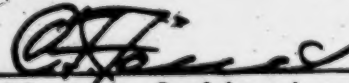
270-2-22

Exhibit 150-12

Louisville, Ky. August 23rd, 1929.

To the Chief National Bank Examiner:

The report of the recent examination of this bank has been received; was submitted to the directors at a board meeting held Aug. 23rd 1929; was duly considered, and a record of the action taken made upon the minutes.


(President or Cashier.)

National Bank of Kentucky, Louisville, Ky.
(Name and location of bank.)

2
TREASURY DEPARTMENT,
OFFICE OF THE COMPTROLLER OF THE CURRENCY,
WASHINGTON, D.C.
Form 1000-21, 2-22-21

270-2-22

Exhibit 151

retractometry 10/9/20
~~SECRET~~

1. Program of Examination- Heavy.
2. Condition found is indictment of management.
3. Found disconcerting a/s

A. Violations:

Wainfield - executive - poor collection
Wainfield reflects no growth
in officers, made with in-
sight to Executive.
Van Camp deal.

Reisman & Co. - Poor loan - disburse in 1931.
Executive.

Goldwell & Co. - Executive - disburse in

Goldwell & Co. - Executive - disburse in

B. Concentration:

Amesbury Bank 3,200,000

2,400,000 etc. 1,200,000

Bank
- owned

3,000,000

2,400,000

1,200,000

Interborough 2,500,000

2,500,000

2,500,000

3,200,000 one-third total 1,200,000

C. Lack of co-hesion among officers.

Approval of loans by Dist. Com.
Deficient.

✓ Position: Lack of sufficient eligible paper.

" " " secondary reserve in liquid bonds. 1,200,000

D. IVERSEA

Conclusion:

✓ Provide for present loan estimate.

→ Stop trend in its tracks.

Change form of management, by creating by-laws to provide for an Executive
Committee of six Directors, who shall control the investment policy of
Bank. By-laws to define powers and duties, and responsibility of officers
to name and Board. See Page 15.

Suggested personnel:

Mr. Speed, E.J.O'Brien, Mr. Vogt and S.L. Dwyer with
President Brown and VP. Conroy. Credit manager above, Bank.

Exhibit 153

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 5000 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

William S. Speed
Signature of Stockholder.

315 Guthrie St
Address.

Plaintiff's
Exhibit No. 153

Exhibit 153-1

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 100 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

[Signature]
Signature of Stockholder.

Low Tount Bled
Address.

Plaintiff's
Exhibit No. 153-1

Exhibit 153-2

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 100 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

[Signature]
Signature of Stockholder.

Low Tount Bled
Address.

Plaintiff's
Exhibit No. 153-2

Exhibit 154

July 25-1929

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 2000 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

Herbert Jackson
Signature of Stockholder.

on of Stewart by Good
Address.

Plaintiff's
Exhibit No. 154